
Walls and asymptotics for Bridgeland stability conditions on threefolds

Marcos Jardim and Antony Maciocia

Abstract. We consider Bridgeland stability conditions for threefolds conjectured by Bayer–Macri–Toda in the case of Picard rank 1. We study the differential geometry of numerical walls, characterizing when they are bounded, discussing possible intersections and showing that they are essentially regular. Next, we prove that walls within a certain region of the upper half plane that parameterize geometric stability conditions must always intersect the curve given by the vanishing of the slope function and, for a fixed value of s , have a maximum turning point there. We then use these facts to prove that Gieseker semistability is equivalent to a strong form of asymptotic semistability along a class of paths in the upper half plane, and we show how to find large families of walls. We illustrate how to compute all of the walls and describe the Bridgeland moduli spaces for the Chern character $(2, 0, -1, 0)$ on complex projective 3-space in a suitable region of the upper half plane.

Keywords. Bridgeland stability, 3-folds, walls, asymptotic stability, higher triangulations, instantons

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1. Introduction

Bridgeland’s notion of stability conditions on triangulated categories, introduced in [Bri07] and [Bri08], provides a new set of tools to study moduli spaces of sheaves on smooth projective varieties. Such tools have been successfully applied by many authors first to the study of sheaves on surfaces, see for example [AM16, AB13, BM14a, BM14b, Fey16, Fey17, FL21, MM13, YY14], and more recently on threefolds (especially \mathbb{P}^3); see for instance [GHS18, MS20, Sch20a, Schi20b]. One way to study moduli spaces of sheaves using Bridgeland stability spaces is to restrict attention to the so-called geometric stability conditions parameterized by (a subset of) the upper half plane \mathbb{H} . Once we know that the moduli space of Bridgeland-stable objects is asymptotically given by the Gieseker semistable moduli space along an unbounded path, we can try to locate all the points where the moduli space changes along this path (these isolated points are called walls) and compute the change to the moduli space. Eventually, we might reach a point where the Bridgeland space is empty, and then we can reverse our steps to reconstruct the Gieseker moduli space.

For surfaces, this is a fairly well-understood process. In that case, it is known that the geometric stability space is non-empty, that there only finitely many walls in \mathbb{H} away from the β -axis which are nested semicircles centered along the horizontal axis and that Bridgeland stability is asymptotic to (twisted) Gieseker stability. Furthermore, there is an effective algorithm to find all such walls for a given Chern character, and then we can carry out the process above to recover the moduli space of semistable sheaves. One approach to finding walls in this case is to observe that every wall for a given Chern character v intersects a special curve which we will denote by Θ_v in this paper, given by the vanishing locus of the slope function $\nu_{\alpha,\beta}(v)$, and we can then restrict our attention to finding walls along Θ_v .

The whole process becomes much more complicated for threefolds. We can still use the 2-dimensional construction, but it does not produce full stability conditions, and it is unable to detect sufficient features of the Gieseker moduli spaces since the latter does not coincide, in general, with the asymptotic moduli space.

The first step to improve this was made possible by a number of results guaranteeing the existence of Bridgeland stability conditions on the derived category of sheaves on different types of threefolds, based on the pioneering work of Bayer, Macri and Toda [BMT14]. Their idea is to start with the surface case and tilt again. This provides a full stability condition, and the family of moduli spaces is considerably

more refined than the one provided by the first tilt. Even though there is no general result which shows that their construction works for all smooth threefolds, it is known to work for a wide variety of relevant examples: \mathbb{P}^3 [Mac14], smooth quadric threefolds, *cf.* [Sch14], abelian threefolds, *cf.* [BMS16, MP16], Fano threefolds with Picard rank 1, *cf.* [Lil9b], more general Fano threefolds, *cf.* [BMS⁺17, Piy17], and smooth quintic threefolds, *cf.* [Lil9a]. More precisely, the geometric stability conditions constructed by Bayer, Macri and Toda via the generalized Bogomolov–Gieseker inequality proposed in [BMT14] depend on three real parameters $(\alpha, \beta, s) \in \mathbb{R}^+ \times \mathbb{R} \times \mathbb{R}^+$. For each of these, we have an abelian category $\mathcal{A}^{\alpha, \beta}$ and a slope function $\lambda_{\alpha, \beta, s}$ which allows us to test the stability of objects of $\mathcal{A}^{\alpha, \beta}$. There are, however, known counterexamples (see [Sch17] and [MS19]) where the generalized Bogomolov–Gieseker inequality fails.

The goal of this paper is to advance on the other two stages of the process outlined above, namely the understanding of the structure of walls and that of asymptotic stability. We only consider the case where X is a smooth projective threefold of Picard rank 1 over an algebraically closed field of characteristic 0. This means that we can view our Chern classes (and their twists) as purely numerical vectors of the form $v = (v_0, v_1, v_2, v_3)$.

In order to study walls, we start by providing a uniform way to define the slope functions and their differences in terms of skew-symmetric functions. We go on to consider a number of general properties of numerical λ -walls (defined as the locus where two λ -slopes are equal) in Section 4.1. They are, in general, quartic curves, possibly unbounded and not connected.

In our first main result, we find a simple characterization of those numerical λ -walls which are bounded, and we show that unbounded walls satisfy a version of Bertram’s nested wall theorem. Given numerical Chern characters v , u and u' , we define $\delta_{01}(u, v) := u_0 v_1 - u_1 v_0$ and an equivalence relation $u \sim_v u'$ which is essentially that the λ -walls for v corresponding to u and u' are the same; see (4.9) for a precise definition. We also remark that when v is a numerical Chern character satisfying the Bogomolov–Gieseker inequality $v_1^2 - 2v_0 v_2 \geq 0$ and $v_0 \neq 0$, the curve Θ_v allows us to divide the upper half plane \mathbb{H} into four regions (see Figure 1 for an example and Section 3 for details).

Main Theorem 1. *Suppose $v_0 \neq 0$ and $u \sim_v u'$.*

- (1) *The numerical λ -wall for v corresponding to u is bounded if and only if $\delta_{01}(u, v) \neq 0$.*
- (2) *If $\delta_{01}(u, v) = 0 = \delta_{01}(u', v)$, then the numerical λ -walls corresponding to u and u' do not intersect.*
- (3) *If $\delta_{01}(u, v) \neq 0$ and $\text{ch}_{\leq 2}(u) = \text{ch}_{\leq 2}(u')$, then the numerical λ -walls for v corresponding to u and u' only intersect on Θ_v .*
- (4) *An unbounded numerical λ -wall for v does not intersect Θ_v , and its unbounded connected components are contained in R_v^0 .*

The different parts of Main Theorem 1 are proved in various results contained in Section 4.

The third stage, determining the asymptotics, is not well known. In [BMT14, Section 6], it is shown that the large volume limit as $\alpha \rightarrow \infty$ for $(\alpha, \beta) \in \mathbb{H}$ gives a polynomial stability condition, but the converse and other directions were not considered. However, unlike the 2-dimensional case, we cannot assume the walls are bounded in all directions. In fact, it is easy to check that as $s \rightarrow 0$, the walls are unbounded, and it is theoretically possible that the number of walls is infinite.

This means that the large volume limit is more subtle than for surfaces. We need, therefore, to be more careful about what we mean by asymptotic stability, which we define precisely in Definition 7.1. We use a strong form of such asymptotic stability which effectively includes finiteness of the number of walls for a given object. We also need to be careful to specify the curve along which we are considering the asymptotics. To this end, we introduce the notion of *unbounded Θ^\pm -curve*, which is essentially a curve which is asymptotically either to the left or to the right of all Θ -curves; see Definition 5.9.

To help set this up, we also consider what would happen for surfaces, in Section 5. In our case, we look at so-called ν -stability for threefolds, which mimics stability for surfaces given by the first tilt on the category

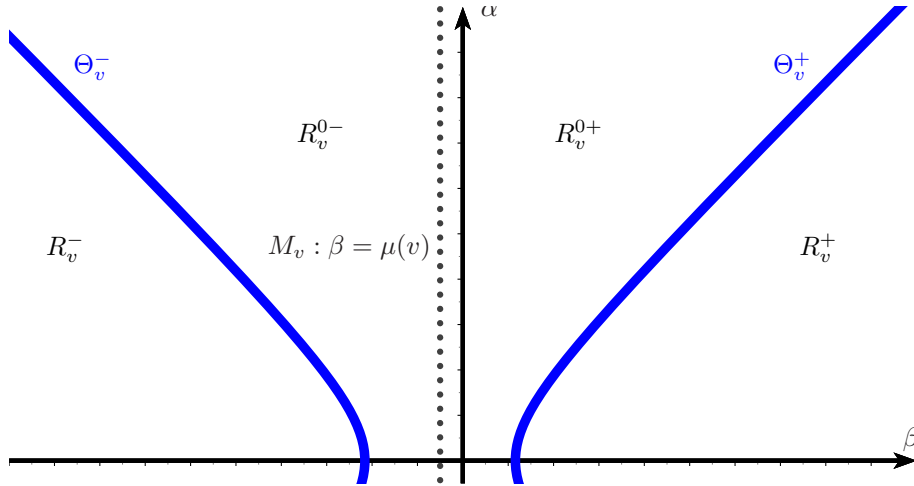


Figure 1. The four regions of the plane as defined by the hyperbola Θ_v and the vertical line $\{\beta = \mu(v)\}$ when v is a numerical Chern character satisfying the Bogomolov–Gieseker inequality $v_1^2 - 2v_0v_2 \geq 0$ and $v_0 \neq 0$. In this picture, we used $\text{ch}_{\leq 2}(v) = (2, -1, -5/2)$.

of coherent sheaves, by re-proving results about the large volume limit without the assumption that the walls are bounded.

In order to describe the asymptotics, we need to understand how the stability of an object varies along curves. For ν -stability, it turns out that stable objects can only be destabilized once along inward-moving curves (which cross ν -walls only once). It turns out that this also holds for λ -stability outside the ν -wall. See Theorem 7.8 for the details. Accomplishing such a task requires an understanding of the geometry of λ -walls. For the ν -walls, this was simple because they were circles, and the key property is that they cross the Θ_v -curve at their maximum. To understand the similar properties of λ -walls, we need to understand their differential properties in a similar way. We do this in Section 6. Unlike ν -walls, λ -walls need not be regular, and we carefully study when regularity fails. When $s = 1/3$, it is straightforward to prove that the walls are regular except if they happen to cross a very special point (on $\Gamma_{u,1/3}$ and its ν -wall), in which case there is a cusp. For other values of s , it is much harder. It turns out to be easier to study the differential properties of the 2-dimensional wall where we allow s to vary, which we call $\Sigma_{u,v}$, regarded as a real algebraic quartic surface in \mathbb{R}^3 . We show that $\Sigma_{u,v}$ is regular except at some exceptional points and for exceptional u and v (see Theorem 6.7 for the details).

Moreover, there is again a special curve, here denoted by $\Gamma_{v,s}$, which is defined as the vanishing locus of the slope function $\lambda_{\alpha,\beta,s}(v)$. When $s = 1/3$, a numerical λ -wall for v crosses $\Gamma_{v,1/3}$ at its maximum point (just as a ν -wall crosses Θ_v at its maximum) and the associated ν -wall at its minimum point. This imposes large constraints on the possible numerical λ -walls when $s = 1/3$. We also show that for $s \geq 1/3$, any wall existing for one value of s must also exist for all s . When $s < 1/3$, we show that any wall existing for s exists for all value less than that of s .

The key conclusion is the following; see also Theorem 6.17. The proof uses key differential-geometric information about $\Sigma_{u,v}$ such as its Gauss and mean curvatures.

Main Theorem 2. *Suppose a real numerical Chern character v satisfies the Bogomolov–Gieseker inequality and $v_0 \neq 0$. Any connected bounded component of a numerical λ -wall in R_v^- for some $s \geq 1/3$ intersects $\Gamma_{v,s}^-$.*

Although the same statement is not true for unbounded walls, we can describe the explicit conditions u must satisfy so that the wall corresponding to u intersects $\Gamma_{v,s}$.

We are then finally in position to prove, in Section 7, that strong asymptotic stability is equivalent to Gieseker stability. Our results can be summarized as follows.

Main Theorem 3. *Let v be a numerical Chern character with $v_0 \neq 0$ and satisfying the Bogomolov–Gieseker inequality; fix $s \geq 1/3$.*

- (1) *If γ is an unbounded Θ^- -curve, then an object $E \in D^b(X)$ is asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along γ if and only if E is a Gieseker (semi)stable sheaf.*
- (2) *If γ be an unbounded Θ^+ -curve, then an object $E \in D^b(X)$ is asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along γ if and only if E^\vee is a Gieseker (semi)stable sheaf.*

Duals of Gieseker semistable sheaves can be described via a technical lemma of independent interest which characterizes duals of torsion-free sheaves on threefolds (see Proposition 2.15 for the details). We also emphasize that more is true for the special curve $\Gamma_{v,s}$, which is an example of an unbounded Θ^- -curve: the first part of the previous statement holds for every $s > 0$.

The study of the differential geometry of λ -walls is also useful to help locate them. Here again there is a complication. For ν -walls (or walls for surfaces), a point on an actual ν -wall corresponds to an actual destabilizing sub-object F of an object E which is ν -stable on one side of the wall and ν -unstable on the other. This is then always the case at all points along the numerical ν -wall. In other words, if a portion of a numerical ν -wall is an actual ν -wall, then the whole numerical ν -wall is an actual ν -wall. It follows that to locate actual ν -walls, it suffices to look along, say, a vertical line from the limiting centre or along Θ_ν ; we do this in two examples, one in Section 8.1 and the other in Lemma 8.4.

The situation for λ -walls is quite different. While we have proved that numerical λ -walls to the left of Θ_ν must intersect $\Gamma_{v,s}$, that need not be true for actual λ -walls. However, the largest actual λ -wall (if it exists) must cross $\Gamma_{v,s}$, and this allows us to find λ -walls by working along $\Gamma_{v,s}$ from infinity.

We work out a complete example for the case of the Chern character $v = (2, 0, -1, 0)$ on \mathbb{P}^3 . This is done for the region to the left of Θ_ν in Proposition 8.8. The key step is to first find what we call *pseudo-walls*. These are given by destabilizing objects which satisfy the Bogomolov–Gieseker and generalized Bogomolov–Gieseker inequalities. This approach to finding λ -walls complements Schmidt’s method [Sch20a, Theorem 6.1], which is to observe that, essentially, the λ -walls crossing Θ_ν must also cross their associated ν -wall at the same point.

We close the paper with a number of examples for the case of \mathbb{P}^3 in Section 8. First we consider the case of the ideal sheaf of a line; it illustrates one of two typical situations in which the $\Gamma_{v,s}$ curve intersects Θ_ν . In this case, we show that there must exist a vanishing λ -wall containing the intersection point (see Theorem 4.22), and we illustrate this by constructing such a wall for this example. We also consider the ideal sheaf of a point for which $\Gamma_{v,s}$ and Θ_ν do not cross and provide some additional stability information for our final example, which is the null correlation sheaves on \mathbb{P}^3 . In this case, we show there are no ν -walls. We exhibit a number of methods to illustrate the various phenomena which can occur and to locate walls, and we show that there is effectively a single wall to the left of Θ_ν . These cases are also considered from a different point of view using Bridgeland stability in [SS21].

Throughout the paper we make very full use of the triangulated structure of the derived category of coherent sheaves and especially the octahedral axiom. We use a higher-dimensional variant of the octahedral axiom, which is key to understanding how objects vary in the heart $\mathcal{A}^{\alpha,\beta}$ along paths in the upper half plane. We illustrate this in Section 2.3 using Paul Balmer’s description of the objects of $\mathcal{A}^{\alpha,\beta}$.

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2. Background material and notation

Let X be an irreducible, non-singular projective variety of dimension 3 over an algebraically closed field of characteristic 0 with $\text{Pic}(X) = \mathbb{Z}$. Fix an ample generator L of $\text{Pic}(X)$, and write $\ell = c_1(L)$. Our assumptions mean that each object $A \in D^b(X)$ has a well-defined numerical Chern character

$$\text{ch}(A) := (\text{ch}_0(A)\ell^3, \text{ch}_1(A) \cdot \ell^2, \text{ch}_2(A) \cdot \ell, \text{ch}_3(A)) \in \mathbb{Z} \times \mathbb{Z} \times \frac{1}{2}\mathbb{Z} \times \frac{1}{6}\mathbb{Z}.$$

Abusing notation, we will simply write $\text{ch}_i(A)$ for $\text{ch}_i(A) \cdot \ell^{3-i}$. We will refer to an element of $\mathbb{R}^4 = \mathbb{R} \otimes K_{\text{num}}(X)$ as a *real numerical Chern character* and an element v of $\mathbb{Z} \times \mathbb{Z} \times \frac{1}{2}\mathbb{Z} \times \frac{1}{6}\mathbb{Z}$ as a Chern character when there is an object $A \in D^b(X)$ such that $v = \text{ch}(A)$. We write the components as $v = (v_0, v_1, v_2, v_3)$ corresponding to the Chern characters of objects so that the underlying real Chern character v which is the numerical Chern character $\text{ch}(A)$ of an object of $D^b(X)$ satisfies $v_i = \text{ch}_i(A)$.

Given $\beta \in \mathbb{R}$, recall the definition of the *twisted Chern character* $\text{ch}^\beta(A) := \exp(-\beta) \cdot \text{ch}(A) = (\text{ch}_0^\beta(A), \text{ch}_1^\beta(A), \text{ch}_2^\beta(A), \text{ch}_3^\beta(A))$. So

$$\begin{aligned} \text{ch}_0^\beta(A) &:= \text{ch}_0(A); \\ \text{ch}_1^\beta(A) &:= \text{ch}_1(A) - \beta \text{ch}_0(A); \\ \text{ch}_2^\beta(A) &:= \text{ch}_2(A) - \beta \text{ch}_1(A) + \frac{1}{2}\beta^2 \text{ch}_0(A); \\ \text{ch}_3^\beta(A) &:= \text{ch}_3(A) - \beta \text{ch}_2(A) + \frac{1}{2}\beta^2 \text{ch}_1(A) - \frac{1}{6}\beta^3 \text{ch}_0(A). \end{aligned}$$

Recall that the μ -slope of a coherent sheaf $E \in \text{Coh}(X)$ is defined as follows:

$$\mu(E) := \begin{cases} \text{ch}_1(E)/\text{ch}_0(E) & \text{if } E \text{ is torsion-free,} \\ +\infty & \text{otherwise.} \end{cases}$$

In addition, we also define

$$\begin{aligned} \mu^+(E) &:= \max\{\mu(F) \mid F \hookrightarrow E \text{ is a non-zero subsheaf}\} \quad \text{and} \\ \mu^-(E) &:= \min\{\mu(G) \mid E \twoheadrightarrow G \text{ is a non-zero quotient}\}. \end{aligned}$$

As usual, E is said to be μ -(semi)stable if every non-zero subsheaf $F \hookrightarrow E$ satisfies $\mu(F) < (\leq) \mu(E/F)$. So E is μ -semistable if and only if $\mu^+(E) = \mu(E)$ or, equivalently, $\mu^-(E) = \mu(E)$.

2.1. ν -stability

Given $\beta \in \mathbb{R}$, consider the following torsion pair on $\text{Coh}(X)$:

$$\begin{aligned} \mathcal{T}_\beta &:= \{E \in \text{Coh}(X) \mid \text{every non-zero quotient } E \twoheadrightarrow G \text{ satisfies } \mu(G) > \beta\} \quad \text{and} \\ \mathcal{F}_\beta &:= \{E \in \text{Coh}(X) \mid \text{every non-zero subsheaf } F \hookrightarrow E \text{ satisfies } \mu(F) \leq \beta\}. \end{aligned}$$

Tilting on $(\mathcal{F}_\beta, \mathcal{T}_\beta)$, one obtains an abelian subcategory $\mathcal{B}^\beta := \langle \mathcal{F}_\beta[1], \mathcal{T}_\beta \rangle$ of $D^b(X)$, which is the heart of a t-structure on $D^b(X)$.

For $B \in D^b(X)$, let $\mathcal{H}^p(B)$ denote cohomology with respect to $\text{Coh}(X)$. Observe that the objects of \mathcal{B}^β are those $B \in D^b(X)$ such that:

- $\mathcal{H}^p(B) = 0$ for $p \neq -1, 0$;
- $\mathcal{H}^{-1}(B) \in \mathcal{F}_\beta$; and
- $\mathcal{H}^0(B) \in \mathcal{T}_\beta$.

In particular, from the definition of \mathcal{F}_β , the sheaf $\mathcal{H}^{-1}(B)$ must be torsion-free.

Introducing a new parameter $\alpha \in \mathbb{R}^+$, one considers a group homomorphism

$$Z_{\alpha,\beta}^{\text{tilt}}: K_{\text{num}}(X) \rightarrow \mathbb{C},$$

called a *central charge*, given by

$$(2.1) \quad Z_{\alpha,\beta}^{\text{tilt}}(B) := -\left(\text{ch}_2^\beta(B) - \frac{1}{2}\alpha^2 \text{ch}_0(B)\right) + \sqrt{-1} \text{ch}_1^\beta(B),$$

whose corresponding slope function is

$$(2.2) \quad \nu_{\alpha,\beta}(B) := \begin{cases} \frac{\text{ch}_2^\beta(B) - \alpha^2 \text{ch}_0(B)/2}{\text{ch}_1^\beta(B)} & \text{if } \text{ch}_1^\beta(B) \neq 0, \\ +\infty & \text{if } \text{ch}_1^\beta(B) = 0. \end{cases}$$

In addition, we also define

$$\nu_{\alpha,\beta}^+(B) := \max\{\nu_{\alpha,\beta}(F) \mid F \hookrightarrow B \text{ in } \mathcal{B}^\beta, F \neq 0\} \quad \text{and}$$

$$\nu_{\alpha,\beta}^-(B) := \min\{\nu_{\alpha,\beta}(G) \mid B \twoheadrightarrow G \text{ in } \mathcal{B}^\beta, G \neq 0\}.$$

An object $B \in D^b(X)$ is said to be $\nu_{\alpha,\beta}$ -(semi)stable if $B \in \mathcal{B}^\beta$ and every non-zero sub-object $F \hookrightarrow B$ within \mathcal{B}^β satisfies $\nu_{\alpha,\beta}(F) < (\leq) \nu_{\alpha,\beta}(B/F)$. Note that E is $\nu_{\alpha,\beta}$ -semistable if and only if $\nu_{\alpha,\beta}^+(E) = \nu_{\alpha,\beta}(E)$ or, equivalently, $\nu_{\alpha,\beta}^-(E) = \nu_{\alpha,\beta}(E)$.

Every μ -semistable sheaf and every $\nu_{\alpha,\beta}$ -semistable object $B \in \mathcal{B}^\beta$ satisfies the usual Bogomolov–Gieseker inequality, which in our situation is purely numerical, see [BMT14, Corollary 7.3.2]:

$$(2.3) \quad Q^{\text{tilt}}(B) := \text{ch}_1(B)^2 - 2 \text{ch}_0(B) \text{ch}_2(B) \geq 0.$$

In addition, for certain choices of X , every $\nu_{\alpha,\beta}$ -semistable object $B \in \mathcal{B}^\beta$ also satisfies the following *generalized Bogomolov–Gieseker inequality*:

$$(2.4) \quad \begin{aligned} Q_{\alpha,\beta}(B) &= \alpha^2 Q^{\text{tilt}}(B) + 4(\text{ch}_2^\beta(B))^2 - 6 \text{ch}_1^\beta(B) \text{ch}_3^\beta(B) \\ &= Q^{\text{tilt}}(B)(\alpha^2 + \beta^2) + (6 \text{ch}_0(B) \text{ch}_3(B) - 2 \text{ch}_1(B) \text{ch}_2(B))\beta + 4 \text{ch}_2(B)^2 - 6 \text{ch}_1(B) \text{ch}_3(B) \\ &\geq 0, \end{aligned}$$

originally proposed in [BMT14, Conjecture 1.3.1]. This inequality was proved to hold for all Fano and abelian threefolds with Picard rank 1, see [Lil9b] and [BMS16, MP16], respectively, and for the quintic threefold; see [Lil9a]. We assume from now on that X is such that the generalized Bogomolov–Gieseker inequality (2.4) holds for all $\nu_{\alpha,\beta}$ -semistable objects.

Let $\mathbb{H} := \mathbb{R}^+ \times \mathbb{R}$, thought of as the upper half plane, with coordinates denoted by (α, β) . We will want to consider the slope function as a function of α and β , and to this end it is convenient to define the following function on $(\alpha, \beta) \in \mathbb{H}$:

$$(2.5) \quad \rho_v(\alpha, \beta) = v_2 - v_1 \beta + \frac{1}{2} v_0 (\beta^2 - \alpha^2),$$

which coincides with the numerator of $\nu_{\alpha,\beta}(B)$ when $v = \text{ch}(B)$. To simplify the notation, we define $\rho_B(\alpha, \beta) := \rho_{\text{ch}(B)}(\alpha, \beta)$ for objects $B \in D^b(X)$.

Note that the pair $(\mathcal{B}^\beta, Z_{\alpha,\beta}^{\text{tilt}})$ is a *weak stability condition* in $D^b(X)$, in the sense of [Tod10, Section 2], for all pairs $(\alpha, \beta) \in \mathbb{R}^+ \times \mathbb{R}$. In practical terms, this gives the following.

Proposition 2.1. *Fix $\beta \in \mathbb{R}$. If $B \in \mathcal{B}^\beta$, then $\text{ch}_1^\beta(B) \geq 0$, with equality only if $\rho_B(\alpha, \beta) \geq 0$ for all $\alpha > 0$.*

2.2. λ -stability

The next step is to consider the following torsion pair on \mathcal{B}^β :

$$\begin{aligned} \mathcal{T}_{\alpha,\beta} &:= \{E \in \mathcal{B}^\beta \mid \text{every non-zero quotient } E \twoheadrightarrow G \text{ satisfies } v_{\alpha,\beta}(G) > 0\} \quad \text{and} \\ \mathcal{F}_{\alpha,\beta} &:= \{E \in \mathcal{B}^\beta \mid \text{every non-zero sub-object } F \hookrightarrow E \text{ satisfies } v_{\alpha,\beta}(F) \leq 0\}. \end{aligned}$$

Tilting on $(\mathcal{F}_{\alpha,\beta}, \mathcal{T}_{\alpha,\beta})$, one obtains a new abelian subcategory $\mathcal{A}^{\alpha,\beta} := \langle \mathcal{F}_{\alpha,\beta}[1], \mathcal{T}_{\alpha,\beta} \rangle$ of $D^b(X)$, which is also the heart of a t-structure on $D^b(X)$.

One then introduces a third parameter $s > 0$ in order to define a family of central charges

$$Z_{\alpha,\beta,s}: K_{\text{num}}(X) \rightarrow \mathbb{C}$$

as follows, for $A \in \mathcal{A}^{\alpha,\beta}$:

$$(2.6) \quad Z_{\alpha,\beta,s}(A) := -\text{ch}_3^\beta(A) + (s + 1/6)\alpha^2 \text{ch}_1^\beta(A) + \sqrt{-1}(\text{ch}_2^\beta(A) - \alpha^2 \text{ch}_0(A)/2),$$

whose corresponding slope function is

$$(2.7) \quad \lambda_{\alpha,\beta,s}(A) := \begin{cases} \frac{\text{ch}_3^\beta(A) - (s + 1/6)\alpha^2 \text{ch}_1^\beta(A)}{\text{ch}_2^\beta(A) - \alpha^2 \text{ch}_0(A)/2} & \text{if } \text{ch}_2^\beta(A) - \alpha^2 \text{ch}_0(A)/2 \neq 0, \\ +\infty & \text{if } \text{ch}_2^\beta(A) - \alpha^2 \text{ch}_0(A)/2 = 0. \end{cases}$$

Remark 2.2. We could also consider a more general central charge, whose real part is

$$-\text{ch}_3^\beta(A) + b \text{ch}_2^\beta(A) + a \text{ch}_1^\beta(A)$$

for parameters $b \in \mathbb{R}$ and $a \in \mathbb{R}^+$; see [BMS16, Lemma 8.3] and [Piy17]. However, we will only consider the special case where $b = 0$, while $a = \alpha^2(s + 1/6)$.

An object $A \in D^b(X)$ is said to be $\lambda_{\alpha,\beta,s}$ -(semi)stable if $A \in \mathcal{A}^{\alpha,\beta}$ and every non-zero sub-object $F \hookrightarrow A$ within $\mathcal{A}^{\alpha,\beta}$ satisfies $\lambda_{\alpha,\beta,s}(F) < (\leq) \lambda_{\alpha,\beta,s}(A/F)$.

For further reference, we define for each real numerical Chern character $v \in \mathbb{R}^4$ the following function on $(\alpha, \beta) \in \mathbb{H}$:

$$(2.8) \quad \tau_{v,s}(\alpha, \beta) = v_3 - v_2\beta + \frac{1}{2}v_1\beta^2 - \frac{1}{6}v_0\beta^3 - \left(s + \frac{1}{6}\right)(v_1 - v_0\beta)\alpha^2,$$

which coincides with the numerator of $\lambda_{\alpha,\beta,s}(A)$ when $v = \text{ch}(A)$. Again, we define $\tau_{A,s}(\alpha, \beta) := \tau_{\text{ch}(A),s}(\alpha, \beta)$ for objects $A \in D^b(X)$, and we will also write $\tau_v(\alpha, \beta, s) = \tau_{v,s}(\alpha, \beta)$. Note that, when non-zero, the denominator of $\lambda_{\alpha,\beta,s}(A)$ is $\rho_A(\alpha, \beta)$.

Finally, a direct consequence of the generalized Bogomolov–Gieseker inequality (2.4) is that $(\mathcal{A}^{\alpha,\beta}, Z_{\alpha,\beta,s})$ is a (numerical) stability condition, in the sense of [BMT14, Definition 2.1.1], for every triple $(\alpha, \beta, s) \in \mathbb{R}^+ \times \mathbb{R} \times \mathbb{R}^+$. In practical terms, this yields the following.

Proposition 2.3. *Fix $(\alpha, \beta) \in \mathbb{H}$. If $A \in \mathcal{A}^{\alpha,\beta}$ is non-zero, then $\rho_A(\alpha, \beta) \geq 0$, with equality only if $\tau_{A,s}(\alpha, \beta) > 0$ for every $s \in \mathbb{R}^+$.*

The generalized Bogomolov–Gieseker inequality can also be used to prove a form of the support property for $\lambda_{\alpha,\beta,s}$ -semistability. In the case we are considering where the Picard rank is 1, we can state it as follows.

Proposition 2.4 (cf. [BMS16, Theorem 8.7]). *Suppose X is a smooth threefold with Picard rank 1 such that the generalized Bogomolov–Gieseker inequality (2.4) holds for all $v_{\alpha,\beta}$ -semistable objects. If $E \in \mathcal{A}^{\alpha,\beta}$ is $\lambda_{\alpha,\beta,s}$ -semistable, then $Q_{\alpha,\beta}(E) \geq 0$.*

Remark 2.5. This implies that $(\mathcal{A}^{\alpha,\beta}, Z_{\alpha,\beta,s})$ is a (full) Bridgeland stability condition on $D^b(X)$.

Remark 2.6. Observe that $\lambda_{\alpha,\beta,s}$ -semistable objects may not satisfy the usual Bogomolov–Gieseker inequality. For example, on \mathbb{P}^3 , the object $A := \mathcal{O}_X[2] \oplus \mathcal{O}_X(1)$ is $\lambda_{1/2\sqrt{1+6s},1/2,s}$ -semistable for every $s > 0$, but $Q^{\text{tilt}}(A) = -1$.

When we come to do more detailed computations, it will also be useful to have a more uniform notation for the various functions of $v \in K_{\text{num}}(X)$ introduced above; more precisely, we define the following:

$$(2.9) \quad \begin{aligned} \text{ch}_0^{\alpha,\beta}(v) &:= v_0, & \text{ch}_2^{\alpha,\beta}(v) &:= \rho_v(\alpha, \beta), \\ \text{ch}_1^{\alpha,\beta}(v) &:= \text{ch}_1^\beta(v), & \text{ch}_3^{\alpha,\beta}(v) &:= \tau_{v,1/3}(\alpha, \beta). \end{aligned}$$

By convention, we set $\text{ch}_i^{\alpha,\beta}(v) = 0$ for $i \notin \{0, 1, 2, 3\}$. Alternatively, one can also define

$$\text{ch}^{\alpha,\beta}(v) = \text{Re}\left(\exp(-\beta - \sqrt{-1}\alpha) \cdot v\right).$$

The reason for setting $s = 1/3$ will become clearer in Section 4.2, but one technical reason is that the partial derivatives of $\text{ch}_i^{\alpha,\beta}(v)$ with respect to α and β behave very well; more precisely,

$$(2.10) \quad \partial_\alpha \text{ch}_i^{\alpha,\beta}(v) = -\alpha \text{ch}_{i-2}^{\alpha,\beta}(v) \quad \text{and} \quad \partial_\beta \text{ch}_i^{\alpha,\beta}(v) = -\text{ch}_{i-1}^{\alpha,\beta}(v).$$

Note that $\tau_{v,s}(\alpha, \beta) = \text{ch}_3^{\alpha,\beta}(v) - \alpha^2(s - 1/3) \text{ch}_1^{\alpha,\beta}(v)$.

We also introduce

$$(2.11) \quad \begin{aligned} \Delta_{ij}(\alpha, \beta) &:= \text{ch}_i^{\alpha,\beta}(u) \text{ch}_j^{\alpha,\beta}(v) - \text{ch}_j^{\alpha,\beta}(u) \text{ch}_i^{\alpha,\beta}(v) \quad \text{and} \\ \delta_{ij}(u, v) &:= \text{ch}_i(u) \text{ch}_j(v) - \text{ch}_j(u) \text{ch}_i(v) = \Delta_{ij}(0, 0). \end{aligned}$$

In particular, note that $\Delta_{10}(\alpha, \beta) = \delta_{10}(u, v)$.

The following is an easy exercise.

Lemma 2.7. *Fix real numerical Chern characters u and v .*

- (1) *We have $\Delta_{01}\Delta_{23} + \Delta_{02}\Delta_{31} + \Delta_{12}\Delta_{03} = 0$.*
- (2) *The partial derivatives of Δ_{ij} are given by*

$$\begin{aligned} \partial_\alpha \Delta_{ij}(\alpha, \beta) &= -\alpha \left(\Delta_{i-2j}(\alpha, \beta) + \Delta_{ij-2}(\alpha, \beta) \right), \\ \partial_\beta \Delta_{ij}(\alpha, \beta) &= -\left(\Delta_{i-1j}(\alpha, \beta) + \Delta_{ij-1}(\alpha, \beta) \right). \end{aligned}$$

- (3) *Assume either $\text{ch}_i^{\alpha,\beta}(u) \neq 0$ or $\text{ch}_i^{\alpha,\beta}(v) \neq 0$. Then, for any $i, j, k \in \{0, 1, 2, 3\}$, if $\Delta_{ij}(\alpha, \beta) = 0 = \Delta_{ik}(\alpha, \beta)$, then $\Delta_{jk}(\alpha, \beta) = 0$.*
- (4) *The following are equivalent:*
 - (a) *There exist α, β and $i \in \{0, 1, 2, 3\}$ such that $\text{ch}_i^{\alpha,\beta}(v) \neq 0$ (or $\text{ch}_i^{\alpha,\beta}(u) \neq 0$) and for all $j \in \{0, 1, 2, 3\}$, $\Delta_{ij}(\alpha, \beta) = 0$.*
 - (b) *For all α, β and for all $i, j \in \{0, 1, 2, 3\}$, we have $\Delta_{ij}(\alpha, \beta) = 0$.*
 - (c) *We have $u \propto v$.*

Note that $u \propto v$ means that u and v are proportional as vectors.

In what follows, we will use $\mathcal{M}_{\alpha,\beta,s}(v)$ to denote the set of $\lambda_{\alpha,\beta,s}$ -semistable objects with Chern character v ; Piyaratne and Toda proved in [PT19] that $\mathcal{M}_{\alpha,\beta,s}(v)$ has the structure of an algebraic stack, locally of finite type over \mathbb{C} . Determining whether $\mathcal{M}_{\alpha,\beta,s}(v)$ also has the structure of a projective variety is an important problem.

2.3. The second tilt category

We will now collect some useful facts about the objects in the second tilt category $\mathcal{A}^{\alpha,\beta}$. Much of the following is well known and is easy to deduce in various *ad hoc* ways, but we give a novel treatment using higher octahedra which is of independent interest (the idea first appeared in [Ball1]). We will henceforth drop the X from the notation $\mathcal{A}^{\alpha,\beta}$. Recall that \mathcal{H}^i denotes cohomology in $\text{Coh}(X)$ and $\mathcal{H}_{\mathcal{B}}^i$ denotes cohomology in \mathcal{B}^{β} .

Suppose $A \in \mathcal{A}^{\alpha,\beta}$ for some $(\alpha,\beta) \in \mathbb{H}$. We write $A_i = \mathcal{H}_{\mathcal{B}}^{-i}(A)$ and $A_{ij} = \mathcal{H}^{-j}(A_i)$. So we have three distinguished triangles:

$$(2.12) \quad \begin{aligned} A_{11}[1] &\rightarrow A_1 \rightarrow A_{10}, \\ A_{01}[1] &\rightarrow A_0 \rightarrow A_{00}, \\ A_1[1] &\rightarrow A \rightarrow A_0. \end{aligned}$$

Because these triangles intersect, we can arrange them into a diagram as follows:

$$(2.13) \quad \begin{array}{ccccccc} A_{01} & \longrightarrow & A_0[-1] & \longrightarrow & A_1[1] & \longrightarrow & A_{10}[1] \\ & \searrow & \downarrow & & \downarrow & & \downarrow \\ & & A_{00}[-1] & \longrightarrow & C & \longrightarrow & \widetilde{A}[1] & \longrightarrow & A_{01}[1] \\ & & & \searrow & \downarrow & & \downarrow & & \downarrow \\ & & & & A & \longrightarrow & C' & \longrightarrow & A_0 \\ & & & & & \searrow & \downarrow & & \downarrow \\ & & & & & & A_{11}[3] & \longrightarrow & A_1[2] \\ & & & & & & & \searrow & \downarrow \\ & & & & & & & & A_{10}[2]. \end{array}$$

Here the squiggly arrows $X \rightsquigarrow Y$ mean $X \rightarrow Y[1]$. The diagram is meant to repeat infinitely above and to the right by shifting by $[-n]$ and $[n]$. Every square commutes, and the triangles along the diagonal are distinguished. Furthermore, each triple of morphisms formed by composing horizontally and then vertically and then looping back via the repeated diagram to the right is distinguished. The additional objects C , C' and $\widetilde{A}[1]$ are defined as cones on suitable composites. Three of the triangles are given in display (2.12), and the remaining seven are

$$(2.14) \quad \begin{aligned} A_1[1] &\rightarrow C \rightarrow A_{01}[1] \rightarrow A_1[2], \\ A_{10}[1] &\rightarrow C' \rightarrow A_0 \rightarrow A_{10}[2], \\ A_{10}[1] &\rightarrow \widetilde{A}[1] \rightarrow A_{01}[1] \rightarrow A_{10}[2], \\ C &\rightarrow A \rightarrow A_{00} \rightarrow C[1], \\ A_{11}[2] &\rightarrow A \rightarrow C' \rightarrow A_{11}[3], \\ A_{11}[2] &\rightarrow C \rightarrow \widetilde{A}[1] \rightarrow A_{11}[3], \\ \widetilde{A}[1] &\rightarrow C' \rightarrow A_{00} \rightarrow \widetilde{A}[2]. \end{aligned}$$

The first two tell us what the \mathcal{B}^{β} -cohomologies of C and C' are. The third tells us that $\widetilde{A} \in \text{Coh}(X)$. The fourth and fifth tell us what the $\mathcal{A}^{\alpha,\beta}$ -cohomologies of C and C' are, and the final two tell us what the $\text{Coh}(X)$ -cohomologies of C and C' are. In particular, we have that

$$(2.15) \quad \mathcal{H}^{-2}(A) \simeq A_{11}, \quad \mathcal{H}^{-1}(A) = \widetilde{A} \quad \text{and} \quad \mathcal{H}^0(A) \simeq A_{00}.$$

There are also five distinguished octahedra which are obtained by removing one row and column from the diagram in display (2.13) (for a more concrete example of an octahedron in this form, see the diagrams (2.22) in the proof of Proposition 2.15). The diagram can also be represented as a 4-dimensional shape given

as a truncated 5-simplex with five octahedral and five tetrahedral faces. Another way to express the diagram is that A is filtered in $D^b(X)$ by

$$A_{00}[-3] \rightarrow A_{01}[-1] \rightarrow A_{10} \rightarrow A_{11}[2] \rightarrow A$$

with factors $A_0[-2]$, \tilde{A} and $A_1[1]$, respectively.

Observe that $A_{i1} \in \mathcal{F}_\beta$, and these must be torsion-free sheaves, while $A_{i0} \in \mathcal{T}_\beta$ and, in particular, $A_{00} \in \mathcal{A}^{\alpha,\beta}$. In fact, a slightly stronger statement is true.

Lemma 2.8. *If $A \in \mathcal{A}^{\alpha,\beta}$ for some $(\alpha, \beta) \in \mathbb{H}$, then $\mathcal{H}^{-2}(A)$ must be a reflexive sheaf.*

Proof. Assume $\mathcal{H}^{-2}(A) \simeq A_{11}$ is not reflexive and $T := A_{11}^{**}/A_{11} \neq 0$. Then $T \rightarrow A_{11}[1]$ is a monomorphism in \mathcal{B}^β . Since $A_{11}[1]$ is a \mathcal{B}^β -sub-object of A_1 , it must have $v_{\alpha,\beta}^+(A_{11}) \leq 0$. However, $v_{\alpha,\beta}(T) = +\infty$, providing a contradiction. \square

The following fact will also be useful later on.

Lemma 2.9. *If $A \in \mathcal{A}^{\alpha,\beta}$ for some $(\alpha, \beta) \in \mathbb{H}$ satisfies $\mathcal{H}^{-2}(A) = \mathcal{H}^{-1}(\mathcal{H}_\beta^0(A)) = 0$, then $\mathcal{H}^p(A) \simeq \mathcal{H}_\beta^p(A)$ for $p = -1, 0$.*

Proof. Chasing through the seven triangles listed in display (2.14) with $A_{11} = A_{01} = 0$, one concludes that $\tilde{A} \simeq C \simeq A_1$, which is the same as $\mathcal{H}^{-1}(A) \simeq \mathcal{H}_\beta^{-1}(A)$ by the isomorphisms in (2.15). The vanishing of A_{01} also implies that $A_0 \simeq A_{00}$ by the sequences in display (2.12); hence $\mathcal{H}^0(A) \simeq \mathcal{H}_\beta^0(A)$. \square

We will need to consider short exact sequences in $\mathcal{A}^{\alpha,\beta}$; we look at situations where the middle term is in either \mathcal{B}^β or $\mathcal{B}^\beta[1]$.

Lemma 2.10. *If $A \in \mathcal{A}^{\alpha,\beta} \cap \mathcal{B}^\beta$ and $B \hookrightarrow A \twoheadrightarrow C$ is a short exact sequence in $\mathcal{A}^{\alpha,\beta}$, then there are in $\mathcal{A}^{\alpha,\beta}$ a short exact sequence $D \hookrightarrow A \twoheadrightarrow C'$, a quotient $C \twoheadrightarrow C'$ and an injection $B \hookrightarrow D$ such that $C', D \in \mathcal{B}^\beta$.*

Proof. Apply \mathcal{H}_β to get a long exact sequence in \mathcal{B}^β :

$$0 \rightarrow C_1 \rightarrow B \rightarrow A \rightarrow C_0 \rightarrow 0.$$

Note, in particular, that $B \in \mathcal{B}^\beta$. Split this via D . Then $v_{\alpha,\beta}^-(D) > 0$, and so $D \in \mathcal{A}^{\alpha,\beta}$. Set $C' = C_0$. Then we have a short exact sequence $D \rightarrow A \rightarrow C'$ in $\mathcal{A}^{\alpha,\beta}$ together with an injection $B \hookrightarrow D$ and a surjection $C \twoheadrightarrow C'$ also in $\mathcal{A}^{\alpha,\beta}$, as required. \square

Lemma 2.11. *If $A \in \mathcal{A}^{\alpha,\beta} \cap \mathcal{B}^\beta[1]$ and $B \hookrightarrow A \twoheadrightarrow C$ is a short exact sequence in $\mathcal{A}^{\alpha,\beta}$, then there are in $\mathcal{A}^{\alpha,\beta}$ a short exact sequence $B' \hookrightarrow A \twoheadrightarrow D$, a sub-object $B' \hookrightarrow B$ and a quotient $D \twoheadrightarrow C$ with $B', D \in \mathcal{B}^\beta[1]$.*

Proof. Apply \mathcal{H}_β to get a long exact sequence in \mathcal{B}^β :

$$0 \rightarrow B_1 \rightarrow A_1 \rightarrow C_1 \rightarrow B_0 \rightarrow 0.$$

In particular, $C \in \mathcal{B}^\beta[1]$. Split this via $D[-1]$. Then $v_{\alpha,\beta}^+(D) \leq 0$, and so $D \in \mathcal{A}^{\alpha,\beta}$. Then we have a short exact sequence $B_1[1] \hookrightarrow A \twoheadrightarrow D$ in $\mathcal{A}^{\alpha,\beta}$ together with an injection $B_1[1] \hookrightarrow B$ and a surjection $D \twoheadrightarrow C_1[1] = C$ in $\mathcal{A}^{\alpha,\beta}$, as required. \square

When the middle term is in $\text{Coh}(X)$, we can say a lot more.

Proposition 2.12. *Suppose $E \in \mathcal{A}^{\alpha,\beta} \cap \text{Coh}(X)$ and $D \hookrightarrow E$ is a non-zero monomorphism in $\mathcal{A}^{\alpha,\beta}$. Then $E \in \mathcal{B}^\beta$ and $D_{01}[2] \in \mathcal{A}^{\alpha,\beta}$. Furthermore, there are an $F \in \text{Coh}(X) \cap \mathcal{B}^\beta \cap \mathcal{A}^{\alpha,\beta}$ and monomorphisms $D \xhookrightarrow{\phi} F \hookrightarrow E$ in $\mathcal{A}^{\alpha,\beta}$ such that one of the following holds for $G := E/F$ in $\mathcal{A}^{\alpha,\beta}$:*

- (1) $G \in \text{Coh}(X) \cap \mathcal{B}^\beta$.
- (2) $G \in \text{Coh}(X)[1] \cap \mathcal{B}^\beta[1]$, and ϕ is the identity.
- (3) $G \in \text{Coh}(X)[1] \cap \mathcal{B}^\beta$.

Proof. If $A = E \in \mathcal{A}^{\alpha,\beta} \cap \mathcal{Coh}(X)$, then the triangles (2.12) and (2.14) imply $C = 0$, and then $A_1[1]$ is a sheaf, and so $A_1 = 0$. This establishes $E \in \mathcal{B}^\beta$.

Applying cohomology in \mathcal{B}^β to the triangle $D \rightarrow E \rightarrow B$, where $B = E/D$ in $\mathcal{A}^{\alpha,\beta}$, we have that $D_1 = 0$ and have a \mathcal{B}^β long exact sequence

$$(2.16) \quad 0 \rightarrow B_1 \rightarrow D \rightarrow E \rightarrow B_0 \rightarrow 0.$$

So $D \in \mathcal{B}^\beta \cap \mathcal{A}^{\alpha,\beta}$. Split (2.16) in the middle via $Q \in \mathcal{B}^\beta$, say. From the \mathcal{B}^β short exact sequence $D \rightarrow Q \rightarrow B_1[1]$, we see that $Q \in \mathcal{B}^\beta \cap \mathcal{A}^{\alpha,\beta}$ and $D \rightarrow Q$ injects in $\mathcal{A}^{\alpha,\beta}$. Applying cohomology in $\mathcal{Coh}(X)$ to the triangle $Q \rightarrow E \rightarrow B_0$, we see that $Q \in \mathcal{Coh}(X)$. Applying it to $B_1 \rightarrow D \rightarrow Q$, we get

$$B_{11} \cong D_{01} \quad \text{and} \quad 0 \rightarrow B_{10} \rightarrow D_{00} \rightarrow Q \rightarrow 0$$

in $\mathcal{Coh}(X)$. From this, it follows that $D_{01} \in \mathcal{A}^{\alpha,\beta}[-2]$.

If $D_{01} \neq 0$, we set $F = Q$, and then we repeat the above argument with Q replacing D . So we can assume $D_{01} = 0$. Suppose $B_0 = 0$. Then $Q = E$. Now set $F = D_{00} = D$. Then we have case (2) as $G := B_{10}[1] \in \mathcal{B}^\beta[1] \cap \mathcal{A}^{\alpha,\beta}$.

Otherwise, $B_0 \neq 0$. Note that $D \hookrightarrow Q$ in $\mathcal{A}^{\alpha,\beta}$, and so to find F , we may assume $B_1 = 0$ (by replacing D by Q in the above). Applying cohomology in $\mathcal{Coh}(X)$, we have a long exact sequence

$$0 \rightarrow B_{01} \rightarrow Q \rightarrow E \rightarrow B_{00} \rightarrow 0.$$

If $B_{00} = 0$, then we take $F = Q$, and we have case (3). Otherwise, we split the sequence via F . From $Q \rightarrow F \rightarrow B_{01}[1]$, we see that $F \in \mathcal{A}^{\alpha,\beta} \cap \mathcal{B}^\beta$. This is case (1). Note that the triangles $Q \rightarrow F \rightarrow B_{01}[1]$ and $B_{01}[1] \rightarrow B \rightarrow B_{00}$ imply that $B_{01}[1] \in \mathcal{A}^{\alpha,\beta}$, and so $Q \rightarrow F$ injects (and so $D \rightarrow F$ also injects).

The general case can be summarized in a higher octahedron:

$$(2.17) \quad \begin{array}{ccccccc} B_{00}[-1] & \longrightarrow & F & \longrightarrow & \widetilde{B}[1] & \longrightarrow & B_{01}[1] \\ & \searrow & \downarrow & & \downarrow & & \downarrow \\ & & E & \longrightarrow & B & \longrightarrow & B_0 \longrightarrow B_{00} \\ & & & \searrow & \downarrow & & \downarrow \\ & & & & D[1] & \longrightarrow & Q[1] \longrightarrow F[1] \\ & & & & & \searrow & \downarrow \\ & & & & & & B_{10}[2] \longrightarrow \widetilde{B}[2] \\ & & & & & & \searrow \\ & & & & & & & \downarrow \\ & & & & & & & \widetilde{B}_{01}[2]. \end{array}$$

□

2.4. Duals of semistable sheaves

Given an object $A \in D^b(X)$, we denote its derived dual by $A^\vee := R\mathcal{H}om(A, \mathcal{O}_X)[2]$. For a sheaf E , its derived dual E^\vee satisfies $\mathcal{H}^j(E^\vee) = \mathcal{E}xt^{j+2}(E, \mathcal{O}_X)$ for $j = -2, -1, 0, 1$ and $\mathcal{H}^j(E^\vee) = 0$ otherwise. If E is torsion-free, then we have the following short exact sequence in $\mathcal{Coh}(X)$:

$$(2.18) \quad 0 \rightarrow E \rightarrow E^{**} \rightarrow Q_E \rightarrow 0, \quad Q_E := E^{**}/E,$$

where $E^* := \mathcal{H}om(E, \mathcal{O}_X)$. Note that $\dim Q_E \leq 1$; letting Z_E be the maximal 0-dimensional subsheaf of Q_E , define $T_E := Q_E/Z_E$. Let E' be the kernel of the composed epimorphism $E^{**} \rightarrow Q_E \rightarrow T_E$; it fits into the following short exact sequence in $\mathcal{Coh}(X)$:

$$(2.19) \quad 0 \rightarrow E \rightarrow E' \rightarrow Z_E \rightarrow 0.$$

We then have that $\mathcal{H}^j(E^\vee) = 0$ for $j \neq -2, -1, 0$, and

$$\begin{aligned} \mathcal{H}^{-2}(E^\vee) &= E^* \simeq E^*, & \mathcal{H}^{-1}(E^\vee) &= \mathcal{E}xt^1(E, \mathcal{O}_X) \simeq \mathcal{E}xt^1(E', \mathcal{O}_X) \quad \text{and} \\ \mathcal{H}^0(E^\vee) &= \mathcal{E}xt^2(E, \mathcal{O}_X) \simeq \mathcal{E}xt^3(Z_E, \mathcal{O}_X). \end{aligned}$$

Moreover, $\mathcal{E}xt^1(E, \mathcal{O}_X) \simeq \mathcal{E}xt^1(E', \mathcal{O}_X)$ fits into the short exact sequence

$$0 \rightarrow \mathcal{E}xt^1(E^{**}, \mathcal{O}_X) \rightarrow \mathcal{E}xt^1(E, \mathcal{O}_X) \rightarrow \mathcal{E}xt^2(T_E, \mathcal{O}_X) \rightarrow 0.$$

Clearly, $E^* \in \mathcal{F}_\beta$ for $\beta > \mu^+(E^*)$, while $\mathcal{E}xt^1(E, \mathcal{O}_X) \in \mathcal{T}_\beta$ for every β ; it follows that $E^\vee[-1] \in \mathcal{B}^\beta$ for every $\beta > \mu^+(E^*)$. In addition, $Z_E^\vee[1]$ is a 0-dimensional sheaf, so $Z_E^\vee[1] \in \mathcal{T}_\beta \subset \mathcal{B}^\beta$ for every β . Comparing with the triangle

$$(2.20) \quad E^\vee \rightarrow E^\vee \rightarrow Z_E^\vee[1]$$

obtained from dualizing the sequence in display (2.19), we have proved the following.

Proposition 2.13. *If E is a torsion-free sheaf and*

$$0 \rightarrow E \rightarrow E' \rightarrow Z_E \rightarrow 0$$

*is the sequence (2.19), where Z_E is the maximal 0-dimensional subsheaf of E^{**}/E , then*

$$(2.21) \quad \mathcal{H}_\beta^{-1}(E^\vee) \simeq E^\vee[-1] \quad \text{and} \quad \mathcal{H}_\beta^0(E^\vee) \simeq Z_E^\vee[1] \simeq \mathcal{E}xt^2(E, \mathcal{O}_X)$$

whenever $\beta > \mu^+(E^)$.*

We can also formulate a converse to this construction. Let $\mathit{Coh}(X)_d$ denote the category of coherent sheaves on X of dimension at most d . We want to be able to characterize when an object $A \in D^b(X)$ which has cohomology in three consecutive places A^i, A^{i+1} and A^{i+2} such that A^i is reflexive and $A^{i+j} \in \mathit{Coh}(X)_{2-j}$ for $j = 1, 2$ is the shift of the dual of a torsion-free sheaf. We will make use of this when we analyze the asymptotics for $\beta \gg 0$; we will express them in the form of lifting properties. First we need a technical lemma as an intermediate step. The equivalent statement in dimension 2 is an easy exercise: if A has cohomology in two places with A^i locally free and $A^{i+1} \in \mathit{Coh}(X)_0$ such that any subsheaf $S \rightarrow A^{i+1}$ does not lift to A , then A is the shift of the dual of a torsion-free sheaf.

Lemma 2.14. *An object $A \in D^b(X)$ satisfies the conditions*

- (1) $\mathcal{H}^i(A) = 0, i \neq -2, -1, 0,$
- (2) $F := \mathcal{H}^{-2}(A)$ is a reflexive sheaf,
- (3) $G := \mathcal{H}^{-1}(A) \in \mathit{Coh}(X)_1,$
- (4) $S := \mathcal{H}^0(A) \in \mathit{Coh}(X)_0,$
- (5) the induced map $F^* \rightarrow \mathcal{E}xt^2(G, \mathcal{O}_X)$ surjects, with kernel K , say,
- (6) the induced map $\mathcal{E}xt^1(F, \mathcal{O}_X) \rightarrow \mathcal{E}xt^3(G, \mathcal{O}_X)$ is an isomorphism, and
- (7) the induced map $f: K \rightarrow \mathcal{E}xt^3(S, \mathcal{O}_X)$ surjects

if and only if $E := A^\vee \cong \ker f$ is a torsion-free sheaf.

Proof. To see where these induced maps come from, consider the triangles

$$\begin{aligned} F[2] \rightarrow A \rightarrow \tilde{F} \rightarrow F[3], \\ G[1] \rightarrow \tilde{F} \rightarrow S \rightarrow G[2]. \end{aligned}$$

Then we have maps

$$\mathcal{H}om(F, \mathcal{O}_X) \rightarrow \mathit{RHom}(\tilde{F}[-3], \mathcal{O}_X) \rightarrow \mathit{RHom}(G[-2], \mathcal{O}_X) \cong \mathcal{E}xt^2(G, \mathcal{O}_X)$$

and $\mathcal{E}xt^1(F, \mathcal{O}_X) \rightarrow \mathit{RHom}(\tilde{F}[-4], \mathcal{O}_X) \rightarrow \mathcal{E}xt^3(G, \mathcal{O}_X)$. The final one arises because there is a short exact sequence of sheaves

$$0 \rightarrow \mathcal{E}xt^3(S, \mathcal{O}_X) \rightarrow \mathcal{E}xt^3(\tilde{F}, \mathcal{O}_X) \rightarrow \mathcal{E}xt^2(G, \mathcal{O}_X) \rightarrow 0,$$

and so $K \rightarrow \mathcal{E}xt^3(\tilde{F}, \mathcal{O}_X)$ lifts to $K \rightarrow \mathcal{E}xt^3(S, \mathcal{O}_X)$.

The lemma follows immediately from the spectral sequence

$$\mathcal{E}xt^{-p}(\mathcal{H}^q(A), \mathcal{O}_X) \Rightarrow \mathcal{H}^{q-p+2}(A^\vee),$$

which converges on the third page to a single entry at $(p, q) = (0, -2)$. Note that E is torsion-free because it is the subsheaf of a reflexive sheaf (namely F^*). We also have that $E' \cong K$, $Z_E \cong \mathcal{E}xt^3(S, \mathcal{O}_X)$, $Q_E \cong \mathcal{E}xt^2(G, \mathcal{O}_X)$ and $T_E \cong \mathcal{E}xt^3(G, \mathcal{O}_X)$. \square

We can give a more categorical description of the conditions as follows.

Proposition 2.15. *Suppose $A \in D^b(X)$. Then A satisfies the conditions*

- (1) $\mathcal{H}^i(A) = 0$, $i \neq -2, -1, 0$,
- (2) $F := \mathcal{H}^{-2}(A)$ is a reflexive sheaf,
- (3) $G := \mathcal{H}^{-1}(A) \in \mathcal{C}oh(X)_1$,
- (4) $S := \mathcal{H}^0(A) \in \mathcal{C}oh(X)_0$,
- (5) no monomorphism $S' \rightarrow S$ in $\mathcal{C}oh(X)$ lifts to A , and
- (6) no monomorphism $G' \rightarrow G$ in $\mathcal{C}oh(X)$ lifts to $A[-1]$

if and only if $E := A^\vee$ is a torsion-free sheaf.

Proof. It is easy to see that the final two conditions are necessary because otherwise we would have maps $E \rightarrow \mathcal{E}xt^3(S', \mathcal{O}_X)[-3]$ or $E \rightarrow \mathcal{E}xt^2(G', \mathcal{O}_X)[-2]$ or $E \rightarrow \mathcal{E}xt^3(G', \mathcal{O}_X)[-3]$, which are impossible.

For the converse, we show that (5) implies Lemma 2.14(7) while (6) implies Lemma 2.14(5) and Lemma 2.14(6) by considering the contrapositives. For the proof, we consider the octahedron

$$(2.22) \quad \begin{array}{ccccc} F[2] & \xlongequal{\quad} & F[2] & & \\ \downarrow & & \downarrow & & \\ B[1] & \longrightarrow & A & \longrightarrow & S \\ \downarrow & & \downarrow & & \parallel \\ G[1] & \longrightarrow & \tilde{F} & \longrightarrow & S \end{array} \quad \text{or} \quad \begin{array}{ccccccc} S[-1] & \longrightarrow & B[1] & \longrightarrow & G[1] & & \\ & \swarrow & \downarrow & & \downarrow & & \\ & & A & \longrightarrow & \tilde{F} & \longrightarrow & S \\ & & & \swarrow & \downarrow & & \\ & & & & F[3] & \longrightarrow & B[2] \\ & & & & & \swarrow & \\ & & & & & & G[2]. \end{array}$$

Observe that the triangle $F^\vee[-2] \rightarrow G^\vee \rightarrow B^\vee$ shows that when Lemma 2.14 holds, $B^\vee = K[1]$.

First suppose $K \rightarrow \mathcal{E}xt^3(S, \mathcal{O}_X)$ fails to surject. Let the quotient be denoted by T ; this is in $\mathcal{C}oh(X)_0$. Let S' be $\mathcal{E}xt(T, \mathcal{O}_X)$. Then $S' \rightarrow S$, and the composite with $S \rightarrow B[2]$ vanishes and so lifts to A , as required.

Now suppose item (5) or (6) of Lemma 2.14 fails. Applying cohomology to the left vertical triangle of our octahedron and abbreviating $\mathcal{H}^i(B)$ to B^i , we have

$$0 \rightarrow B^{-1} \rightarrow F^* \rightarrow \mathcal{E}xt^2(G, \mathcal{O}_X) \rightarrow B^0 \rightarrow \mathcal{E}xt^1(F, \mathcal{O}_X) \rightarrow \mathcal{E}xt^3(G, \mathcal{O}_X) \rightarrow B^1 \rightarrow 0.$$

Then the cone on $B^{-1}[1] \rightarrow B$ is the dual of an object G' supported in dimension 1, and there is a map $G' \rightarrow G$ which lifts to $G' \rightarrow B$. But there are no morphisms $G'[1] \rightarrow T[-1]$, and so this lifts to a non-zero map $G'[1] \rightarrow A$, as required. \square

Remark 2.16. We can rephrase item (5) in Proposition 2.15 to say that if $K \rightarrow A$ is a map from a sheaf in $\mathcal{C}oh(X)_0$, then the induced map $K \rightarrow S$ must be zero. Similarly, item (6) becomes the statement that if $K[1] \rightarrow A$ is a map with $K \in \mathcal{C}oh(X)_1$, then the induced map $K \rightarrow G$ must be zero. In practice, these are easier to test, but we will make more use of the conditions stated in Proposition 2.15. It is also clear how to extend the statement to higher dimensions.

2.5. Refining notions of stability for sheaves

We complete this section by introducing a stability condition in $\mathcal{C}oh(X)$ which interpolates between μ -stability and Gieseker stability; it will play a role in some of the proofs below.

The Hilbert polynomial of a coherent sheaf E on X with respect to the polarization L is

$$P_E(t) := \chi(E \otimes L^{\otimes t}) = \text{ch}_0(E)\chi(L^{\otimes t}) + \text{ch}_1(E)x_2(t) + \text{ch}_2(E)x_1(t) + \text{ch}_3(E),$$

where

$$x_2(t) := \left(\frac{1}{2} t^2 L^2 + t \operatorname{td}_1(X) \cdot L + \operatorname{td}_2(X) \right), \quad x_1(t) := (tL + \operatorname{td}_1(X)),$$

and the $\operatorname{td}_j(X)$ denote the Todd classes of X . If F is another coherent free sheaf on X , we define, following [Rud97, Section 2],

$$\Lambda(E, F) := \left(\delta_{10}(E, F), \delta_{20}(E, F), \delta_{30}(E, F), \delta_{21}(E, F), \delta_{31}(E, F), \delta_{32}(E, F) \right),$$

where $\delta_{ij}(E, F) := \delta_{ij}(\operatorname{ch}(E), \operatorname{ch}(F))$ following the notation introduced in display (2.11).

We remark that a coherent sheaf E is Gieseker (semi)stable if and only if every proper, non-trivial subsheaf $F \hookrightarrow E$ satisfies $\Lambda(E, F) > (\geq) 0$ in the lexicographic order. For instance, assume E is torsion-free, and let $F \hookrightarrow E$ be a proper subsheaf; letting $p_E(t)$ denote the reduced Hilbert polynomial of the sheaf E , we have

$$p_E(t) - p_F(t) = \frac{1}{\operatorname{ch}_0(E)\operatorname{ch}_0(F)} \left(\delta_{10}(E, F)x_2(t) + \delta_{20}(E, F)x_1(t) + \delta_{30}(E, F) \right),$$

so E is Gieseker (semi)stable if and only if

$$\left(\delta_{10}(E, F), \delta_{20}(E, F), \delta_{30}(E, F) \right) > (\geq) 0$$

in the lexicographic order. Similarly, a torsion-free sheaf E is Gieseker (semi)stable if and only if every quotient sheaf $E \twoheadrightarrow G$ satisfies

$$\left(\delta_{10}(E, G), \delta_{20}(E, G), \delta_{30}(E, G) \right) < (\leq) 0$$

in the lexicographic order.

In what follows, it will be important to consider another notion of stability for torsion-free sheaves, which is equivalent to the notion of stability in the category $\operatorname{Coh}_{3,1}(X)$ in the sense of [HL10, Definition 1.6.3].

Definition 2.17. A torsion-free sheaf E on X is said to be $\mu_{\leq 2}$ -(semi)stable if every proper, non-trivial subsheaf $F \hookrightarrow E$ satisfies $(\delta_{10}(E, F), \delta_{20}(E, F)) > (\geq) 0$ in the lexicographic order.

For the sheaf E' defined as in display (2.19), we observe that:

- (i) E is μ -(semi)stable if and only if E' is μ -(semi)stable;
- (ii) E is $\mu_{\leq 2}$ -(semi)stable if and only if E' is $\mu_{\leq 2}$ -(semi)stable.

The first claim follows from the fact that $E'^* = E^*$. For the second claim, note that $\operatorname{ch}_{\leq 2}(E') = \operatorname{ch}_{\leq 2}(E)$ since E'/E is 0-dimensional; in addition, any subsheaf $F' \hookrightarrow E'$ will induce a subsheaf $F \hookrightarrow E$ such that $\operatorname{ch}_{\leq 2}(F') = \operatorname{ch}_{\leq 2}(F)$.

Clearly, one has the following chains of implications:

$$\begin{aligned} \mu\text{-stable} &\Rightarrow \mu_{\leq 2}\text{-stable} \Rightarrow \text{Gieseker stable} \Rightarrow \\ &\Rightarrow \text{Gieseker semistable} \Rightarrow \mu_{\leq 2}\text{-semistable} \Rightarrow \mu\text{-semistable}. \end{aligned}$$

Example 2.18. It is not hard to find explicit examples that show that the reverse implications do not hold in general. Indeed, for $X = \mathbb{P}^3$, let S be a rank 2 reflexive sheaf given as an extension of an ideal sheaf of a line $L \subset \mathbb{P}^3$ by $\mathcal{O}_{\mathbb{P}^3}$; note that $\operatorname{ch}(S) = (2, 0, -1, 1)$. Let $C \subset \mathbb{P}^3$ be curve, and consider an epimorphism $\varphi: S \twoheadrightarrow \mathcal{O}_C(k)$; define $E_\varphi := \ker \varphi$.

- (1) If C is a line not intersecting L and $k > 0$, then E_φ is $\mu_{\leq 2}$ -semistable but not Gieseker semistable.
- (2) If C is a conic not intersecting L and $k = 0$, then E_φ is $\mu_{\leq 2}$ -stable but not μ -stable.
- (3) If C is a line intersecting L in a single point, then E_φ is Gieseker stable but not $\mu_{\leq 2}$ -stable.

Finally, we also recall the following notion of stability for sheaves of dimension 2; compare with [HL10, Definition 1.6.8].

Definition 2.19. A torsion sheaf $T \in \text{Coh}(X)_2$ on X is said to be $\hat{\mu}$ -(semi)stable if it is pure and every subsheaf $U \subset T$ satisfies

$$\hat{\mu}(U) := \frac{\text{ch}_2(U)}{\text{ch}_1(U)} < (\leq) \frac{\text{ch}_2(T)}{\text{ch}_1(T)} = \hat{\mu}(T).$$

Setting $\Lambda_2(E, F) := (\delta_{10}(E, F), \delta_{20}(E, F), \delta_{21}(E, F))$, we introduce the following version of the Harder–Narasimhan filtration, which will be useful later on.

Lemma 2.20. *Every sheaf E admits a filtration $0 = E_0 \subseteq E_1 \subset \dots \subset E_n = E$ satisfying the following conditions:*

- (1) $\dim E_1 \leq 1$, and $\dim E_k \geq 2$ for every $k \geq 2$.
- (2) Each factor $G_k := E_k/E_{k-1}$ for $k \geq 2$ is either $\hat{\mu}$ -semistable (if $\dim E_k/E_{k-1} = 2$) or $\mu_{\leq 2}$ -semistable (if E_k/E_{k-1} is torsion-free), and

$$\Lambda_2(G_k, G_{k+1}) > 0 \quad \text{for } k = 1, \dots, n-1.$$

Proof. Let E_1 be the maximal subsheaf of E of dimension at most 1, so that $F := E/T$ has dimension at least 2. Note that this E_1 might be the zero sheaf.

Next, let $F = F_0 \rightarrow F_1 \rightarrow \dots \subset F_n = 0$ be the Harder–Narasimhan cofiltration of F as an object in the category $\text{Coh}_{3,1}(X)$, in the sense of [HL10, Theorem 1.6.7]; each F_k is a sheaf of dimension at least 2, either $\hat{\mu}$ - or $\mu_{\leq 2}$ -semistable. Composing with $E \rightarrow F$ provides our required cofiltration and hence a filtration in the usual way. \square

3. Regions of \mathbb{H}

Let v be a real numerical Chern character with $v_0 \neq 0$ satisfying $Q^{\text{tilt}}(v) \geq 0$. It follows that the curve

$$\Theta_v := \{\rho_v(\alpha, \beta) = 0\}$$

is a hyperbola in \mathbb{H} , centered around the vertical line $M_v := \{\beta = \mu(v)\}$; explicitly,

$$(3.1) \quad \rho_v(\alpha, \beta) = 0 \iff (\beta - \mu(v))^2 - \alpha^2 = \frac{Q^{\text{tilt}}(v)}{v_0^2}.$$

When v fails the Bogomolov–Gieseker inequality, we occasionally still consider Θ_v , but now it is a single-branch hyperbola cutting the α -axis.

The hyperbola Θ_v divides \mathbb{H} into three regions $R^- \sqcup R^0 \sqcup R^+$ defined as follows:

$$\begin{aligned} R_v^- &= \{(\alpha, \beta) \mid \rho_v(\alpha, \beta) > 0 \text{ and } \beta < \mu(v)\}, \\ R_v^0 &= \{(\alpha, \beta) \mid \rho_v(\alpha, \beta) < 0 \text{ or } \rho_v(\alpha, \beta) = 0 \text{ and } \beta < \mu(v)\}, \quad \text{and} \\ R_v^+ &= \{(\alpha, \beta) \mid \rho_v(\alpha, \beta) \geq 0 \text{ and } \beta > \mu(v)\}. \end{aligned}$$

Notice that R_v^- does not include any of the Θ_v , whereas R^0 and R_v^+ include the branch of Θ_v to their left. In addition, R_v^0 is split in half by the vertical line $\{\beta = v_1/v_0\}$; we shall denote these regions by R_v^{0+} and R_v^{0-} (to include the vertical line) to the left and right, respectively. These regions are illustrated in Figure 1.

Remark 3.1. The various cases which arise in Proposition 2.12 can be rewritten usefully in terms of these regions. In the notation of the statement, we have $F \in R_{\text{ch}(F)}^-$, and then the cases are:

- (1) $G \in R_{\text{ch}(G)}^-$,
- (2) $G \in R_{\text{ch}(G)}^{0+}$,
- (3) $G \in R_{\text{ch}(G)}^{0-}$.

The categories $\mathcal{A}^{\alpha, \beta}$ along the curve Θ_v satisfy some strong conditions. These form the basis for Theorem 4.21 (see also [Sch20a, Lemmas 6.2 and 6.4]).

Proposition 3.2. *If $A \in \mathcal{A}^{\alpha,\beta}$ with $\text{ch}(A) = v$ and $(\alpha, \beta) \in \Theta_v$, then, for every $s > 0$, A is $\lambda_{\alpha,\beta,s}$ -semistable, $\mathcal{H}_\beta^0(A) \in \text{Coh}(X)_0$, and $\mathcal{H}_\beta^{-1}(A)$ is $\nu_{\alpha,\beta}$ -semistable.*

Conversely, if $B \in \mathcal{B}^\beta$ with $\text{ch}_{\leq 2}(B) = \text{ch}_{\leq 2}(v)$ is $\nu_{\alpha,\beta}$ -semistable for some $(\alpha, \beta) \in \Theta_v$ and $P \in \text{Coh}(X)_0$, then every non-trivial extension $A \in \text{Ext}^1(P, B[1])$ with $\text{ch}(A) = v$ belongs to $\mathcal{A}^{\alpha,\beta}$ and is $\lambda_{\alpha,\beta,s}$ -semistable for every $s > 0$.

Proof. Suppose $F \hookrightarrow A$ is a sub-object in $\mathcal{A}^{\alpha,\beta}$. Since $\rho_A(\alpha, \beta) = 0$, we have that $\rho_F(\alpha, \beta) = 0$ as well; thus $\lambda_{\alpha,\beta,s}(F) = \infty$. The analogous statement holds for quotient objects. Hence, E is $\lambda_{\alpha,\beta,s}$ -semistable for every $s > 0$.

Now write A as

$$0 \rightarrow A_1[1] \rightarrow A \rightarrow A_0 \rightarrow 0$$

in $\mathcal{A}^{\alpha,\beta}$. As in the argument above, we also conclude that $\rho_{A_0}(\alpha, \beta) = 0$. However, this contradicts $\nu_{\alpha,\beta}^-(A_0) > 0$ unless $A_0 \in \text{Coh}(X)_0$. Since $A_1 \in \mathcal{F}_{\alpha,\beta}$, we have $\nu_{\alpha,\beta}^+(A_1) \leq 0 = \nu_{\alpha,\beta}(A_1)$, and so A_1 is $\nu_{\alpha,\beta}$ -semistable.

For the second statement, note that every sub-object $F \hookrightarrow B$ within \mathcal{B}^β satisfies $\nu_{\alpha,\beta}(F) \leq \nu_{\alpha,\beta}(B) = 0$ since B is $\nu_{\alpha,\beta}$ -semistable and $(\alpha, \beta) \in \Theta_v$. It follows that $B \in \mathcal{F}_{\alpha,\beta}$; thus $B[1] \in \mathcal{A}^{\alpha,\beta}$. Since $P \in \text{Coh}(X)_0$, then $P \in \mathcal{T}_{\alpha,\beta}$ for every $(\alpha, \beta) \in \text{IH}$; thus any extension $A \in \text{Ext}^1(P, B[1])$ does belong to $\mathcal{A}^{\alpha,\beta}$. For every $s > 0$, we have $\lambda_{\alpha,\beta,s}(A) = +\infty$ because $(\alpha, \beta) \in \Theta_v$; thus A must be $\lambda_{\alpha,\beta,s}$ -semistable. \square

3.1. Variation of $\mathcal{A}^{\alpha,\beta}$ along paths

Fix a numerical Chern character v , a point $(\alpha, \beta) \in R_v^-$ and some object A of $\mathcal{A}^{\alpha,\beta}$ with $\text{ch}(A) = v$. Suppose $\gamma: [0, b] \rightarrow \text{IH}$ is a path in the upper half plane with $\gamma(0) = (\alpha, \beta)$. Let $\pi_i: \text{IH} \rightarrow \mathbb{R}$ be the projection maps (so that π_1 picks out the α -value and π_2 the β -value). First assume $\beta(t) := \pi_2(\gamma(t))$ is constant and $\alpha(t) := \pi_1(\gamma(t))$ is monotonic increasing. As before we write A_0 and A_1 for the \mathcal{B}^β -cohomology of A . Observe that the Harder-Narasimhan factors of A_0 and A_1 with respect to $\nu_{\alpha,\beta}$ are locally constant (they change only on λ -walls corresponding to the factors). Let A_0^- be the Harder-Narasimhan factor of A_0 with $\nu_{\alpha,\beta}(A_0^-) = \nu_{\alpha,\beta}^-(A_0)$. Then as we move along γ (upwards), A remains in $\mathcal{A}^{\alpha,\beta}$ until we cross $\Theta_{\text{ch}(A_0^-)}^-$ (in a Harder-Narasimhan chamber), at which point $\mathcal{H}_A^1(A) = A_0^-$. But since $\nu_{\alpha,\beta}^+(A_1) < 0$ and we are traversing the path upwards, for any Harder-Narasimhan factor A_1' of A_1 with $\text{ch}(A_1') = v'$, we start in the region $R_{v'}^0$. Hence we can only cross $\Theta_{\text{ch}(A_1')}^+$, but this does not take A out of $\mathcal{A}^{\gamma(t)}$. The reverse happens if $\alpha(t)$ is monotonic decreasing. In this case, if A_1^+ is the Harder-Narasimhan factor corresponding to $\nu_{\gamma(t)}^+(A_1)$, then as we cross $\Theta_{\text{ch}(A_1^+)}^+$, A moves out of $\mathcal{A}^{\gamma(t)}$ with $\mathcal{H}_A^{-1}(A) = A_1^+$. Otherwise, A remains in $\mathcal{A}^{\gamma(t)}$.

This also applies to horizontal paths, but now we need to consider what happens when we cross vertical lines $M_u = \{\beta = \mu(u)\}$ corresponding to u equal to Chern characters of μ -Harder-Narasimhan factors of A_{00}, A_{10}, A_{01} and A_{11} . In this case, we see that crossing these lines does not affect A . To see this, consider one of the cases where $\mu_-(A_{10}) = \mu(B)$ and $\tilde{A}_{10} = \ker(A_{10} \rightarrow B)$ in $\text{Coh}(X)$. Then we have an octahedron

$$\begin{array}{ccccccc} A_1 & \longrightarrow & A_{10} & \longrightarrow & B & & \\ & \searrow & \downarrow & & \downarrow & & \\ & & A_{11}[2] & \longrightarrow & Q[1] & \longrightarrow & A_1[1] \\ & & & \searrow & \downarrow & & \downarrow \\ & & & & \tilde{A}_{10}[1] & \longrightarrow & A_{10}[1] \\ & & & & & \searrow & \downarrow \\ & & & & & & B[1] \end{array}$$

for some new object Q . From this it follows that $Q \in \mathcal{B}^\beta$ and that $\nu_{\alpha,\beta}^*(Q) \leq 0$ as Q is a \mathcal{B}^β -sub-object of A_1 . So $Q[1] \in \mathcal{A}^{\alpha,\beta}$. Now we add the triangle $A_1[1] \rightarrow A \rightarrow A_0$ to the octahedra by inserting a second column

and second row to form a higher octahedron (see Section 2.3)

$$(3.2) \quad \begin{array}{ccccccc} A_0[-2] & \longrightarrow & A_1 & \longrightarrow & A_{10} & \longrightarrow & B \\ & \searrow & \downarrow & & \downarrow & & \downarrow \\ & & A[-1] & \longrightarrow & C'[-1] & \longrightarrow & D[-1] \longrightarrow A_0[-1] \\ & & & \searrow & \downarrow & & \downarrow \\ & & & & A_{11}[2] & \longrightarrow & Q[1] \longrightarrow A_1[1] \\ & & & & & \searrow & \downarrow \\ & & & & & & \tilde{A}_{10}[1] \longrightarrow A_{10}[1] \\ & & & & & & & \searrow \\ & & & & & & & & B[1] \end{array}$$

with a new object D , where C' is from (2.14). The triangle $B[1] \rightarrow D \rightarrow A_0$ implies that $D \in \mathcal{A}^{\alpha, \beta}$. We also see that $Q[1] \rightarrow A \rightarrow D$ is a triangle and so $A \in \mathcal{A}^{\alpha, \beta}$. Hence, A remains in $\mathcal{A}^{\alpha, \beta}$ through M_u . The other cases follow similarly.

In conclusion, we have proved the following.

Theorem 3.3. *Suppose $(\alpha_0, \beta_0) \in \mathbb{H}$ and $A \in \mathcal{A}^{\alpha_0, \beta_0}$. Let A_0^- be the Harder–Narasimhan factor of $A_0 \in \mathcal{B}^{\beta_0}$ with least ν_{α_0, β_0} and A_1^+ the Harder–Narasimhan factor of A_1 with the greatest ν_{α_0, β_0} . Also suppose $\Theta_{\text{ch}(A_1^+)}^+$ does not contain (α_0, β_0) . Then there is an open neighbourhood U of (α_0, β_0) such that $A \in \mathcal{A}^{\alpha, \beta}$ for all $(\alpha, \beta) \in U$. Furthermore, any boundary of U consists of Θ_w^+ -curves associated to sub-objects in \mathcal{B}^β or Θ_w^- -curves of quotient objects.*

3.2. The Γ -curve

The next step is to understand the vanishing locus of λ -slope for a given numerical Chern vector v and to study its relative position with respect to Θ_v .

Definition 3.4. For any real numerical Chern character v and $s \geq 0$, we define the curve

$$\Gamma_{v,s} := \{(\alpha, \beta) \in \mathbb{H} \mid \tau_{v,s}(\alpha, \beta) = 0\}.$$

In addition, we set $\Gamma_{v,s}^\bullet := \Gamma_{v,s} \cap R_v^\bullet$, with $\bullet = -, 0, +$.

The curve $\Gamma_{v,s}$ is given explicitly by

$$(3.3) \quad v_0 \beta^3 - 3v_1 \beta^2 + (6v_2 - (6s+1)v_0 \alpha^2) \beta + ((6s+1)v_1 \alpha^2 - 6v_3) = 0.$$

If $v_0 \neq 0$, equation (3.3) is cubic on β ; hence $\Gamma_{v,s}$ has at most three connected components. In fact, there exists an $\alpha_0 > 0$ such that

$$\Gamma_{v,s} \cap \{(\alpha, \beta) \in \mathbb{H} \mid \alpha > \alpha_0\}$$

has exactly three connected components. Two of these components are asymptotic to lines $\alpha \sqrt{6s+1} = \pm(\beta - v_1/v_0)$ and therefore lie in the regions R_v^\pm ; these are the curves $\Gamma_{v,s}^\pm$ in Definition 3.4 above. The third component, namely $\Gamma_{v,s}^0$, either coincides with or is asymptotic to the vertical line $\beta = v_1/v_0$.

Example 3.5. When $v = (m, 0, -n, 0)$ for some $m, n > 0$, equation (3.3) simplifies to

$$(m\beta^2 - (6s+1)m\alpha^2 - 6n)\beta = 0,$$

so $\Gamma_{v,s}$ consists of exactly three components: $\Gamma_{v,s}^\pm$ are the two branches of the hyperbola $\beta^2 - (6s+1)\alpha^2 = 6n/m$, and $\Gamma_{v,s}^0$ coincides with the vertical axis $\{\beta = 0\}$.

In the limit $s \rightarrow 0$, also assuming that the Bogomolov–Gieseker inequality $v_1^2 \geq 2v_0v_2$ holds for v , the curves $\Gamma_{v,s=0}^\pm$ are co-asymptotic with the two branches of the hyperbola Θ_v .

It turns out that the position of the different branches of the cubic curve $\Gamma_{v,s}$ relative to the two branches of the hyperbola Θ_v has an important geometric meaning, which is unravelled in the following two statements.

Proposition 3.6. *Let v be a real numerical Chern character with $v_0 \neq 0$ satisfying the Bogomolov–Gieseker inequality (2.3). If, for each $(\alpha, \beta) \in \mathbb{H}$, there is an object $E \in \mathcal{A}^{\alpha, \beta}$ with $\text{ch}(E) = v$, then $\Gamma_{v,s}$ consists of exactly three connected components:*

$$\Gamma_{v,s}^- \sqcup \Gamma_{v,s}^0 \sqcup \Gamma_{v,s}^+$$

and

$$(3.4) \quad q(E) := 3v_1^2v_2^2 - 6v_1^3v_3 + 18v_0v_1v_2v_3 - 8v_0v_2^3 - 9v_0^2v_3^2 \geq 0.$$

Proof. The hypotheses imply that the three asymptotic branches $\Gamma_{v,s}^\bullet$ remain in their respective regions R_v^\bullet for $\bullet = +, -, 0$ all the way down to the horizontal axis $\{\alpha = 0\}$, and so we have three distinct irreducible components, as given.

Recall that a cubic equation admits three distinct real roots exactly when its discriminant is positive. Regarding equation (3.3) as a cubic in β , an easy computation gives its discriminant as (108 times)

$$(3.5) \quad \begin{aligned} q_\alpha(E) := & \alpha^6 v_0^4 (6s+1)^3 + 9\alpha^4 v_0^2 (6s+1)^2 (v_1^2 - 2v_0v_1) \\ & + 27\alpha^2 (6s+1) (v_1^2 - 2v_0v_1)^2 \\ & + 27 (3v_1^2v_2^2 - 6v_1^3v_3 + 18v_0v_1v_2v_3 - 8v_0v_2^3 - 9v_0^2v_3^2). \end{aligned}$$

Setting $\alpha = 0$ yields (3.4). Finally, we need to know that q_α can only change sign once as α varies. To see that, observe that

$$\frac{dq_\alpha(E)}{d\alpha^2} = 3(6s+1) \left(v_0^2(6s+1)\alpha^2 + 3(v_1^2 - 2v_0v_1) \right)^2 > 0.$$

So as a cubic in α^2 , q_α has a single point of inflection and so can change sign at most once. Note that, since $v_1^2 \geq 2v_0v_1$, the point of inflection does not occur for any $\alpha > 0$. \square

We also make use of the following.

Definition 3.7. Suppose $v_0 \neq 0$ and $Q^{\text{tilt}}(v) \geq 0$. We define the region $R_{v,s}^L$ to be

$$\{(\alpha, \beta) \in \mathbb{H} \mid \alpha < \alpha_0 \text{ whenever } (\alpha_0, \beta) \in \Gamma_{v,s}^- \cap R_v^-\}.$$

Alternatively,

$$R_{v,s}^L = \{(\alpha, \beta) \in \mathbb{H} \mid v_0 \tau_{v,s}(\alpha, \beta) \geq 0\} \cap R_v^-.$$

Note that if $q(v) < 0$, then $\Gamma_{v,s}$ intersects Θ_v . This is illustrated in Figure 2. On the other hand, away from Θ_v and M_v , the curve $\Gamma_{v,1/3}^-$ is strictly monotonic. This is because $\partial_\beta \text{ch}_3^{\alpha, \beta}(v) = -\text{ch}_2^{\alpha, \beta}(v)$. It follows that if $q(v) \geq 0$, we have $\Gamma_{v,s} \cap \Theta_v = \emptyset$, and otherwise this intersection is a single point at which $\Gamma_{v,s}$ has a minimum turning point, as illustrated in Figure 2.

Proposition 3.8. *Let v be a real numerical Chern character with $v_0 \neq 0$ satisfying the Bogomolov–Gieseker inequality (2.3). If $q(v) < 0$, then $\Gamma_{v,s}$ intersects the left branch of Θ_v if and only if*

$$v_0^2v_3 > v_0v_1v_2 - \frac{1}{3}v_1^3.$$

Proof. This is easiest to see by considering the sign of $\lambda_{\alpha, \beta, s}(v)$ for very small α (we can just take $\alpha = 0$). Observe that for $\beta \rightarrow -\infty$, the sign is positive, and the sign changes when we cross either $\Gamma_{v,s}$ or Θ_v . If $q(v) < 0$, then $\Gamma_{v,s}$ crosses the β axis exactly once. Since $\rho_v(\alpha, \beta) > 0$ for $\beta \rightarrow -\infty$, it follows that $\tau_{v,s}(\alpha, \beta) > 0$ to the left of this point. Observe that $\lambda_{0, \beta, s}(v) = 0$ for $\beta > \mu(v)$ if and only if $\Gamma_{v,s}$ intersects the left branch of Θ_v . Write $\tau_{v,s}(0, \beta)$ as

$$6v_3 - \frac{6v_1v_2}{v_0} + \frac{2v_1^3}{v_0^2} + \left(\beta - \frac{v_1}{v_0} \right) \left(\frac{3v_1^2}{v_0} - 6v_2 \right) - v_0 \left(\beta - \frac{v_1}{v_0} \right)^3.$$

Then the numerical condition is the positivity of the constant term in this cubic in $\beta - v_1/v_0$. \square

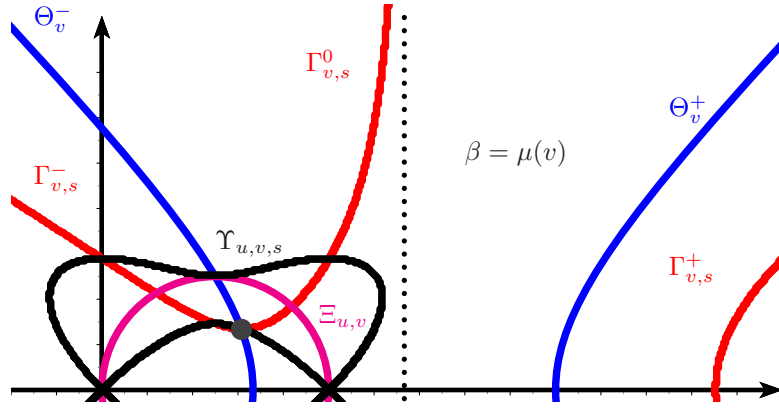


Figure 2. This graph contains an example of the situation described in Proposition 3.8 for $v = (3, 4, 2, 2/3)$, which is the Chern character of the tangent bundle of \mathbb{P}^3 . We set $s = 1/3$. The curve $\Gamma_{v,s}$ (in red) intersects the left branch of the hyperbola Θ_v (in blue) at the point $(\alpha, \beta) \approx (0.27, 0.62)$. In addition, $\Gamma_{v,s}^0$ is asymptotic to the vertical line M_v , while the component $\Gamma_{v,s}^+$ does not intersect Θ_v^+ . We also illustrate Theorem 4.22 here, with the vanishing ν - and λ -walls represented by the curves $\Xi_{u,v}$ (in magenta) and $\Upsilon_{u,v,s}$ (in black), respectively, where $u = \text{ch}(\mathcal{O}_{\mathbb{P}^3}(1))$. Finally, Lemma 4.5 is also represented since both of these walls cross Θ_v^- at the same point.

The following is an easy exercise.

Proposition 3.9. *The quartic form q in equation (3.4) is invariant under $E \mapsto E \otimes \mathcal{O}_X(k)$ and $E \mapsto E^\vee$.*

4. Walls

4.1. ν -walls

Given a real numerical Chern character v , a curve $\Xi_{u,v} \subset \mathbb{R}^+ \times \mathbb{R}$ is called a *numerical ν -wall* for v if there is a real numerical Chern character u such that

$$\Xi_{u,v} := \{t_{u,v}(\alpha, \beta) = 0\}, \quad \text{where}$$

$$(4.1) \quad t_{u,v}(\alpha, \beta) := \rho_u(\alpha, \beta) \text{ch}_1^\beta(v) - \rho_v(\alpha, \beta) \text{ch}_1^\beta(u) = \Delta_{21}(\alpha, \beta);$$

compare with the notation introduced in display (2.11). Note that this coincides with the numerator of the difference $\nu_{\alpha,\beta}(u) - \nu_{\alpha,\beta}(v)$, so its vanishing locus is precisely where it changes sign. Observe that numerical ν -walls are invariant as subsets of the upper half plane under the changes of coordinates $u \mapsto \kappa v + u$, $u \mapsto \zeta u$ and $(u, v) \mapsto (v, u)$ for any real constants κ and $\zeta \neq 0$.

Lemma 4.1. *Suppose $v_0 > 0$, v satisfies the Bogomolov–Gieseker inequality and $u = (0, 1, x, y)$ for real x and y . Then the following are equivalent:*

- (1) $\Xi_{u,v}$ is empty.
- (2) For all real κ , $\kappa v + u$ satisfies the Bogomolov–Gieseker inequality.
- (3) $(x - \mu(v))^2 \leq \mu(v)^2 - 2v_2/v_0$.
- (4) $\delta_{02}(u, v)^2 \leq 4\delta_{01}(u, v)\delta_{12}(u, v)$.

Proof. The Bogomolov–Gieseker inequality for $\kappa v + u$ as a polynomial in κ is

$$(4.2) \quad \kappa^2 Q^{\text{tilt}}(v) + 2\kappa(v_1 - v_0 x) + 1.$$

The condition in (3) is the discriminant condition for (4.2) divided by v_0^2 . This shows that (2) and (3) are equivalent. The condition that $\Xi_{u,v} = \emptyset$ is that $\Delta_{21}(0, \beta) = 0$ has at most one solution. Explicitly, this is

$$(4.3) \quad \beta^2 v_0/2 - \beta v_0 x + v_1 x - v_2,$$

and the discriminant condition for this is $v_0^2 x^2 \leq 2v_0(v_1 x - v_2)$, which is equivalent to the condition in (3). Finally, $\delta_{02}(u, v)^2 - 4\delta_{01}(u, v)\delta_{12}(u, v) = v_0^2 x^2 - 2v_0(v_1 x - v_2)$ establishes the equivalence of (4). \square

The structure of numerical ν -walls was described in [Mac14] for the case of projective surfaces, but the same results also hold for projective threefolds; see for instance [Sch20a, Theorem 3.3] and [MS17, Remark 9.2]. Precisely, numerical ν -walls are non-intersecting semicircles centered along the horizontal axis and cross the hyperbola Θ_ν at their maximum point or the vertical line M_ν . The semicircles tend to a fixed point $(0, C_0)$ on the β -axis as the radius tends to zero.

Definition 4.2. A numerical ν -wall $\Xi_{u,v}$ is called an *actual ν -wall* if for some $(\alpha_0, \beta_0) \in \Xi_{u,v}$, there are an object $B \in \mathcal{B}^{\beta_0}$ with $\text{ch}(B) = v$ and a ν_{α_0, β_0} -semistable sub-object $F \hookrightarrow B$ with $\text{ch}(F) = u$ such that the quotient E/F is also ν_{α_0, β_0} -semistable.

It then follows that the same property holds for every point of $\Xi_{u,v}$; see [Sch20a, Theorem 3.3]. Actual ν -walls are *locally finite* (that is, any compact subset of the upper half plane intersects only finitely many numerical ν -walls), see [MS17, Lemma 6.23], and there are $C_{\max} \in \mathbb{R}$ and $R_{\max} > 0$ such that every numerical ν -wall is contained in the semicircle centered at $(0, C_{\max})$ with radius R_{\max} . In particular, there is an $\bar{\alpha} > 0$ for which there are only finitely many actual ν -walls above the horizontal line $\{\alpha = \bar{\alpha}\}$. However, actual ν -walls may accumulate towards the point $\Theta_\nu \cap \{\alpha = 0\}$; see [Meal2] and [YY14] for examples on abelian surfaces.

Another observation is that, since ν -walls are nested, there can be at most two vanishing ν -walls for a given Chern character v , one on each side of the vertical line M_ν .

The following technical result, which will be useful in Section 8, follows immediately from Lemma 4.1. For a Chern character v , we let

$$Y_\nu = \{\gamma \mid \gamma = \delta_{02}(u, v)/\delta_{01}(u, v) \text{ for some actual } \nu\text{-wall given by } u\}.$$

Proposition 4.3. *Suppose $\text{ch}(E) = v$ and $\text{ch}(F)$ are two numerical Chern characters which satisfy the Bogomolov-Gieseker inequality, $v_0 > 0$ and $\delta_{01}(u, v) \neq 0$. If $F \hookrightarrow E \twoheadrightarrow G$ is a short exact sequence in \mathcal{B}^β for $\beta < \mu(E)$, then if $\delta_{02}(u, v)/\delta_{01}(u, v) \notin Y_\nu$, we have*

$$\delta_{01}(u, v)\delta_{12}(u, v) \geq 0 \quad \text{and} \quad |\delta_{02}(u, v)| \leq 2\sqrt{\delta_{01}(u, v)\delta_{12}(u, v)}.$$

For example, if a particular Chern character v admits no actual ν -walls, then any Chern character of a sub-object in \mathcal{B}^β must satisfy those inequalities.

4.2. λ -walls

Given in a real numerical Chern character v , a curve $\Upsilon_{u,v,s} \subset \mathbb{R}^+ \times \mathbb{R}$ is called a *numerical λ -wall* for v if there is a real numerical Chern character u such that

$$(4.4) \quad \Upsilon_{u,v,s} := \{f_{u,v,s}(\alpha, \beta) = 0\}, \quad \text{where}$$

$$f_{u,v,s}(\alpha, \beta) := \tau_{u,s}(\alpha, \beta)\rho_v(\alpha, \beta) - \tau_{v,s}(\alpha, \beta)\rho_u(\alpha, \beta).$$

Note that this coincides with the numerator of the difference $\lambda_{\alpha, \beta, s}(u) - \lambda_{\alpha, \beta, s}(v)$, so its vanishing locus is precisely where it changes sign. Comparing with the notation introduced in display (2.11), we remark that

$$f_{u,v,s}(\alpha, \beta) = \Delta_{32} - \alpha^2(s - 1/3)\Delta_{21};$$

thus, in particular, $f_{u,v,1/3}(\alpha, \beta) = \Delta_{32}(\alpha, \beta)$.

More explicitly, using the notation from display (2.11)

$$\delta_{ij} = \delta_{ij}(u, v) = \text{ch}_i(u) \text{ch}_j(v) - \text{ch}_j(u) \text{ch}_i(v),$$

we have

$$(4.5) \quad \begin{aligned} f_{u,v,s}(\alpha, \beta) &= \frac{6s+1}{12} \delta_{10} \alpha^4 \\ &+ \left(\frac{3s-1}{6} \delta_{10} \beta^2 - \frac{3s-1}{3} \delta_{20} \beta + \frac{6s+1}{6} \delta_{21} - \frac{1}{2} \delta_{30} \right) \alpha^2 \\ &+ \left(\frac{1}{12} \delta_{10} \beta^4 - \frac{1}{3} \delta_{20} \beta^3 + \frac{1}{2} (\delta_{30} + \delta_{21}) \beta^2 - \delta_{31} \beta + \delta_{32} \right). \end{aligned}$$

Numerical λ -walls that are bounded as subsets of \mathbb{H} have a simple numerical characterization.

Proposition 4.4. *Let v be a real numerical Chern character with $v_0 \neq 0$ and satisfying the Bogomolov–Gieseker inequality. A numerical λ -wall $\Upsilon_{u,v,s}$ for v is bounded if and only if $\delta_{10}(u, v) \neq 0$.*

Notice that if $\Upsilon_{u,v,s}$ is bounded for some value of the parameter s , then it is bounded for every value of s .

Proof. Assuming $\delta_{10}(u, v) \neq 0$, turn $f_{u,v,s}(\alpha, \beta)$ into a homogeneous polynomial of degree 4 by adding a new variable γ ; let us denote this new function by $\overline{f_{u,v,s}}(\alpha, \beta, \gamma)$. The set $\{\overline{f_{u,v,s}}(\alpha, \beta, \gamma) = 0\}$ can be regarded as a hypersurface in $\mathbb{R}\mathbb{P}^2$, and $\Upsilon_{u,v,s}$ is bounded if and only if $\{\overline{f_{u,v,s}}(\alpha, \beta, \gamma) = 0\}$ does not intersect the line at infinity $\{\gamma = 0\}$.

Comparing with equation (4.5), note that

$$\overline{f_{u,v,s}}(\alpha, \beta, 0) = \frac{\delta_{10}}{12} \left(6s\alpha^2(\alpha^2 + \beta^2) + (\alpha^2 - \beta^2)^2 \right).$$

Since the right-hand side vanishes if and only if $\alpha = \beta = 0$, we conclude that $\{\overline{f_{u,v,s}}(\alpha, \beta, \gamma) = 0\}$ does not intersect the line at infinity $\{\gamma = 0\}$; hence $\Upsilon_{u,v,s}$ is bounded.

Next, if $\delta_{10}(u, v) = 0$ and $\delta_{20}(u, v) \neq 0$, then the homogenisation of $f_{u,v,s}(\alpha, \beta)$ yields a polynomial $\overline{f_{u,v,s}}(\alpha, \beta, \gamma)$ of degree 3. Comparing with equation (4.5), note that

$$(4.6) \quad \overline{f_{u,v,s}}(\alpha, \beta, 0) = -\frac{\delta_{20}}{3} \left((3s-1)\alpha^2 + \beta^2 \right) \beta,$$

so $\overline{f_{u,v,s}}(1, 0, 0) = 0$; thus $\Upsilon_{u,v,s}$ is not bounded.

Finally, if $\delta_{10}(u, v) = \delta_{20}(u, v) = 0$, then $f_{u,v,s}(\alpha, \beta)$ becomes a constant multiple of $\rho_u(\alpha, \beta) = \rho_v(\alpha, \beta)$; hence the curve $\Upsilon_{u,v,s}$ coincides with the hyperbola Θ_v , which is not bounded. \square

Next, we observe an interesting relation between a numerical λ -wall $\Upsilon_{u,v,s}$ and its associated numerical v -wall $\Xi_{u,v}$: if one intersects the hyperbola Θ_v , then so does the other.

Lemma 4.5. *Let v be a real numerical Chern character satisfying the Bogomolov–Gieseker inequality and $v_0 \neq 0$. If a numerical λ -wall $\Upsilon_{u,v,s}$ intersects Θ_v at a point (α_0, β_0) for which an object A with $\text{ch}(A) = v$ exists in $\mathcal{A}^{\alpha_0, \beta_0}$, then the associated numerical v -wall $\Xi_{u,v}$ also passes through (α_0, β_0) .*

Conversely, if a numerical v -wall $\Xi_{u,v}$ intersects Θ_v at a point (α_0, β_0) , then the associated numerical λ -wall $\Upsilon_{u,v,s}$ also passes through (α_0, β_0) .

Proof. Since $\rho_v(\alpha_0, \beta_0) = 0$, we have $\rho_u(\alpha_0, \beta_0) \tau_{v,s}(\alpha_0, \beta_0) = 0$. However, Proposition 2.3 guarantees that $\tau_{v,s}(\alpha_0, \beta_0) > 0$ for every s , thus $\rho_u(\alpha_0, \beta_0) = 0$. It follows that $t_{u,v}(\alpha_0, \beta_0) = 0$, as desired.

For the second statement, note that Θ_v never intersects M_v because v is assumed to satisfy the Bogomolov–Gieseker inequality and so $\text{ch}_1^{\beta_0}(v) \neq 0$. So, if $t_{u,v}(\alpha_0, \beta_0) = \rho_v(\alpha_0, \beta_0) = 0$, then also $\rho_u(\alpha_0, \beta_0) = 0$, thus $f_{u,v}(\alpha_0, \beta_0) = 0$. \square

Lemma 4.6. *If the curves Θ_v and $\Gamma_{v,s}$ intersect, then every numerical λ -wall for v passes through the point of intersection.*

Proof. Let (α_0, β_0) be the point of intersection, so that $\rho_v(\alpha_0, \beta_0) = \tau_{v,s}(\alpha_0, \beta_0) = 0$. By the expression in display (4.4), it follows that $f_{u,v,s}(\alpha_0, \beta_0) = 0$ for every real numerical Chern character u and every $s > 0$. \square

Let us now analyse unbounded numerical λ -walls. Note that if $\delta_{10}(u, v) = 0$, then the expression in equation (4.5) reduces to a cubic polynomial in β , with coefficients depending on α^2 , so it always has a real root; in other words, unbounded numerical λ -walls intersect every horizontal line. Furthermore, unbounded numerical λ -walls with different values of the parameter s can look very different; see Figure 3. In particular, an unbounded numerical λ -wall might not be connected, and one of its connected components may be bounded; see Figure 4. The main properties of unbounded walls are described in the following series of lemmas. First, we consider the case $s > 1/3$.

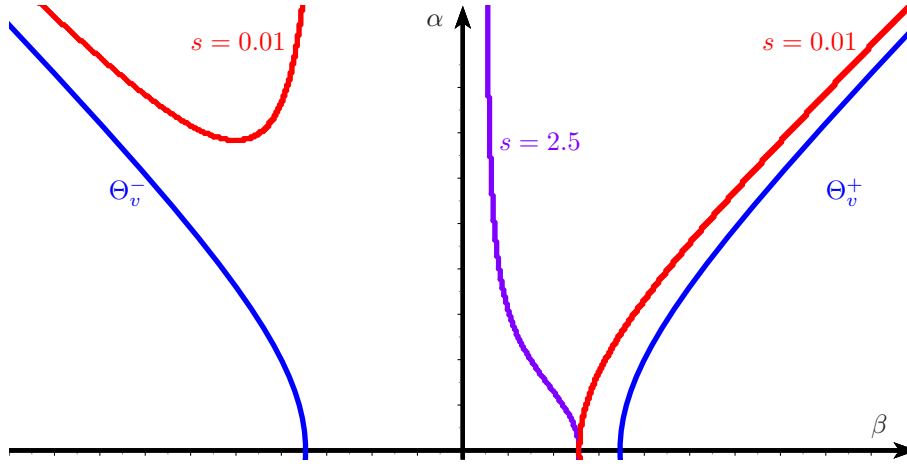


Figure 3. The numerical λ -wall $\Upsilon_{u,v,s}$ for $v = (2, 0, -3, 0)$ and $u = (0, 0, -1, 1)$ is plotted for $s = 0.01$ (red curve), where the wall has two separate connected components, and for $s = 2.5$ (purple curve), where the wall has a single connected component. Both curves are asymptotic to the vertical line $M_v = \{\beta = \mu(v) = 0\}$, while the red curve is also asymptotic to both branches of Θ_v (in blue).

Lemma 4.7. *Let $s > 1/3$. If u and v are real numerical Chern characters satisfying $\delta_{10}(u, v) = 0$ and $\delta_{20}(u, v) \neq 0$, then there exists a $\beta_{\max} > 0$ (depending on u, v and s) such that $\Upsilon_{u,v,s} \subset \mathbb{R}^+ \times [-\beta_{\max}, \beta_{\max}]$.*

Proof. If $\delta_{10} = 0$, then equation (4.5) reduces, after dividing by δ_{20} , to

$$(4.7) \quad \underbrace{((s-1/3)\beta + \dots)}_{a_2} \alpha^2 + \underbrace{(\beta^3/3 + \dots)}_{a_0} = 0$$

If $s > 1/3$ and either $\beta \ll 0$ or $\beta \gg 0$, then both coefficients a_2 and a_0 in equation (4.7) have the same sign; hence equation (4.7) does not admit any solutions. \square

Lemma 4.8. *Let $s \neq 1/3$. If u and v are real numerical Chern characters satisfying $\delta_{10}(u, v) = 0$ and $\delta_{20}(u, v) \neq 0$, then one of the connected components of $\Upsilon_{u,v,s}$ is asymptotic to the vertical line $\{\beta = \beta'\}$, where*

$$\beta' := \frac{3}{(6s-2)\delta_{20}(u,v)} \left(\frac{6s+1}{3} \delta_{21}(u,v) - \delta_{30}(u,v) \right).$$

Proof. If $s \neq 1/3$, then the equation $f_{u,v,s}(\alpha, \beta) = 0$ can be rewritten in the following way:

$$\alpha^2 = \frac{\frac{1}{3}\delta_{20}\beta^3 - \frac{1}{2}(\delta_{30} + \delta_{21})\beta^2 + \delta_{31}\beta - \delta_{32}}{-\frac{3s-1}{3}\delta_{20}\beta + \frac{6s+1}{6}\delta_{21} - \frac{1}{2}\delta_{30}}.$$

Note that the denominator vanishes at the value $\beta = \beta'$ given above; therefore, α goes to infinity as β approaches β' . \square

Lemma 4.9. *Let $s \leq 1/3$. If u and v are numerical Chern characters satisfying $\delta_{10}(u, v) = 0$ and $\delta_{20}(u, v) \neq 0$, then there exists an $\alpha_{\max} > 0$ (depending on u, v and s) such that*

$$\Upsilon_{u,v,s} \cap \{(\alpha, \beta) \in \mathbb{H} \mid \alpha > \alpha_{\max}\} \subset \{(\alpha, \beta) \in \mathbb{H} \mid \rho_v(\alpha, \beta) < 0\}.$$

Proof. If $s < 1/3$, then equation (4.7) does admit solutions, which are asymptotically of the form

$$\alpha \sqrt{1/3 - s} = \pm(\beta - \beta')$$

for some $\beta' \in \mathbb{R}$ given explicitly in Lemma 4.8 above. In other words, there is an $\bar{\alpha} > 0$ such that we have $\Upsilon_{u,v,s} \cap \{(\alpha, \beta) \in \mathbb{H} \mid \alpha > \bar{\alpha}\} \subset R_v^0$.

If $s = 1/3$ and $\delta_{21} - \delta_{30} \neq 0$, then the equation $f_{u,v,s}(\alpha, \beta) = 0$ reduces to

$$\alpha^2 + \left(\frac{2}{3} \frac{\delta_{20}}{\delta_{21} - \delta_{30}} \beta^3 + \dots \right) = 0.$$

Depending on the sign of $\delta_{20}/(\delta_{21} - \delta_{30})$, such an equation does admit solutions either for $\beta \ll 0$ or for $\beta \gg 0$; in both cases, α grows like $|\beta|^{3/2}$, so $\Upsilon_{u,v,s}$ will lie in the region R_v^0 once α is sufficiently large. The same conclusion holds when $\delta_{30} = \delta_{21}$ since then $f_{u,v,s}(\alpha, \beta)$ becomes just a cubic polynomial on β , not depending on α . \square

Remark 4.10. Note that there are no v -walls corresponding to unbounded λ -walls, and so by continuity the unbounded component remains in R_v^0 as it cannot intersect Θ_v .

However, an unbounded λ -wall for v may have a bounded connected component contained in R_v^- , as pictured in Figure 4.

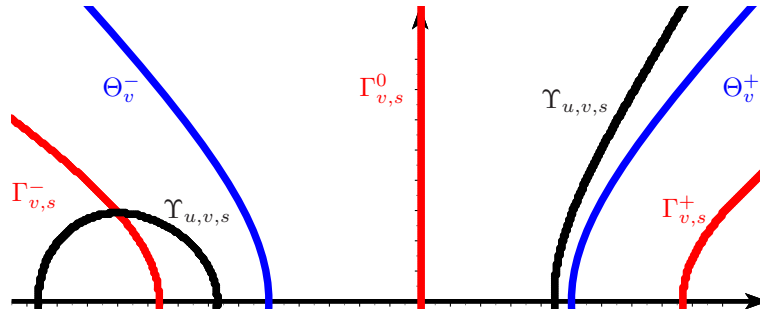


Figure 4. The numerical λ -wall $\Upsilon_{u,v,s}$ for $v = (2, 0, -1, 0)$ and $u = (1, 0, -1, 1)$, so that $\delta_{01}(u, v) = 0$, is plotted for $s = 1/3$ (black curve). It has two connected components: one is bounded and fully contained in R_v^- ; the other is unbounded and fully contained in R_v^{0+} . The bounded component crosses $\Gamma_{v,s}^-$. The curve Θ_v and the other connected components of $\Gamma_{v,s}$, namely $\Gamma_{v,s}^0$ and $\Gamma_{v,s}^+$, are also shown, with the former coinciding with the α -axis.

We now show that intersection between numerical λ -walls and the curve $\Gamma_{v,s}$ is independent of s .

Lemma 4.11. *If a numerical λ -wall $\Upsilon_{u,v,\bar{s}}$ crosses $\Gamma_{v,\bar{s}}$ for some $\bar{s} \geq 0$ away from Θ_v , then $\Upsilon_{u,v,s}$ crosses $\Gamma_{v,s}$ for every $s \geq 0$ at points whose β -coordinate does not depend on s .*

Proof. Assume $(\alpha_0, \beta_0) \in \mathbb{H}$ satisfies $f_{u,v,\bar{s}}(\alpha_0, \beta_0) = \tau_{v,\bar{s}}(\alpha_0, \beta_0) = 0$ and $\rho_v(\alpha_0, \beta_0) \neq 0$; in other words, $(\alpha_0, \beta_0) \in \Upsilon_{u,v,\bar{s}} \cap \Gamma_{v,\bar{s}}$ lies away from the hyperbola Θ_v . It follows that $\tau_{u,\bar{s}}(\alpha_0, \beta_0) = 0$; i.e. the curve $\Gamma_{u,s}$ also passes through (α_0, β_0) , so that

$$\text{ch}_3^{\beta_0}(u) = \left(\bar{s} + \frac{1}{6} \right) \text{ch}_1^{\beta_0}(u) \alpha_0^2.$$

Since $\tau_{v,\bar{s}}(\alpha_0, \beta_0) = 0$ as well, we conclude that

$$(4.8) \quad \frac{\text{ch}_3^{\beta_0}(u)}{\text{ch}_1^{\beta_0}(u)} = \frac{\text{ch}_3^{\beta_0}(v)}{\text{ch}_1^{\beta_0}(v)} = \left(\bar{s} + \frac{1}{6} \right) \alpha_0^2.$$

For any $s \geq 0$, there is an α_s such that $(s + 1/6)\alpha_s^2 = (\bar{s} + 1/6)\alpha_0^2$; substituting back into equation (4.8), we conclude that $\tau_{u,s}(\alpha_s, \beta_0) = \tau_{v,s}(\alpha_s, \beta_0) = 0$, thus $f_{u,v,s}(\alpha_s, \beta_0) = 0$, meaning that $(\alpha_s, \beta_0) \in \Upsilon_{u,v,s} \cap \Gamma_{v,s} \cap \Gamma_{u,s}$, which is independent of the parameter $s \geq 0$. \square

Given $v \in K_{\text{num}}(X)$, we define an equivalence relation in $K_{\text{num}}(X)$ as follows:

$$(4.9) \quad u \sim_v u' \text{ if there exist real numbers } \psi \neq 0 \text{ and } \phi \text{ such that } u' = \phi v + \psi u.$$

Note that $u \sim_v u'$ implies $\delta_{ij}(u', v) = \psi \delta_{ij}(u, v)$ and thus $\Upsilon_{u,v,s} = \Upsilon_{u',v,s}$. In other words, the set of numerical λ -walls for a fixed $v \in K_{\text{num}}(X)$ only depend on the equivalence class under \sim_v .

Lemma 4.12. *Suppose u and v are real numerical Chern characters and $v_0 \neq 0$. Then:*

- (1) $u \sim_v (0, 1, \delta_{02}(u, v)/\delta_{01}(u, v), \delta_{03}(u, v)/\delta_{01}(u, v))$ if $\delta_{01}(u, v) \neq 0$ and
- (2) $u \sim_v (0, 0, 1, \delta_{03}(u, v)/\delta_{02}(u, v))$ if $\delta_{01}(u, v) = 0$ and $\delta_{02}(u, v) \neq 0$.

Proof. If $\delta_{01}(u, v) \neq 0$, take $\phi = u_0/\delta_{01}(u, v)$ and $\psi = -v_0/\delta_{01}(u, v)$. If $\delta_{01}(u, v) = 0$, take $\phi = u_0/\delta_{02}(u, v)$ and $\psi = -v_0/\delta_{02}(u, v)$. \square

It follows that equivalence classes for \sim_v come in three types when $v_0 \neq 0$, according to Table 1 below (x and y are arbitrary rational numbers). In the degenerate case, the numerical λ -wall $\Upsilon_{u,v,s}$ coincides with Θ_v .

Type	Characterization	Canonical element
Bounded	$\delta_{01} \neq 0$	$(0, 1, x, y)$
Unbounded	$\delta_{01} = 0, \delta_{02} \neq 0$	$(0, 0, 1, x)$
Degenerate	$\delta_{01} = \delta_{02} = 0, \delta_{03} \neq 0$	$(0, 0, 0, 1)$

Table 1. Equivalence classes \sim_v when $v_0 \neq 0$.

This gives us a quick way to see that various families of numerical λ -walls do not intersect each other, and it provides a form of Bertram's nested wall theorem.

Theorem 4.13. *Suppose $v_0 \neq 0$ and $u \sim_v u'$.*

- (1) *The numerical v -walls corresponding to u and u' do not intersect away from M_v .*
- (2) *If $\delta_{01}(u, v) = 0 = \delta_{01}(u', v)$, then the numerical λ -walls corresponding to u and u' do not intersect.*
- (3) *If $\delta_{01}(u, v) \neq 0$ and $\text{ch}_{\leq 2}(u) = \text{ch}_{\leq 2}(u')$, then the numerical λ -walls for v corresponding to u and u' only intersect on Θ_v .*

Proof. We prove (3) and leave (1) and (2) as similar exercises. We simply observe that the equation for the numerical λ -wall $\Upsilon_{u,v,s}$ can be written in the following manner:

$$u_3 \rho_v(\alpha, \beta) = g(\alpha, \beta, v, \text{ch}_{\leq 2}(u))$$

for some function g . Hence, if (α, β) is not on Θ_v but lies on $\Upsilon_{u,v,s} \cap \Upsilon_{u',v,s}$, then we must have $u_3 = \text{ch}_3(u')$. \square

We conclude that distinct unbounded numerical λ -walls never intersect one another. On the other hand, it is interesting to observe that, in contrast with numerical v -walls, two distinct bounded numerical λ -walls for $\Upsilon_{u,v,s}$ and $\Upsilon_{u',v,s}$ can intersect both along Θ_v (if $\text{ch}_{\leq 2}(u) = \text{ch}_{\leq 2}(u')$, as illustrated in item (3) of Theorem 4.13), and away from it; see Figure 5.

Our normal form for u can also be used to give sufficient conditions for when a numerical λ -wall for v intersects $\Gamma_{v,s}$.

Proposition 4.14. *Let u and v be real numerical Chern characters such that v satisfies the Bogomolov–Gieseker inequality, $\text{ch}_0(v) \neq 0$ and $\delta_{01}(u, v) \neq 0$. If $\delta_{01}(u, v)\delta_{03}(u, v) \geq \delta_{02}(u, v)^2/2$, then the numerical λ -wall $\Upsilon_{u,v,s}$ intersects $\Gamma_{v,s}^0$. If $\delta_{01}(u, v)\delta_{03}(u, v) \leq \delta_{02}(u, v)^2/2$, then $\Upsilon_{u,v,s}$ intersects both $\Gamma_{v,s}^+$ and $\Gamma_{v,s}^-$.*

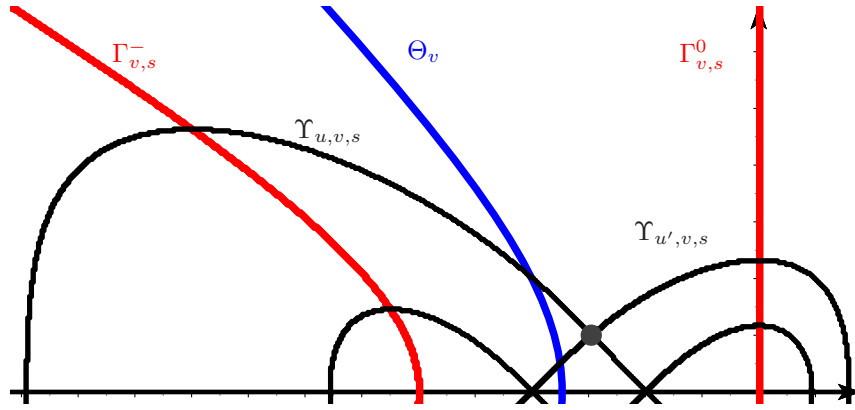


Figure 5. The numerical λ -walls $\Upsilon_{u,v,s}$ and $\Upsilon_{u',v,s}$ for $v = (2, 0, -3, 0)$ with $u = (1, -1, 1/2, -1/6)$ and $u' = (1, -2, 2, -4/3)$ are plotted for $s = 1/3$; they cross at the point $(\alpha = 0.5, \beta = -1.48)$. The curves $\Gamma_{v,1/3}$ (in red) and Θ_v (in blue) are also shown.

Proof. Writing u in the canonical form $(0, 1, x, y)$, observe that $\Gamma_{u,s}$ is given by the hyperbola

$$(\beta - x)^2/2 - \alpha^2(s + 1/6) = x^2/2 - y.$$

The conditions in the statement about δ_{ij} are equivalent to the hyperbola crossing the β -axis or not. The hyperbolae are asymptotic to $\beta = x \pm \sqrt{2(s + 1/6)}\alpha$, while $\Gamma_{v,s}$ is asymptotic either to M_v or to $\beta = \mu \pm \sqrt{6(s + 1/6)}\alpha$. Then the hypotheses guarantee that $\Gamma_{u,s}$ and $\Gamma_{v,s}$ intersect as stated. \square

Remark 4.15. Observe that an unbounded numerical λ -wall $\Upsilon_{u,v,s}$ associated to $u \sim_v (0, 0, 1, x)$ intersects $\Gamma_{v,s}$ (necessarily away from Θ_v since unbounded λ -walls for v never cross Θ_v) at a point with coordinates (α, x) for some uniquely determined α so long as x is the β -coordinate of some point on $\Gamma_{v,s}$. Since the wall $\Upsilon_{u,v,s}$ can only cross Θ_v at its intersection with $\Gamma_{v,s}$ and its asymptotes are in R_v^0 , it follows that, for $|x|$ sufficiently large, there is a component of the wall which is bounded and which intersects $\Gamma_{v,s}^\pm$.

4.3. Actual, pseudo and vanishing λ -walls

Definition 4.16. An *actual λ -wall* $W_{u,v,s}$ is the subset of points (α, β) in $\Upsilon_{u,v,s}$ for which there are an object $E \in \mathcal{A}^{\alpha,\beta}$ with $\text{ch}(E) = v$ and a path $\gamma: (-1, 1) \rightarrow \mathbb{R}_{>0} \times \mathbb{R}$ such that $\gamma(0) = (\alpha, \beta)$ and $E \in \mathcal{A}^{\gamma(t)}$ is λ -stable for $t < 0$ and λ -unstable for $t > 0$.

This is a rather stronger condition than the usual one, which asks simply that A be properly $\lambda_{\alpha,\beta,s}$ -semistable at the point (α, β) . Our definition ensures that actual λ -walls are 1-dimensional and avoids degenerate situations like the one described in Remark 2.6. In addition, it allows us to state the following.

Lemma 4.17. An *actual λ -wall* $W_{u,v,s}$ is a union of segments of arcs within the underlying numerical λ -wall $\Upsilon_{u,v,s}$ whose endpoints lie on another actual λ -wall for u or v , or on $\Theta_u \cap \Theta_v$, or on the β -axis.

Proof. Note that the wall will have an endpoint if $\alpha \rightarrow 0$ along the curve; we take that to be an endpoint by convention.⁽¹⁾

First assume $p \in W_{u,v,s}$ is an isolated point; that is, there exists an open neighbourhood B of p on which there are no other actual λ -walls. In contrast with the path γ going through p along which the moduli space $\mathcal{M}_{\gamma(t),s}(v)$ changes as explained above, one can find a path $\gamma': [0, 1] \rightarrow B$ such that $\gamma'(0) = \gamma(0)$ and $\gamma'(1) = \gamma(1)$ which does not cross any actual λ -wall, implying that $\mathcal{M}_{\gamma(0),s}(v) = \mathcal{M}_{\gamma(1),s}(v)$, and thus providing a contradiction.

⁽¹⁾In fact, we will see in Section 6 than the wall crosses $\alpha = 0$ transversely or is singular there.

It follows that $W_{u,v,s}$ is a union of segments of arcs within $\Upsilon_{u,v,s}$. The same argument shows that if an endpoint (α_0, β_0) of such an arc does not lie on another actual λ -wall for a short exact sequence $0 \rightarrow A \rightarrow E \rightarrow B \rightarrow 0$ in $\mathcal{A}^{\alpha,\beta}$ corresponding to the wall (so $\text{ch}(E) = v$ and either $\text{ch}(A) = u$ or $\text{ch}(B) = u$), then E , A or B must go out of the category. But if A or B go out of the category, it is because they have a sub-object or quotient object which has a Θ -curve going through that point. But then the λ -slope goes to infinity, and this would contradict the existence of the wall in a small neighbourhood of the point. Consequently, the wall can only end on $\Theta_{\text{ch}(E)}$ and so also on $\Theta_{\text{ch}(A)}$. \square

In another direction, if an object is strictly destabilized along a curve, then it can only become stable if the curve crosses either an actual λ -wall or a Θ -curve. Note that if an actual λ -wall $W_{u,v}$ crosses Θ_u , then it must also cross Θ_v .

Proposition 4.18. *Fix $s > 0$. Suppose $\gamma: (-a, b) \rightarrow \mathbb{H}$ is a curve for some $a, b > 0$ and $A \in \mathcal{A}^{\gamma(t)}$ for all $-a < t < b$. Suppose $B \hookrightarrow A \twoheadrightarrow C$ is a destabilizing sequence for $-a < t < 0$.*

- (1) *If A is not $\lambda_{\gamma(t),s}$ -semistable for $0 < t < b$, then there exist $\epsilon, \epsilon' > 0$ and some destabilizing sequence $K \hookrightarrow A \twoheadrightarrow Q$ defined for all $-\epsilon' < t < \epsilon$ with $\lambda_{\gamma(t),s}(K) \geq \lambda_{\gamma(t),s}(B)$, respectively $\lambda_{\gamma(t),s}(Q) \leq \lambda_{\gamma(t),s}(C)$, with equalities if and only if $C = Q$, respectively $B = K$.*
- (2) *If A is $\lambda_{\gamma(t),s}$ -stable for $0 < t < b$, then there is an actual λ -wall corresponding to B containing $\gamma(0)$.*

Proof. In either case, we have a long exact sequence for $0 < t < \epsilon$ in $\mathcal{A}^{\gamma(t)}$:

$$0 \rightarrow C^{-1} \rightarrow B^0 \rightarrow A \rightarrow C^0 \rightarrow B^1 \rightarrow 0.$$

This is because as we cross $t = 0$, the phase changes continuously and the $\mathcal{A}^{\gamma(t)}$ -cohomology of any object in $\mathcal{A}^{\gamma(t)}$ for $-\epsilon' < t < 0$ in nearby $\gamma(t)$ is concentrated in positions -1 , 0 and/or 1 . Split the sequence via $B^0 \rightarrow K \rightarrow A \rightarrow Q \rightarrow C^0$, and note that, for $-1 \ll t < 0$, $C^{-1}[1], B^1[-1] \in \mathcal{A}^{\gamma(t)}$ and $\lim_{t \nearrow 0} \lambda_{\gamma(t),s}(C^{-1}[1]) = +\infty$ and $\lim_{t \nearrow 0} \lambda_{\gamma(t),s}(B^1[-1]) = -\infty$. We also have short exact sequences for $-1 \ll t < 0$:

$$C^{-1}[1] \rightarrow C \rightarrow C^0 \text{ and } B^0 \rightarrow B \rightarrow B^1[-1].$$

It follows that $K \rightarrow A \rightarrow Q$ is short exact in $\mathcal{A}^{\gamma(t)}$ for $-\epsilon < t < \epsilon$ and that, for $-1 \ll t < 0$, $\lambda_{\gamma(t),s}(K) \geq \lambda_{\gamma(t),s}(B)$, with equality only if $C^{-1} = 0$. Similarly, $\lambda_{\gamma(t),s}(Q) \leq \lambda_{\gamma(t),s}(C)$, with equality only if $B^1 = 0$.

If A is $\lambda_{\gamma(t),s}$ -stable beyond $t = 0$, then we must have equality in one of these. If both are equalities, then the sequence $0 \rightarrow B \rightarrow A \rightarrow C \rightarrow 0$ provides an actual λ -wall at $\gamma(0)$. If only one is an equality, then $\gamma(0) \in \Theta_u$, where $u = \text{ch}(B)$ or $u = \text{ch}(C)$. But then, $\lambda_{\gamma(0),s}(A) = +\infty$ and so $\gamma(0) \in \Theta_{\text{ch}(A)}$ as well, and so, again, this sequence provides an actual λ -wall. \square

If our aim is to find all actual λ -walls, then it is easier to first consider a list of necessary numerical conditions in order to reduce the possibilities to a small list of examples (this is exactly what we will do in the example presented in Proposition 8.8 below).

Definition 4.19. By a *pseudo λ -wall* $W_{u,v,s}$, we mean the subset of points (α, β) of a numerical λ -wall $\Upsilon_{u,v,s}$ for which:

- (1) there are objects $E, F, G \in \mathcal{A}^{\alpha,\beta}$ satisfying $\text{ch}(E) = v$, $\text{ch}(F) = u$, $\text{ch}(G) = v - u$;
- (2) $Q_{\alpha,\beta}(u) \geq 0$, $Q_{\alpha,\beta}(v - u) \geq 0$, $Q_{\alpha,\beta}(u) + Q_{\alpha,\beta}(v - u) \leq Q_{\alpha,\beta}(v)$.

The support property, see Proposition 2.4, implies that an actual λ -wall is also a pseudo λ -wall. We shall see an example in (8.14) in Proposition 8.8 of a pseudo-wall which is not an actual wall but for which there is a destabilizing sequence.

Remark 4.20. In practice, we would start by replacing (1) with the necessary conditions that $u \in \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z}/2 \times \mathbb{Z}/6$ and $\chi(u) \in \mathbb{Z}$. The third inequality in (2) is an observation of Schmidt ([Sch20a, Lemma 2.7]) and follows from the fact that the bilinear form satisfies $Q_{\alpha,\beta}(v, v) = Q_{\alpha,\beta}(v) \geq 0$.

Unlike actual ν -walls, distinct actual λ -walls for a given Chern character v do intersect. This was first noticed by Schmidt in [Sch20a], where he establishes a refinement of Lemma 4.5 providing a relationship between actual ν - and λ -walls for v along Θ_v^- ; more precisely, he proves the following statement.

Theorem 4.21 (cf. [Sch20a, Theorem 6.1]). *Let v be a Chern character satisfying the Bogomolov–Gieseker inequality and $v_0 \neq 0$, and let (α_0, β_0) be a point Θ_v^- .*

- *If there is an actual λ -wall for v containing (α_0, β_0) given by the exact sequence $0 \rightarrow F \rightarrow A \rightarrow G \rightarrow 0$ in $\mathcal{A}^{\alpha_0, \beta_0}$, then there is an actual ν -wall containing (α_0, β_0) given by the exact sequence $0 \rightarrow F[-1] \rightarrow A[-1] \rightarrow G[-1] \rightarrow 0$ in \mathcal{B}^{β_0} .*
- *Conversely, if there is an actual ν -wall for v containing (α_0, β_0) given by the sequence $0 \rightarrow F \rightarrow A \rightarrow G \rightarrow 0$ in \mathcal{B}^{β_0} , then there is an actual λ -wall for v which is defined by the same sequence in $\mathcal{A}^{\alpha_0, \beta_0}$.*

We also provide a concrete example of two distinct actual λ -walls for the Chern character $v := (2, 0, -1, 0)$ which intersect away from Θ_v , in Section 8.4 below.

Furthermore, an actual λ -wall $W_{u,v,s}$ is called a *vanishing λ -wall* for v if for each $p \in W_{u,v,s}$ there exists a path $\gamma: (-1, 1) \rightarrow \mathbb{H}$ crossing $W_{u,v,s}$ transversely at $\gamma(0) = p$ for some $w \in (0, 1)$ such that $\mathcal{M}_{\gamma(t),s}(v) \neq \emptyset$ for $t < 0$, while $\mathcal{M}_{\gamma(t),s}(v) = \emptyset$ and $t > 0$.

The meaning of the quartic function $q(v)$ on $K_{\text{num}}(X)$ defined in equation (3.4) can now be expressed in the following theorem using the geometry of the Γ -curves we defined in Section 3. Recall that $q(E)$ is the discriminant of the cubic defining Γ whose roots are the β -coordinates of $\Gamma_{v,s} \cap \{\alpha = 0\}$.

Theorem 4.22. *Let v be a Chern character for which there exists a Gieseker semistable sheaf E with $\text{ch}(E) = v$. If $q(v) < 0$, then there must exist vanishing ν - and λ -walls for v .*

Proof. Since E is Gieseker semistable, v satisfies the Bogomolov–Gieseker inequality, and so the curve Θ_v divides the plane into three regions, as explained in Section 3 above.

Note that $\Gamma_{v,s}$ is a smooth cubic curve, and so when $q(E) < 0$, there is an open neighbourhood of $\alpha = 0$ such that also $q_\alpha(E) < 0$. It follows that there is some $\alpha_0 > 0$ such that $\Gamma_{v,s} \cap \{\alpha = \alpha_0\}$ consists of a single point. Thus, either the asymptotic components $\Gamma_{v,s}^-$ and $\Gamma_{v,s}^0$, or $\Gamma_{v,s}^+$ and $\Gamma_{v,s}^0$, must belong to the same connected component of $\Gamma_{v,s}$, which must then cross the hyperbola Θ_v .

Since Proposition 2.3 fails for $(\alpha, \beta) \in \Theta_v$ below the point of intersection $(\tilde{\alpha}, \tilde{\beta}) := \Gamma_{v,s} \cap \Theta_v$, there cannot exist any objects with Chern character equal to v in $\mathcal{A}^{\alpha, \beta}$ for $(\alpha, \beta) \in \Theta_v$ with $\alpha \leq \tilde{\alpha}$.

Fix β and α sufficiently large so that (α, β) lies above any ν -wall for v . Then E is $\nu_{\alpha, \beta}$ -semistable, and Proposition 3.2 guarantees the existence of $\lambda_{\alpha, \beta, s}$ -semistable objects in $\mathcal{A}^{\alpha, \beta}$ for $(\alpha, \beta) \in \mathbb{H}$. It follows that there must exist a vanishing ν -wall for v crossing Θ_v above the point of intersection $\{(\tilde{\alpha}, \tilde{\beta})\} := \Gamma_{v,s} \cap \Theta_v$. But then there is also a vanishing λ -wall through the same point $(\tilde{\alpha}, \tilde{\beta})$. \square

We will see an example of the situation described in Theorem 4.22 in Section 8.1 below, where we study in detail the ideal sheaf of a line in \mathbb{P}^3 .

5. Asymptotic $\nu_{\alpha, \beta}$ -stability

One of the main goals of this paper is to characterize which objects in $D^b(X)$ are $\nu_{\alpha, \beta}$ - and $\lambda_{\alpha, \beta, s}$ -semistable *at infinity*, that is, for large values of the parameters α, β . More formally, let $\gamma: [0, \infty) \rightarrow \mathbb{H}$ be an unbounded path; we consider the following definition. The dual situation is also considered in [Piy17, Proposition 3.2] but from a different perspective.

Definition 5.1. An object $A \in D^b(X)$ is *asymptotically $\nu_{\alpha,\beta}$ - (semi)stable along γ* if the following two conditions hold:

- (i) There is a $t_0 > 0$ such that $A \in \mathcal{B}^{\gamma(t)}$ for every $t > t_0$.
- (ii) There is a $t_1 > t_0$ such that, for every $t > t_1$, every sub-object $F \hookrightarrow A$ in $\mathcal{B}^{\gamma(t)}$ satisfies $\nu_{\gamma(t)}(F) < (\leq) \nu_{\gamma(t)}(A)$.

In this section we characterize asymptotically $\nu_{\alpha,\beta}$ -semistable objects. More precisely, we establish the following results.

Theorem 5.2. *Let $B \in D^b(X)$ be an object with $\text{ch}_0(B) \neq 0$.*

- (1) *B is asymptotically $\nu_{\alpha,\beta}$ - (semi)stable along a path $\gamma(t) = (\alpha(t), \beta(t))$ such that $\beta(t) < \mu(B)$ for $t \gg 0$ if and only if B is a $\mu_{\leq 2}$ - (semi)stable sheaf.*
- (2) *B is asymptotically $\nu_{\alpha,\beta}$ - (semi)stable along a path $\gamma(t) = (\alpha(t), \beta(t))$ such that $\beta(t) > \mu(B)$ for $t \gg 0$ if and only if $S := B^\vee[-1]$ is a $\mu_{\leq 2}$ - (semi)stable sheaf such that S^{**}/S either is empty or has pure dimension 1.*

Theorem 5.2 is not new, though its statement and the second part, especially, are different from previous versions. The proof of item (1) is essentially the same as [Bri08, Proposition 14.2], which covers the case of K3 surfaces; also compare with [Schi20b, Proposition 2.5]. Part (2) is to be compared with [AM16, Proposition 2.3] in the case of surfaces and [BMT14, Proposition 5.1.3]. Since we will use Theorem 5.2 and the arguments in its proof in the subsequent sections, we include a full proof here. Our proof is longer than previous ones for two reasons. Firstly, we have a stronger form of asymptotic stability, and secondly we do not assume there are only finitely many ν -walls above a certain horizontal line. Although the latter fact is true, we want to illustrate how it can be avoided, since it is not known for λ -stability. If we do assume the ν -walls are bounded above, then it follows that the t_1 of the definition of asymptotic ν -stability can be chosen uniformly in E , that is, t_1 only depends on $\text{ch}_{\leq 2}(E)$.

The study of asymptotic $\nu_{\alpha,\beta}$ -stability is considerably simplified by the following observation.

Lemma 5.3.

- (1) *If $B \in \mathcal{B}^\beta$ for all $\beta \ll 0$, then $B \in \text{Coh}(X)$.*
- (2) *Suppose E is a μ -semistable sheaf, and suppose there is some (α, β) with $\beta < \mu(E)$ which lies on an actual ν -wall so that there are a $\nu_{\alpha,\beta}$ -semistable object F and a monomorphism $F \hookrightarrow E$ in \mathcal{B}^β . Then this is the only ν -wall for $\beta < \mu(E)$ at which E is destabilized.*

Proof. If $\mathcal{H}^{-1}(B) \neq 0$, then

$$\text{ch}_1^\beta(\mathcal{H}^{-1}(B)[1]) = -\text{ch}_1(\mathcal{H}^{-1}(B)) + \text{ch}_0(\mathcal{H}^{-1}(B))\beta < 0 \quad \text{for } \beta \ll 0,$$

so B cannot lie in \mathcal{B}^β for any $\beta \ll 0$.

For the second item, the idea is that E must be $\nu_{\alpha,\beta}$ -stable above the ν -wall defined by the exact sequence. The local finiteness of the actual ν -walls ensures that E is $\nu_{\alpha,\beta}$ -stable either immediately below or immediately above this wall. But we can show that the latter holds, as follows. First observe that $\delta_{01}(E, F) \leq 0$. This is because if we split the $\text{Coh}(X)$ sequence

$$0 \rightarrow \mathcal{H}^{-1}(G) \rightarrow F \rightarrow E \rightarrow \mathcal{H}^0(G) \rightarrow 0,$$

where $G = E/F$ in \mathcal{B}^β , via $K \rightarrow E$, then $\mu(K) \leq \mu(E)$ by the μ -semistability of E while $\mu(\mathcal{H}^{-1}(G)) \leq \beta < \mu(F)$ and so $\mu(F) < \mu(K) \leq \mu(E)$. We use Lemma 2.7(2) to compute the partial derivatives, which satisfy

$$\partial_\alpha(\nu_{\alpha,\beta}(E) - \nu_{\alpha,\beta}(F)) = \partial_\alpha \frac{\Delta_{21}(E, F)}{\text{ch}_1^\beta(E) \text{ch}_1^\beta(F)} = -\frac{\alpha \delta_{01}(E, F)}{\text{ch}_1^\beta(E) \text{ch}_1^\beta(F)} \geq 0$$

as E is μ -semistable. Consequently, E cannot be destabilized on another wall outside of this ν -wall because it must be stable immediately above any wall and unstable immediately below the wall. But on a path between two adjacent walls, it cannot be both stable and unstable.

To complete the proof, observe that $F \hookrightarrow E \rightarrow G$ remains a short exact sequence in \mathcal{B}^β at all points inside the ν -wall corresponding to F . \square

We will see that a similar statement holds for $\beta > \mu(E)$. So, for a given E , there is at most one actual ν -wall destabilizing it on each side of the vertical line M_ν . We can then reduce the proof of Theorem 5.2 to the study of asymptotic $\nu_{\alpha,\beta}$ -stability along horizontal lines. To this end, we define

$$(5.1) \quad \begin{aligned} \Lambda_{\bar{\alpha}}^- &:= \{(\alpha, \beta) \in \mathbb{H} \mid \alpha = \bar{\alpha}, \beta < 0\} \quad \text{and} \\ \Lambda_{\bar{\alpha}}^+ &:= \{(\alpha, \beta) \in \mathbb{H} \mid \alpha = \bar{\alpha}, \beta > 0\}. \end{aligned}$$

5.1. Asymptotics along $\Lambda_{\bar{\alpha}}^-$

The first part of Theorem 5.2 is proved in two separate lemmas.

Lemma 5.4. *If $B \in D^b(X)$ is asymptotically $\nu_{\alpha,\beta}$ -semistable along the horizontal half-line $\Lambda_{\bar{\alpha}}^-$, then B is a $\mu_{\leq 2}$ -semistable sheaf.*

Proof. By Lemma 5.3, we may assume $B \in \text{Coh}(X) \cap \mathcal{B}^\beta$ for all $\beta \ll 0$.

Let $T \hookrightarrow B$ be a torsion subsheaf of B ; if $\text{ch}_1(T) \neq 0$, then

$$\lim_{\beta \rightarrow -\infty} \frac{-1}{\beta} (\nu_{\bar{\alpha},\beta}(T) - \nu_{\bar{\alpha},\beta}(B)) = \frac{1}{2},$$

contradicting asymptotic $\nu_{\alpha,\beta}$ -semistability. If $\text{ch}_1(T) = 0$, then

$$\nu_{\alpha,\beta}(B) < \nu_{\alpha,\beta}(T) = +\infty$$

for every $(\alpha, \beta) \in \mathbb{H}$, again contradicting asymptotic $\nu_{\alpha,\beta}$ -semistability. So B must be a torsion-free sheaf.

Let F be a subsheaf of B ; since $F \in \mathcal{B}^\beta$ for $\beta < \mu^-(F)$, F is also a sub-object of B within \mathcal{B}^β for $\beta < \min\{\mu^-(F), \mu^-(B)\}$. Note that

$$\lim_{\beta \rightarrow -\infty} (\nu_{\bar{\alpha},\beta}(F) - \nu_{\bar{\alpha},\beta}(B)) = -\frac{1}{2} \frac{\delta_{10}(B, F)}{\text{ch}_0(F) \text{ch}_0(B)} \leq 0$$

by asymptotic $\nu_{\alpha,\beta}$ -semistability. It follows that $\delta_{10}(B, F) \geq 0$; thus B is μ -semistable.

If $\delta_{10}(B, F) = 0$, then

$$\lim_{\beta \rightarrow -\infty} (-\beta)(\nu_{\bar{\alpha},\beta}(F) - \nu_{\bar{\alpha},\beta}(B)) = -\frac{\delta_{20}(B, F)}{\text{ch}_0(F) \text{ch}_0(B)} \leq 0$$

by asymptotic $\nu_{\alpha,\beta}$ -semistability; thus $\delta_{20}(B, F) \geq 0$, as desired. \square

Before going on to look at the converse, we can make an interesting deduction from this.

Proposition 5.5. *If E is a μ -semistable sheaf which is not $\mu_{\leq 2}$ -semistable, then E is not $\nu_{\alpha,\beta}$ -semistable for any $(\alpha, \beta) \in \mathbb{H}$.*

Proof. Suppose otherwise. Then by item (2) in Lemma 5.3, it follows that E is asymptotically $\nu_{\alpha,\beta}$ -semistable, and then by Lemma 5.4 it must be $\mu_{\leq 2}$ -semistable, which yields a contradiction. \square

Now we consider the converse to Lemma 5.4.

Lemma 5.6. *Fix $\bar{\alpha} > 0$. If E is $\mu_{\leq 2}$ -semistable, then there is a $\beta_0 < 0$ (depending only on $\text{ch}_{\leq 2}(E)$ and $\bar{\alpha}$) such that E is $\nu_{\bar{\alpha},\beta}$ -semistable for every $\beta < \beta_0$.*

Proof. First, note that E is μ -semistable; thus $E \in \mathcal{T}_\beta \subset \mathcal{B}^\beta$ whenever $\beta < \mu(E)$. Then Lemma 5.3(2) implies that there is at most one actual ν -wall for E containing the point $(\bar{\alpha}, \beta_0)$ (set $\beta_0 = \mu(E)$ if the wall does not exist). It follows that E is $\nu_{\bar{\alpha},\beta}$ -stable for $\beta < \beta_0$. \square

This completes the proof of the first part of Theorem 5.2.

Remark 5.7. Recall the definition of $\hat{\mu}$ -stability from Definition 2.19. We will also need the following version of Lemma 5.6 for torsion sheaves: given any fixed $\bar{\alpha} > 0$, if $T \in \text{Coh}(X)_2$ is $\hat{\mu}$ -semistable, then there is a $\beta_0 < 0$ such that T is $\nu_{\bar{\alpha}, \beta}$ -semistable for every $\beta < \beta_0$. The proof of this claim is similar to the proof of Lemma 5.6.

We can now describe an *asymptotic Harder–Narasimhan filtration* for unstable objects as well.

Proposition 5.8. *Let $\gamma(t) = (\alpha(t), \beta(t))$ be a path satisfying $\lim_{t \rightarrow \infty} \beta(t) = -\infty$. If $B \in D^b(X)$ is an object for which there is a $t_0 > 0$ such that $B \in \mathcal{B}^{\beta(t)}$ for all $t > t_0$, then B admits a filtration in $\mathcal{B}^{\beta(t)}$*

$$0 = B_0 \subseteq B_1 \subset B_2 \subset \cdots \subset B_n = B$$

whose factors $G_k := B_k/B_{k-1}$ are asymptotically $\nu_{\alpha, \beta}$ -semistable and satisfy

- (1) $\nu_{\gamma(t)}(B_1) = +\infty$ for every $t > 0$ if $B_1 \neq 0$;
- (2) for each $k = 2, \dots, n$, there is a $t_k > t_0$ such that $\nu_{\gamma(t)}(G_k) - \nu_{\gamma(t)}(G_{k+1}) > 0$ for every $t > t_k$.

Proof. The first item of Lemma 5.3 implies that B must be a sheaf, so it admits a filtration as described in Lemma 2.20; this is the filtration we are looking for.

Indeed, each factor in the filtration of Lemma 2.20 belongs to \mathcal{B}^{β} for $\beta \ll 0$, according to Lemma 5.6 and Remark 5.7. The property in item (1) is clear since $B_1 \in \text{Coh}_1(X)$. Item (2) is a consequence of the following claim: given $E, F \in \text{Coh}(X)$ with $\text{ch}_{\leq 1}(E), \text{ch}_{\leq 1}(F) \neq 0$, we have $\nu_{\gamma(t)}(E) > \nu_{\gamma(t)}(F)$ for $t \gg 0$ if and only if $\Lambda_2(E, F) > 0$. This can be explicitly checked for the path $\gamma(t) = (\bar{\alpha}, -t)$ using the limits calculated in the proof of Lemma 5.4; the verification for more general paths is similar. \square

5.2. Unbounded Θ^* -curves

It is tempting to think that if $B \in \mathcal{B}^{\beta}$ (respectively, $A \in \mathcal{A}^{\alpha, \beta}$) for some α, β , then $B^{\vee} \in \mathcal{B}^{-\beta}$ (respectively, $A^{\vee} \in \mathcal{A}^{\alpha, -\beta}$). The problem is that the duals of objects B in \mathcal{F}_{β} are not necessarily in $\mathcal{T}_{-\beta}$ because it might be that $\mu^+(B) = \beta$ and then $\mu^-(B^{\vee}) = -\beta$. The analogous statement holds for objects A in $\mathcal{F}_{\alpha, \beta}$. However, an *asymptotic* version of this statement does hold.

First we prove a technical lemma which allows us to turn Proposition 5.8 about the existence of asymptotic Harder–Narasimhan filtrations into a more precise bound on $\nu_{\alpha, \beta}^-$ so long as we constrain the unbounded curve we move along. To this end, we introduce the following definition.

Definition 5.9. A path $\gamma: (0, \infty) \rightarrow \mathbb{H}$ with $\gamma(t) = (\alpha(t), \beta(t))$ is called an *unbounded Θ^- -curve* if $\lim_{t \rightarrow \infty} \beta(t) = -\infty$ and for all v such that $v_{\leq 1} \neq (0, 0)$, $\nu_{\gamma(t)}(v) > 0$ for all t sufficiently large. Equivalently, the curve is asymptotically bounded by Θ_v^- ; i.e.

$$\lim_{t \rightarrow \infty} \frac{\dot{\alpha}(t)}{\dot{\beta}(t)} > -1.$$

We define the *dual curve* γ^* by $\gamma^*(t) = (\alpha(t), -\beta(t))$. Similarly, we define γ to be an *unbounded Θ^+ -curve* if $\lim_{t \rightarrow \infty} \beta(t) = +\infty$ and for all v such that $v_{\leq 1} \neq (0, 0)$, $\nu_{\gamma(t)}(v) < 0$ for all t sufficiently large.

In particular, γ is an unbounded Θ^- -curve if and only if γ^* is an unbounded Θ^+ -curve.

Remark 5.10. If $v_0 = 0$ while $v_1 \neq 0$, then the first condition implies the second; indeed, we have $\nu_{\gamma(t)}(v) = v_2/v_1 - \beta(t)$, and the first condition implies that this is positive for all $t \gg 0$.

When $v_0 \neq 0$, the condition on $\nu_{\gamma(t)}$ is satisfied, for example, if there is an $\epsilon > 0$ such that for all $t \gg 0$, $\alpha(t)^2 < \beta(t)^2(1 - \epsilon)$: we have

$$\lim_{t \rightarrow \infty} \frac{\nu_{\gamma(t)}(v)}{-\beta(t)} > \lim_{t \rightarrow \infty} \frac{v_2 - \beta(t)v_1 + \beta(t)^2 \epsilon v_0/2}{-v_1 \beta(t) + v_0 \beta(t)^2} = \frac{\epsilon}{2} > 0.$$

So again this is positive for all $t \gg 0$.

Example 5.11. Note that, since $s > 0$, $\alpha^2 < \beta^2(1 - \epsilon)$ sufficiently far along $\Gamma_{v,s}^-$, and so $\Gamma_{v,s}^-$ is an unbounded Θ^- -curve.

Lemma 5.12. *Let γ be an unbounded Θ^- -curve. If $B \in \mathcal{B}^{\beta(t)}$ for all $t \gg 0$ and $\text{ch}_{\leq 1}(B) \neq (0, 0)$, then there exists a $t_0 > 0$ such that $v_{\gamma(t)}^-(B) > 0$ for all $t > t_0$.*

Proof. Using Remark 5.8, there is a t_0 large enough so that there is a fixed Harder-Narasimhan factor of B , say $B_0 \in \mathcal{B}^{\beta(t)}$, which satisfies $v_{\gamma(t)}(B_0) = v_{\gamma(t)}^-(B)$ for all $t > t_0$. If $\text{ch}_0(B_0) = \text{ch}_1(B_0) = 0$, then $v_{\gamma(t)}(B_0) = \infty$ for all $t \gg 0$, and we are done. Otherwise, $\text{ch}_{\leq 1}(B_0) \neq (0, 0)$. Then $v_{\gamma(t)}(B_0)$ is asymptotically positive by the assumption on $\gamma(t)$; thus $v_{\gamma(t)}^-(B) > 0$ for $t \gg 0$, as desired. \square

Combining this with Proposition 2.13, we deduce the following.

Proposition 5.13. *Consider an unbounded Θ^- -curve γ as above. Then the following are equivalent for an object $E \in D^b(X)$:*

- (1) $E \in \mathcal{A}^{\gamma(t)}$ for all $t \gg 0$.
- (2) $E \in \mathcal{B}^{\beta(t)}$ for all $t \gg 0$.
- (3) $E \in \text{Coh}(X)$.

If $E \in \text{Coh}(X)$ contains no subsheaf of dimension 0, then there is a $t_0 > 0$ such that for all $t > t_0$, $E^\vee \in \mathcal{A}^{\gamma^(t)}$.*

Proof. Lemma 5.12 implies that (2) implies (1) and a similar but simpler argument for $\mu^-(E)$ shows that (3) implies (2). The idea for the converses is that if $E_1[1] \rightarrow E \rightarrow E_0$ is short exact in $\mathcal{A}^{\gamma(t_0)}$, then Lemma 5.12 again shows that $v_{\gamma(t)}^-(E_1)$ becomes positive as t increases, and then $E_1[1] \in \mathcal{B}^{\beta(t)}$ for t sufficiently large and so $E \in \mathcal{B}^{\gamma(t)}$ for the same range of t . A similar argument shows (2) implies (3).

Now assume E is a coherent sheaf. When E is torsion-free, the claim follows immediately from Proposition 2.13 and the observation that $v_{\gamma^*(t)}^+(E^\vee) = -v_{\gamma(t)}^-(E') \rightarrow -\infty$ as $t \rightarrow \infty$. Otherwise, let $T \subset E$ be its maximal torsion subsheaf. Then the hypothesis implies that $T^\vee \in \mathcal{A}^{\gamma^*(t)}$ for all $t \gg 0$, and so dualizing $T \rightarrow E \rightarrow E/T$, we deduce the last part. \square

Corollary 5.14. *Suppose γ is an unbounded Θ^- -curve and E is a sheaf in $\mathcal{A}^{\gamma(t)}$ for all $t \geq 0$. A short exact sequence $0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0$ in $\mathcal{A}^{\gamma(0)} \cap \text{Coh}(X)$ remains a short exact sequence in $\mathcal{A}^{\gamma(t)}$ for all $t \geq t_0$ for some $t_0 \geq 0$.*

Proof. Observe that $0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0$ is also a short exact sequence in $\mathcal{B}^{\beta(0)}$ by the first part of Proposition 2.12 and so also in $\mathcal{B}^{\beta(t)}$ for all $t > 0$ since γ is a Θ^- -curve and so remains to the left of any Θ^- -curve. But $v_{\gamma(t)}^-(G) \geq v_{\gamma(t)}^-(E)$. So $G \in \mathcal{A}^{\gamma(t)}$ for all $t > 0$. The same is not necessarily true for F . But $v_{\gamma(t)}^-(F) > 0$ for all $t \gg 0$. \square

5.3. Asymptotics along Λ_α^+

We now move to the proof of the second part of Theorem 5.2, starting with a characterization of objects lying in \mathcal{B}^β for $\beta \gg 0$.

Lemma 5.15. *Let $B \in D^b(X)$. There is a $\beta_0 > 0$ such that $B \in \mathcal{B}^\beta$ for every $\beta > \beta_0$ if and only if the following conditions hold:*

- (1) $\mathcal{H}^p(B) = 0$ for $p \neq -1, 0$.
- (2) $\mathcal{H}^{-1}(B)$ is a torsion-free sheaf.
- (3) $\mathcal{H}^0(B)$ is a torsion sheaf.

Proof. Set $E := \mathcal{H}^{-1}(B)$ and $P := \mathcal{H}^0(B)$. If $B \in \mathcal{B}^\beta$ for every $\beta \gg 0$, then the first two items follow immediately. For the third one, just note that

$$\lim_{\beta \rightarrow \infty} \frac{\text{ch}_1^\beta(P)}{\beta} = -\text{ch}_0(P) \geq 0;$$

thus $\text{ch}_0(P) = 0$.

Conversely, we have that $E \in \mathcal{F}_\beta$ for $\beta \geq \mu^+(E)$ and $P \in \mathcal{T}_\beta$ for every β ; it follows immediately that $B \in \mathcal{B}^\beta$ for $\beta \geq \mu^+(E)$. \square

Lemma 5.16. *If $B \in D^b(X)$ is asymptotically $\nu_{\alpha,\beta}$ -semistable along Λ_α^\pm , then $S := B^\vee[-1]$ is a $\mu_{\leq 2}$ -semistable sheaf such that S^{**}/S either is empty or has pure dimension 1.*

Proof. For simplicity, set $E := \mathcal{H}^{-1}(B)$ and $P := \mathcal{H}^0(B)$. We start by checking that E is reflexive and $\dim P \leq 1$.

Indeed, if E is not reflexive, then $Q_E := E^{**}/E \in \mathcal{T}_\beta \subset \mathcal{B}^\beta$ for every β ; thus Q_E is a sub-object of B within \mathcal{B}^β . But $\nu_{\alpha,\beta}(Q_E) = +\infty$ for every $(\alpha,\beta) \in \mathbb{H}$, so we have a contradiction with the asymptotic $\nu_{\alpha,\beta}$ -semistability of B .

Lemma 5.15 implies that $\text{ch}_0(P) = 0$. If $\text{ch}_1(P) \neq 0$, then

$$\lim_{\beta \rightarrow \infty} \frac{1}{\beta} (\nu_{\bar{\alpha},\beta}(B) - \nu_{\bar{\alpha},\beta}(P)) = \frac{1}{2},$$

also contradicting the asymptotic $\nu_{\alpha,\beta}$ -semistability of B .

Any subsheaf U of P has dimension less than 1, so $\nu_{\alpha,\beta}(U) = +\infty$, and hence U cannot lift to a sub-object of B . By Proposition 2.15, we conclude that $S := B^\vee[-1]$ is a torsion-free sheaf. Note that $\mathcal{E}xt^3(S^{**}/S, \mathcal{O}_X) \simeq \mathcal{E}xt^2(S, \mathcal{O}_X) = \mathcal{H}^1(B) = 0$, so S^{**}/S cannot have a 0-dimensional subsheaf.

Let G be a quotient sheaf of S . Then G^* is a subsheaf of $S^* = \mathcal{H}^{-1}(B)$, and $G^*[1] \hookrightarrow B$ is a sub-object within \mathcal{B}^β for every $\beta > \mu^+(G^*)$. Note that $\delta_{10}(G^*[1], B) = \delta_{10}(S, G)$, so

$$\lim_{\beta \rightarrow \infty} (\nu_{\bar{\alpha},\beta}(G^*[1]) - \nu_{\bar{\alpha},\beta}(B)) = \frac{1}{2} \frac{\delta_{10}(S, G)}{\text{ch}_0(G)\text{ch}_0(S)} \leq 0$$

by asymptotic $\nu_{\alpha,\beta}$ -semistability, so S is μ -semistable.

Next, note that $\delta_{20}(G^*[1], B) = \delta_{20}(G, S)$; thus if $\delta_{10}(S, G) = 0$, then

$$\lim_{\beta \rightarrow \infty} (\beta)(\nu_{\bar{\alpha},\beta}(G^*[1]) - \nu_{\bar{\alpha},\beta}(B)) = -\frac{\delta_{20}(G, S)}{\text{ch}_0(G)\text{ch}_0(S)} \leq 0,$$

implying that $\delta_{20}(S, G) \leq 0$, meaning that S is $\mu_{\leq 2}$ -semistable. \square

Finally, we provide the converse of the previous lemma, thus concluding the proof of Theorem 5.2. For $E \in \text{Coh}(X)$, note that Lemma 5.15 implies that $E^\vee[-1] \in \mathcal{B}^\beta$ for $\beta \gg 0$ if and only if E has no subsheaf of dimension at most 1, and the cokernel of the canonical morphism $E \rightarrow E^{**}$ has pure dimension 1.

Lemma 5.17. *If S is a $\mu_{\leq 2}$ -semistable sheaf such that S^{**}/S is either empty or has pure dimension 1, then there is a $\beta_0 > 0$ such that $S^\vee[-1]$ is $\nu_{\bar{\alpha},\beta}$ -semistable for all $\beta > \beta_0$.*

Proof. The observation in the previous paragraph implies that $B := S^\vee[-1] \in \mathcal{B}^\beta$ for every $\beta \geq -\mu(S)$.

Let $F \hookrightarrow B$ be a sub-object within \mathcal{B}^β for $\beta > -\mu(S)$; note that $\text{ch}_0(\mathcal{H}^0(F)) = 0$ by Lemma 5.15. First assume $\text{ch}_0(F) = \text{ch}_0(\mathcal{H}^{-1}(F)) \neq 0$. It follows that

$$\mu(F) = \mu(\mathcal{H}^{-1}(F)) - \frac{\text{ch}_1(\mathcal{H}^0(F))}{\text{ch}_1(\mathcal{H}^{-1}(F))} \leq \mu(\mathcal{H}^{-1}(F)) \leq \mu(S^*) = \mu(B)$$

since S^* is μ -semistable and $\mathcal{H}^{-1}(F)$ is a subsheaf of S^* . We then have

$$\lim_{\beta \rightarrow \infty} (\nu_{\bar{\alpha},\beta}(F) - \nu_{\bar{\alpha},\beta}(B)) = \frac{1}{2} (\mu(F) - \mu(B)) \leq 0.$$

If $\mu(F) = \mu(B)$, then $\mu(\mathcal{H}^{-1}(F)) = \mu(S^*)$ and $\text{ch}_1(\mathcal{H}^0(F)) = 0$. Denoting by $G := B/F$ the corresponding quotient in \mathcal{B}^β , we have the following exact sequence in $\text{Coh}(X)$:

$$(5.2) \quad 0 \rightarrow \mathcal{H}^{-1}(F) \rightarrow S^* \rightarrow \mathcal{H}^{-1}(G) \xrightarrow{f} \mathcal{H}^0(F) \rightarrow \mathcal{E}xt^1(S, \mathcal{O}_X) \rightarrow \mathcal{H}^0(G) \rightarrow 0.$$

Clearly, $\ker f$ is torsion-free, and this implies that $\mathcal{H}^{-1}(F)$ is reflexive. Let $P \hookrightarrow \mathcal{H}^0(F)$ be a monomorphism of sheaves that lifts to F , and let U be the image of the composite morphism of sheaves $P \hookrightarrow \mathcal{H}^0(F) \rightarrow \mathcal{E}xt^1(S, \mathcal{O}_X)$. Since $U \in \mathcal{T}_\beta \subset \mathcal{B}^\beta$ for every β , U coincides with the image of the composite monomorphism $P \hookrightarrow F \hookrightarrow B = S^\vee[-1]$ in \mathcal{B}^β , so Proposition 2.15 implies that $U = 0$, so in fact $P = 0$. We therefore conclude, again by Proposition 2.15, that $\bar{F} := F^\vee[-1]$ is a torsion-free sheaf. Dualizing and shifting the triangle

$$F \rightarrow B \rightarrow G \rightarrow F[1]$$

in $D^b(X)$, we obtain the triangle

$$\bar{G} \rightarrow S \rightarrow \bar{F} \rightarrow G^\vee, \quad \bar{G} := G^\vee[-1],$$

which yields the exact sequence in $\text{Coh}(X)$

$$0 \rightarrow \mathcal{H}^0(\bar{G}) \rightarrow S \rightarrow \bar{F} \rightarrow \mathcal{H}^1(\bar{G}) \rightarrow 0.$$

Note that $\text{ch}_k(\mathcal{H}^1(\bar{G})) = 0$ for $k = 0, 1$; it follows that

$$\delta_{20}(B, G) = \delta_{20}(S, \bar{G}) = \delta_{20}(S, \mathcal{H}^0(\bar{G})) + \text{ch}_0(S) \text{ch}_2(\mathcal{H}^1(\bar{G})) \geq 0.$$

We then obtain

$$\lim_{\beta \rightarrow \infty} \beta \left(v_{\bar{\alpha}, \beta}(B) - v_{\bar{\alpha}, \beta}(G) \right) = -\frac{\delta_{20}(B, G)}{\text{ch}_0(B) \text{ch}_0(G)} \leq 0.$$

If equality holds, then both $\text{ch}_{\leq 2}(F)$ and $\text{ch}_{\leq 2}(G)$ are multiples of $\text{ch}_{\leq 2}(B)$, meaning that $v_{\alpha, \beta}(F) = v_{\alpha, \beta}(B) = v_{\alpha, \beta}(G)$ for every (α, β) . In any case, we conclude that there is a $\beta_0 > 0$ such that $v_{\bar{\alpha}, \beta}(F) \leq v_{\bar{\alpha}, \beta}(B)$ for $\beta > \beta_0$.

Next, assume $\text{ch}_0(F) = \text{ch}_0(\mathcal{H}^{-1}(F)) = 0$, so $\mathcal{H}^{-1}(F) = 0$ and $F \in \mathcal{T}_\beta$. If $\text{ch}_1(F) \neq 0$, then

$$\lim_{\beta \rightarrow \infty} \frac{1}{\beta} (v_{\bar{\alpha}, \beta}(B) - v_{\bar{\alpha}, \beta}(F)) = \frac{1}{2},$$

so $v_{\bar{\alpha}, \beta}(B) > v_{\bar{\alpha}, \beta}(F)$ for $\beta \gg 0$. Therefore, it is enough to consider the case when $\dim F \leq 1$.

The sequence in display (5.2) simplifies to

$$0 \rightarrow S^* \rightarrow \mathcal{H}^{-1}(G) \xrightarrow{f} F \rightarrow \mathcal{E}xt^1(S, \mathcal{O}_X) \rightarrow \mathcal{H}^0(G) \rightarrow 0.$$

Since $\ker f$ is a subsheaf of F , we conclude that $\dim \ker f \leq 1$, so that $(\ker f)^* = \mathcal{E}xt^1(\ker f, \mathcal{O}_X) = 0$. Therefore, dualizing the sequence

$$0 \rightarrow S^* \rightarrow \mathcal{H}^{-1}(G) \rightarrow \ker f \rightarrow 0,$$

we get that $S^{**} \simeq \mathcal{H}^{-1}(G)^*$ and

$$(5.3) \quad \mathcal{E}xt^1(S^*, \mathcal{O}_X) \rightarrow \mathcal{E}xt^2(\ker f, \mathcal{O}_X) \rightarrow \mathcal{E}xt^2(\mathcal{H}^{-1}(G), \mathcal{O}_X) \rightarrow 0$$

since the reflexivity of S^* implies that $\mathcal{E}xt^2(S^*, \mathcal{O}_X) = 0$. In addition, since $\mathcal{H}^{-1}(G)$ is torsion-free, we can also deduce that $\mathcal{E}xt^3(\ker f, \mathcal{O}_X) = 0$, meaning that either $\ker f$ has pure dimension 1, or $\ker f = 0$. However, the latter leads to a contradiction with the exact sequence in display (5.3) since both $\mathcal{E}xt^1(S^*, \mathcal{O}_X)$ and $\mathcal{E}xt^2(\mathcal{H}^{-1}(G), \mathcal{O}_X)$ are 0-dimensional sheaves (since S^* is reflexive and $\mathcal{H}^{-1}(G)$ is torsion-free), while $\mathcal{E}xt^2(\ker f, \mathcal{O}_X)$ is a 1-dimensional sheaf. It follows that $\ker f = 0$; hence F is a subsheaf of $\mathcal{E}xt^1(S, \mathcal{O}_X)$ that lifts to $B = S^\vee[-1]$, contradicting Proposition 2.15. \square

6. The differential geometry of surface walls

Definition 6.1. Let u and v be real numerical Chern characters such that v satisfies the Bogomolov–Gieseker inequality. We define the surface wall $\Sigma_{u,v} \subset \mathbb{R}^+ \times \mathbb{R} \times \mathbb{R}^+$ to be the vanishing locus of

$$f_{u,v}(\alpha, \beta, s) = \Delta_{32}(\alpha, \beta) - \alpha^2(s - 1/3)\Delta_{12}(\alpha, \beta),$$

the numerator of the difference of slopes $\lambda_{\alpha,\beta,s}(u) - \lambda_{\alpha,\beta,s}(v)$ now regarded as a function of all three parameters (α, β, s) . Note that

$$(6.1) \quad \Sigma_{u,v} = \Sigma_{v,u} = \Sigma_{\phi v + \psi u, v}$$

for any real ϕ and $\psi \neq 0$. Throughout this section, we will be referring to the function $f_{u,v}$ frequently, and it is will be more readable to abbreviate it when the context is clear to $f(\alpha, \beta, s)$ or just f .

In addition, we will denote by Γ_v (without the parameter s in the subscript) the surface $\{\tau_{v,s}(\alpha, \beta) = 0\} \subset \mathbb{R} \times \mathbb{R}^+$. In this notation, $\Gamma_{v,s_0} = \Gamma_v \cap \{s = s_0\}$ and $\Upsilon_{u,v,s_0} = \Sigma_{u,v} \cap \{s = s_0\}$ for any $s_0 \in \mathbb{R}^+$.

Our aim in this section is to explore some of the differential-geometric properties of $\Sigma_{u,v}$ with a view to understanding finiteness properties of λ -walls. We will assume v is a fixed real numerical Chern character. Note that, by (6.1), we can assume $u_0 = v_0$. It turns out to be best to consider the two cases $u_0 = 0 = v_0$ and $u_0 \neq 0 \neq v_0$. The former is dealt with in Remark 6.5.

Generically, $\Sigma_{u,v}$ can have components of dimensions 0, 1 or 2. In fact, each of these does arise at least as a numerical surface wall. For example, for $v = (3, -2, -1/2, 1)$ and $u = (0, 1, -1/2, 0)$,

$$f_{u,v}(0, \beta, s) = (\beta + 1)^2(\beta^2 + 2)/4$$

and $f_{u,v}(\alpha, \beta, s) \neq 0$ whenever $\alpha \neq 0$. At special points where the curves $\Gamma_{v,s}$ and Θ_v intersect, $\Sigma_{u,v}$ is guaranteed to be locally a surface.

Lemma 6.2. *At the points of intersection $\Gamma_{u,s} \cap \Gamma_{v,s}$, $\Theta_u \cap \Theta_v$ and $\Gamma_{v,s} \cap \Theta_v$, the surface wall $\Sigma_{u,v}$ is 2-dimensional.*

In other words, a surface wall $\Sigma_{u,v}$ does have 2-dimensional components whenever it is not empty.

Proof. Note that each pair of curves divides a small ball around their intersection into four regions; otherwise, the (algebraic) curves must coincide. They cannot coincide except possibly for the Theta curves, but then $\Sigma_{u,v} = \Theta_u \times \mathbb{R}$. Then for a small arc around the intersection point in two of the regions, the function $f_{u,v}(\alpha, \beta, s)$ is positive on one curve and negative on the other, and so must vanish at some point in the region for each arc sufficiently close to the intersection point. Combining this with Lemma 4.11, we see that $\Sigma_{u,v}$ is 2-dimensional in a neighbourhood of the point. \square

We now look more carefully at the differential geometry of the surface wall $\Sigma_{u,v}$. The normal vector is given by the gradient of $f(\alpha, \beta, s)$.

Lemma 6.3.

$$\begin{aligned} \partial_\alpha f &= \alpha(1 + 2(s - 1/3))\Delta_{21} - \alpha\Delta_{30} + \alpha^3(s - 1/3)\Delta_{10}, \\ \partial_\beta f &= -\Delta_{31} - \alpha^2(s - 1/3)\Delta_{20}, \\ \partial_s f &= \alpha^2\Delta_{21}, \\ \partial_\alpha^2 f &= (1 + 2(s - 1/3))\Delta_{21} - \Delta_{30} + \alpha^2(5s + 1/3)\Delta_{10}, \\ Hf &= \begin{pmatrix} \partial_\alpha^2 f & 2\alpha(s - 1/3)\Delta_{02} & 2\alpha\Delta_{21} - \alpha^3\Delta_{01}, \\ 2\alpha(s - 1/3)\Delta_{02} & \Delta_{21} + \Delta_{30} + \alpha^2(s - 1/3)\Delta_{10} & -\alpha^2\Delta_{20}, \\ 2\alpha\Delta_{21} - \alpha^3\Delta_{01} & -\alpha^2\Delta_{20} & 0 \end{pmatrix}. \end{aligned}$$

Proposition 6.4. *For any $s_1 > s_0 > 0$, $\Sigma_{u,v} \cap \mathbb{R} \times \mathbb{R} \times \{s_0 \leq s \leq s_1\}$ is compact if and only if $\Delta_{01} \neq 0$.*

Proof. This is just a restatement of Proposition 4.4 in terms of $\Sigma_{u,v}$. \square

Note that when $s = 0$, the surface is unbounded along $\alpha = \pm\beta$.

Remark 6.5. In the case where v_0 and u_0 are non-zero, if u gives rise to a ν -wall with respect to v , then $\Delta_{01} \neq 0$. Then $\Delta_{21}(\alpha, \beta) = 0$ has a 1-dimensional solution set. So if $\Delta_{02} = 0$ (everywhere), then, in particular, $\Delta_{20} = 0$ along the ν -wall, and it follows that $(u_0, u_1, u_2) \propto (v_0, v_1, v_2)$, which then cannot have a ν -wall as $\Delta_{21} = 0$ everywhere. On the other hand, if $\Delta_{01} = 0$, then if $\Delta_{20}(\alpha, \beta) = 0$ for some (α, β) , it must vanish identically and again $(u_0, u_1, u_2) \propto (v_0, v_1, v_2)$. But then $\Delta_{21} = 0$ identically. On a λ -wall, we then have $\Delta_{32} = 0$ and so $u \propto v$. It follows that Δ_{02} cannot vanish identically.

If $v_0 = 0 = u_0$, then $\Delta_{i0} = 0$ identically for all i . Then there are no ν -walls. The numerical λ -walls are nested ellipses, much as ν -walls are for the truncated Chern characters. It follows that $\Sigma_{u,v}$ is always regular and horizontal exactly on $\Gamma_{v,s}$. The variation in s is just a vertical scaling by $\sqrt{s+1/3}$.

It is interesting to consider the regularity of numerical walls, and we will use this extensively in our analysis of the asymptotics. The regularity of a general wall for arbitrary s is complicated and hard to describe, but the situation is simpler if we consider the whole surface $\Sigma_{u,v}$ and also for the case $s = 1/3$, which we describe first.

Proposition 6.6. *When $s = 1/3$, a numerical wall $\Upsilon_{u,v,1/3}$ is regular everywhere in the upper half plane except where it intersects $\Gamma_{u,1/3}$ and its numerical ν -wall $\Xi_{u,v}$.*

Proof. At a non-regular point p on $\Upsilon_{u,v,1/3}$, we have $\Delta_{32} = 0 = \Delta_{31}$ and $\Delta_{30} = \Delta_{21}$. If $p \notin \Gamma_{v,1/3}$, then Lemma 2.7(3) implies $\Delta_{12} = 0$, and so $\Delta_{30} = 0$. But then Lemma 2.7(4) implies $u \propto v$. So $p \in \Gamma_{v,1/3} \cap \Gamma_{u,1/3}$. But then $\Delta_{30} = 0$ and so $\Delta_{21} = 0$. But then $p \in \Xi_{u,v}$. Conversely, if $p \in \Gamma_{v,1/3} \cap \Xi_{u,v} \cap \Upsilon_{u,v,1/3}$, then $\partial_\alpha f = 0 = \partial_\beta f$, and so p is not a regular point. \square

Looking at the second derivatives, we see that the local model for $\Upsilon_{u,v,1/3}$ at its singular point is $(\alpha - \alpha_0)^2 + \text{higher order terms} = 0$, and so the singular point is a cusp. We see this more generally in case (2) in Theorem 6.7 below.

Theorem 6.7. *Suppose u and v are real numerical Chern characters with $v_0 \neq 0$. Any 2-dimensional component of the surface wall $\Sigma_{u,v}$ is regular everywhere except in one of the following situations:*

- (1) *It intersects a ν -wall away from Γ_v at $\alpha = 0$, in which case it is locally $\alpha^2 - \beta^2$.*
- (2) *It intersects a ν -wall and Γ_v at $s = 1/3$ for $\alpha > 0$, in which case it is locally*

$$(\alpha - \alpha_0)^2 + (\alpha - \alpha_0)(s - 1/3) + \frac{\Delta_{02}(\alpha_0, \beta_0)}{\Delta_{01}(\alpha_0, \beta_0)}(\beta - \beta_0)(s - 1/3) = 0$$

up to scaling.

- (3) *It intersects a ν -wall and Γ_v at $\alpha = 0$ and $s = 1/3$, in which case the surface is smooth (locally given by $(\beta - \beta_0)^3 = 0$).*
- (4) *The characters u and v are special vectors satisfying $\mu(v) = \mu(u)$, $\Delta_{03} = \Delta_{21}$, $\Delta_{20} \neq 0$ and $\text{ch}_3^{\alpha, \mu(v)}(v) = 0 = \text{ch}_3^{\alpha, \mu(u)}(u)$. Then $\Sigma_{u,v}$ is regular except along the line $M_v \times \{s = 1/3\} \cup \{\alpha = 0, s < 1/3\}$, and it is locally $(\beta - \beta_0)((s_0 - 1/3)(\alpha - \alpha_0) + (\beta - \beta_0)^2)$ at $(\alpha_0, \mu(v), 1/3)$ and at $(0, \beta_0, s_0)$.*

Note that in (1), we also allow the degenerate case where the ν -wall has radius 0. An example of this can be seen for $v = (2, 0, -1, 0)$, illustrated in Figure 11 in Section 8.

Proof. Suppose (α, β, s) is a non-regular point of $\Sigma_{u,v}$. Then $\nabla f_{u,v}(\alpha, \beta) = 0$. From $\partial_s f_{u,v} = 0$, we have either $\alpha = 0$ or $\Delta_{12}(\alpha, \beta) = 0$. We will treat these two cases separately.

First suppose $\alpha \neq 0$. Then $\Delta_{12} = 0$. From $f_{u,v} = 0$, we also have $\Delta_{32} = 0$. From $\partial_\alpha f_{u,v} = 0$, we have $\Delta_{30} = \alpha^2(s - 1/3)\Delta_{10}$, and from $\partial_\beta f_{u,v} = 0$, we have $\Delta_{31} = -\alpha^2(s - 1/3)\Delta_{20}$. Assume $\text{ch}_1^\beta(v) \neq 0$ and $s \neq 1/3$.

Then Lemma 2.7(3) implies that $\Delta_{31} = 0$, and then $\Delta_{20} = 0$ so that also $\Delta_{10} = 0$. Since $v_0 \neq 0$, Lemma 2.7(4) implies that $u \propto v$, which gives a contradiction. So we must have $\text{ch}_1^\beta(v) = 0 = \text{ch}_1^\beta(u)$ or $s = 1/3$. In the case $s \neq 1/3$, it follows that $\Delta_{01} = 0$. From $\partial_\beta f_{u,v} = 0$, we have $\Delta_{02} = 0$, but this contradicts $\Delta_{03} = 0$. So we must have $s = 1/3$ and either (a) $\Delta_{01} = 0 = \Delta_{30}$ along $\text{ch}_1^\beta(v) = 0$ or (b) the λ -curve passes through both a v -wall and $\Gamma_{v,s}$.

We consider case (a). From $\Delta_{31} = 0$, we then have that $u \propto v$ unless $\text{ch}_3^{\alpha,\beta}(u) = 0 = \text{ch}_3^{\alpha,\beta}(v)$ along M_v . Then we have that the conditions are equivalent to

$$(6.2) \quad \Delta_{01} = 0,$$

$$(6.3) \quad v_3 v_0^2 - v_1 v_2 v_3 + v_1^3/3 = 0,$$

$$(6.4) \quad \Delta_{03} = \Delta_{12}, \quad \text{and}$$

$$(6.5) \quad \Delta_{02} \neq 0.$$

The first equality for u also follows (from the second). Note that in this situation, $\Sigma_{u,v}$ is singular all along M_v and so also at $\alpha = 0$. Along M_v the Hessian of f vanishes. The only third derivatives to be non-zero (up to symmetry) are $\partial_\beta^3 f_{u,v} = 2\Delta_{02}$ and $\partial_\alpha \partial_\beta \partial_s f_{u,v} = 2\alpha \Delta_{02}$. So the local model for $f_{u,v}$ is

$$2\Delta_{02}(\beta - \mu(v))((\beta - \mu)^2 + (\alpha - \alpha_0)(s - 1/3)).$$

This is a triple zero at $\alpha = 0$ and looks like $y = 0 \cup y^2 = xz$ for $\alpha \neq 0$. In fact, since $f_{u,v}(\alpha, v_1/v_0) = 0$, we have that $(\beta - v_1/v_0)$ is a linear factor of $f_{u,v}$.

Now suppose $\alpha = 0$. Then $\partial_\beta f_{u,v} = 0$ implies that $\Delta_{31}(\alpha, \beta) = 0$. But from $f_{u,v} = 0$, we have $\Delta_{32}(\alpha, \beta) = 0$. If the point is not on Γ , then $\Delta_{21}(\alpha, \beta) = 0$, and so (α, β) is on a v -wall. Then the Hessian at this point is the diagonal $\text{diag}(-\Delta_{30}(0, \beta), \Delta_{30}(0, \beta), 0)$. Then $f_{u,v}$ is locally of the form $\Delta_{03}(\alpha^2 - (\beta - \beta_0)^2)$ to lowest order, as required. Conversely, such a point (where a numerical λ -wall intersects its associated v -wall away from Γ_v on $\alpha = 0$) is always singular of this form.

Alternatively, if the point lies on $\Gamma_{v,s} \cap \Gamma_{u,s}$ and $\alpha = 0$, then the Hessian is equal to the diagonal $\text{diag}(\Delta_{21}(0, \beta)(s + 1/3), \Delta_{21}(0, \beta), 0)$. So $\Delta_{21} = 0$. But this can only happen if either $\text{ch}_1^\beta(v) = 0 = \text{ch}_1^\beta(u)$ or we are also on a v -wall. In the latter case, we are in case (b); see below. Otherwise, $\Delta_{01} = 0$. But then we either have the special situation described before, or $\Delta_{20} = 0$ and $\Delta_{30} = 0$, so we have $u \propto v$. This is impossible. In the special case above, we have $Hf_{u,v} = 0$ again, and the same third derivatives are non-zero as before.

In case (b) above, we have $\Delta_{21} = 0$ and $\text{ch}_3^{\alpha,\beta}(u) = 0 = \text{ch}_3^{\alpha,\beta}(v)$, so that $\Delta_{3i} = 0$ for all i . Since $\Sigma_{u,v}$ also crosses a v -wall, we must have $\Delta_{20} \neq 0$. When $\alpha \neq 0$, the local form in (2) can then be read off the Hessian. Note that at $s = 1/3$, the wall has a standard cusp singularity given by $(\alpha - \alpha_0)^2 \propto (\beta - \beta_0)^3$. Otherwise, the Hessian vanishes at the point. The third derivatives are then all zero except for $\partial_\beta^3 f_{u,v} = -2\Delta_{02}$. This gives the required form in (3). \square

Remark 6.8. The special case where the surface is not regular for $\alpha > 0$ splits into two types: $v_1 = 0$ and $v_1 \neq 0$. The former case gives $v_3 = 0 = u_3$ and $u_1 = 0$. Then, so long as $\Delta_{02} \neq 0$, the surface has the local form as stated in Theorem 6.7. It follows that $f(\alpha, \beta, s) = ((3s - 1)\alpha^2 + \beta^2)\beta\Delta_{02}$, so $\Sigma_{u,v}$ is reducible. As a concrete (actually generic) example, consider the Chern characters $v = (2, 0, -3, 0)$ and $u = \text{ch}(\mathcal{O}_X)$. On \mathbb{P}^3 , v is the Chern character of a rank 2 locally free sheaf E with $c_1(E) = 0$ and $c_2(E) = 3$; see Figure 6.

On the other hand, if $v_1 \neq 0$, the picture will be the same translated by $\mu(v)$ along β . Note that (6.2) will determine u_1 for arbitrary non-zero $\text{ch}(v)$ and u_0 . Then (6.3) will determine v_3 , while (6.4) will determine u_2 : one root is also a root of $\Delta_{02} = 0$ and so must be dismissed, and then u_2 is uniquely determined. This gives a 3-parameter family of possible rational examples, but to correspond to actual objects, there are strong diophantine constraints which will depend on the threefold X .

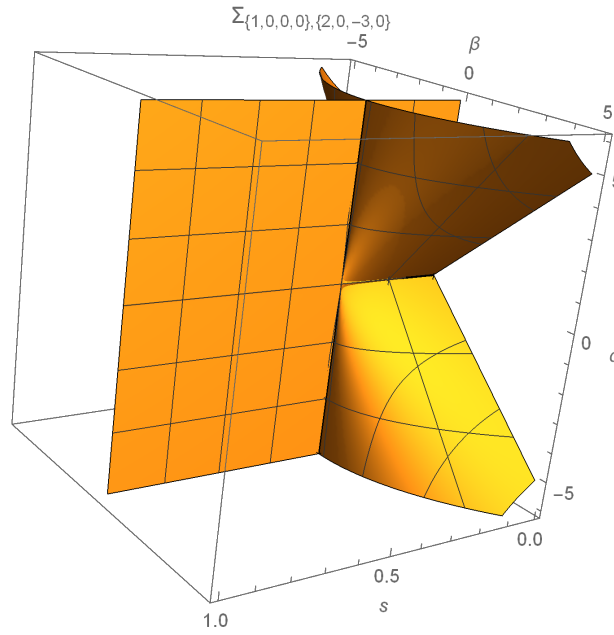


Figure 6. An example of a reducible surface $\Sigma_{u,v}$ with $u = (1, 0, 0, 0)$ and $v = (2, 0, -3, 0)$, illustrating case (4) of Theorem 6.7.

Corollary 6.9. *If $\Delta_{01} \neq 0$, then $\Sigma_{u,v} \cap \{\alpha > 0, s > 0, s \neq 1/3\}$ is regular and $\Upsilon_{u,v,s_0} = \Sigma_{u,v} \cap \{s = s_0\}$ is bounded for each $s_0 > 0$.*

A numerical λ -wall $\Upsilon_{\alpha,\beta,s}$ is said to be *horizontal* at a point $(\alpha_0, \beta_0) \in \mathbb{H}$ if $\partial_\beta f_{u,v}(\alpha_0, \beta_0) = 0$.

Proposition 6.10. *Assume u and v are as in Theorem 6.7. Let $s = 1/3$. A numerical λ -wall is horizontal at a point $(\alpha, \beta) \notin \Theta_v$ if and only if $(\alpha, \beta) \in \Gamma_{v,s}$ or $(\alpha, \beta) \in \Xi_{u,v}$ away from Θ_v . It is a local maximum on $\Gamma_{v,s}$ and a local minimum of $\Xi_{u,v}$ except for the special case where $\delta_{01} = 0$ and $(\alpha, \beta) \in \Gamma_{v,s} \cap \Xi_{u,v}$, where it is a point of inflection.*

Proof. By Lemma 6.3, $\Upsilon_{\alpha,\beta,s}$ is horizontal if and only if $\partial_\beta f_{u,v,s} = \Delta_{13}(\alpha, \beta) = 0$. First assume the point is on $\Gamma_{v,s}$ (but not on Θ_v), so that $\text{ch}_3^{\alpha,\beta}(v) = 0$. Then also $\text{ch}_3^{\alpha,\beta}(u) = 0$ because $\text{ch}_2^{\alpha,\beta}(v) \neq 0$ and $f_{u,v,s}(\alpha, \beta) = 0$. Hence, $\Delta_{31}(\alpha, \beta) = 0$, and so $\partial_\beta f_{u,v,s} = 0$, and the wall is horizontal. In a local chart away from a point where the wall is vertical, we can view α as a function of β . Then the second derivative is given by

$$\frac{d^2 \alpha}{d\beta^2} = -\frac{\partial_\beta^2 f_{u,v,s}}{\partial_\alpha f_{u,v,s}} = \frac{\Delta_{21} + \Delta_{30}}{\alpha \Delta_{21} - \alpha \Delta_{30}} = -\frac{1}{\alpha}.$$

If the point is on the associated v -wall $\Xi_{u,v}$, then since $\text{ch}_1^\beta(v) \neq 0$, we have

$$\Delta_{32} = \frac{\text{ch}_2^{\alpha,\beta}(v)}{\text{ch}_1^\beta(v)} \Delta_{31},$$

and so if also $\Delta_{32} = 0$, then the $\Upsilon_{u,v,s}$ must be horizontal there, unless the point lies on Θ_v . The second derivative (if the point is not on $\Gamma_{v,s}$) is $1/\alpha$. Note that when the point is also on $\Gamma_{v,s}$, the wall is singular by Theorem 6.7(2); otherwise, Δ_{21} and Δ_{30} cannot vanish simultaneously except in the special case where $\delta_{01} = 0$. Consequently, there is never a point of inflection on a numerical λ -wall except at $\Gamma_{v,s} \cap \Xi_{u,v}$ in this special case.

Assume $\Upsilon_{u,v,s}$ is horizontal at a point (α, β) not on $\Gamma_{v,s}$. Then $\Delta_{13}(\alpha, \beta) = 0$, and from $\Delta_{23}(\alpha, \beta) = 0$, we also have $\Delta_{12}(\alpha, \beta) = 0$ by Lemma 2.7(3) since $\text{ch}_3^{\alpha,\beta} \neq 0$ by assumption. So (α, β) is on the associated v -wall. \square

Remark 6.11. A numerical λ -wall might be horizontal as it crosses Θ_v , though it generally is not. In that case, we can use β and s as local coordinates on the surface, and the second fundamental form at that point is $\text{II} = \frac{1}{\alpha}d\beta^2$. Then the Gauss curvature is zero, and the mean curvature is $1/2\alpha$.

Now we consider how numerical λ -walls vary with s . Note that $\Sigma_{u,v} \cap \{s = s_0\} = \Upsilon_{u,v,s_0}$.

Theorem 6.12. *Let Σ' be a non-empty connected component of $\Sigma_{u,v}$.*

- (1) *Suppose $s_0 \geq 1/3$. If $\Sigma' \cap \{s = s_0\} \neq \emptyset$, then $\Sigma' \cap \{s = s_1\} \neq \emptyset$ for every $s_1 \geq 1/3$.*
- (2) *Suppose $s_0 < 1/3$. If $\Sigma' \cap \{s = s_0\} \neq \emptyset$, then $\Sigma' \cap \{s = s_1\} \neq \emptyset$ for every $0 \leq s_1 < s_0$.*

If $\Sigma' \cap \{s = s_0\} \neq \emptyset$, then $\Sigma' \cap \{s = s_1\} \neq \emptyset$ for every $0 < s_1 \leq s_0$.

In other words, if a numerical λ -wall exists for one $s_0 \geq 1/3$, then it must also exist for all $s \geq 1/3$, whereas if $s_0 < 1/3$, then it need only exist for $s < s_0$. This means that numerical λ -walls can only be “created” for $s < 1/3$ and as s decreases. We shall see below that walls cannot be “created” in $R_{v,s}^\pm$ even when $s < 1/3$, but they can be created in $R_{v,s}^0$.

Proof. First observe that we may assume boundedness away from $s = 0$ because if $\Delta_{01} = 0$, then $\Delta_{20} \neq 0$ and so $f_{u,v,s}(\alpha, \beta) = 0$ is a cubic in β . Then it must have a solution for all α . But if a wall crosses $\alpha = 0$ for one value of s , then it crosses it for all s .

By Theorem 6.7, the surface is regular except at special points on $\alpha = 0$ where there are multiple tangent planes which include the s -direction or we are in case (2) of the theorem. But this latter case cannot arise in the present situation. This means that if $\Sigma_{u,v} \cap \{s = s_1\}$ is empty for some $s_1 > 0$, then there is some s_0 such that $\partial_\alpha f_{u,v,s_0} = 0 = \partial_\beta f_{u,v,s_0}$ and $\partial_s f_{u,v}|_{s=s_0} \neq 0$. It follows that $\alpha \neq 0$ and $\Delta_{12}(\alpha, \beta) \neq 0$.

Then $\Sigma_{u,v}|_{s_0}$ is a union of closed curves, unbounded curves or one or more distinct points. Suppose (α, β) is a point on a closed curve component. Since f is a quadratic function of α^2 , it follows that nearby (α, β) there are two distinct solutions for a fixed β . If the curve does not cross $\alpha = 0$, then there are four distinct solutions sufficiently close to two points in $\alpha > 0$. But then there are also four points for $\alpha < 0$, which is impossible. So the curve must cross $\alpha = 0$. But this gives a contradiction as $\alpha \neq 0$. We can also eliminate the possibility of unbounded curves, as follows. Each unbounded curve must have two distinct unbounded branches. Then nearby s_0 , there will be four unbounded branches. But the implicit function defining the λ -wall Υ_{u,v,s_0} is only asymptotically cubic (see the proof of Proposition 4.4), and so this is impossible.

Consequently, $\Sigma_{u,v} \cap \{s = s_0\}$ consists only of isolated points. Now consider one of these points, with coordinates (α_0, β_0) . By regularity, we can use α and β as local coordinates on $\Sigma_{u,v}$ at this point. Note that the first fundamental form at (α_0, β_0) is $d\alpha^2 + d\beta^2$, from the vanishing of $-\partial_\alpha f_{u,v}/\partial_s f_{u,v}$ and $-\partial_\beta f_{u,v}/\partial_s f_{u,v}$.

From $f_{u,v}(\alpha_0, \beta_0, s_0) = 0$, $\partial_\alpha f_{u,v}(\alpha_0, \beta_0, s_0) = 0$ and $\partial_\beta f_{u,v}(\alpha_0, \beta_0, s_0) = 0$, we have

$$(6.6) \quad \Delta_{32} = \alpha_0^2 (s_0 - 1/3) \Delta_{12},$$

$$(6.7) \quad \Delta_{30} = (1 + 2(s_0 - 1/3)) \Delta_{21} + \alpha_0^2 (s_0 - 1/3) \Delta_{10},$$

$$(6.8) \quad \Delta_{31} = \alpha_0^2 (s_0 - 1/3) \Delta_{02},$$

where we abbreviate $\Delta_{ij}(\alpha_0, \beta_0)$ to Δ_{ij} here and in the rest of the proof. Together with Lemma 2.7(1), these identities imply that

$$(6.9) \quad \alpha_0^2 (s_0 - 1/3) \Delta_{02}^2 = -(2s_0 + 1/3) \Delta_{12}^2.$$

Since $\alpha_0 \neq 0$ and $\Delta_{12} \neq 0$, we deduce that $\Delta_{02} \neq 0$ and $s < 1/3$. This establishes the first part of the theorem.

For the second part, we show that the mean curvature at our point is negative, and so the surface must curve towards $s < s_0$. To this end, observe that the Gauss curvature K is a positive multiple of

$\partial_\alpha^2 f_{u,v} \partial_\beta^2 f_{u,v} - (\partial_\alpha \partial_\beta f_{u,v})^2$. Using (6.7), we have

$$\begin{aligned}\partial_\alpha^2 f_{u,v} &= 2\alpha_0^2(2s_0 + 1/3)\Delta_{10}, \\ \partial_\alpha \partial_\beta f_{u,v} &= 2\alpha_0(s_0 - 1/3)\Delta_{02}, \\ \partial_\beta^2 f_{u,v} &= \alpha_0^2(s - 1/3)\Delta_{10} + 2(s_0 + 2/3)\Delta_{21}.\end{aligned}$$

Using (6.9), we have $(\partial_\alpha \partial_\beta f_{u,v})^2 = -(2s_0 + 1/3)(s_0 - 1/3)\Delta_{21}^2$. Then K has the same sign as

$$\begin{aligned}\alpha_0^4(s_0 - 1/3)\Delta_{10}^2 + \alpha_0^2(s_0 + 2/3)\Delta_{10}\Delta_{21} + (s_0 - 1/3)\Delta_{21}^2 \\ = (s_0 - 1/3)(\alpha_0\Delta_{10} + \Delta_{12})^2 + 3s_0\alpha_0^2\Delta_{10}\Delta_{21}\end{aligned}$$

But the tangent plane at (α_0, β_0) only intersects $\Sigma_{u,v}$ at that point and so $K \geq 0$. The first term is negative, and so we must have $\Delta_{10}\Delta_{21} > 0$.

The mean curvature is then

$$-\frac{\partial_\alpha^2 f_{u,v}}{\partial_s f_{u,v}} - \frac{\partial_\beta^2 f_{u,v}}{\partial_s f_{u,v}} = -3s_0\alpha_0 \frac{\Delta_{10}}{\Delta_{21}} - \frac{2}{\alpha_0} \left(s_0 + \frac{2}{3} \right) < 0$$

as required. \square

We observe that numerical λ -walls do admit isolated points; see Figure 7 below.

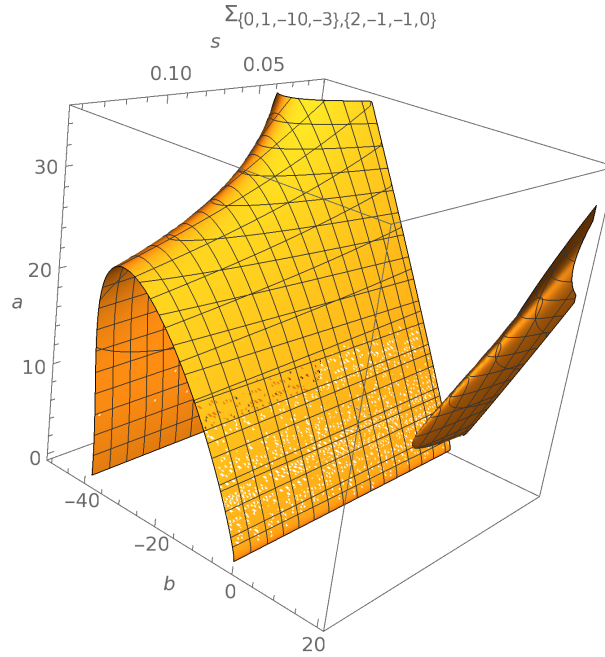


Figure 7. This is the surface wall $\Sigma_{u,v}$ for $v = (2, -1, -1, 0)$ and $u = (0, 1, -10, -3)$. Taking $s_0 \simeq 0.0569$, the point $(\alpha, \beta) \simeq (6.24, 3.52)$ is an isolated point of the numerical λ -wall $\Upsilon_{u,v,s_0} = \Sigma_{u,v}|_{s_0}$.

As a consequence of Theorem 6.7 and the proof of Theorem 6.12, we can deduce that 1-dimensional components of numerical λ -walls for a fixed s are regular away from ν -walls.

Corollary 6.13. *For any $s > 0$ and any real numerical Chern characters u and v , any connected component of a numerical λ -wall $\Upsilon_{u,v,s}$ in \mathbb{H} is regular as a real curve away from $\Xi_{u,v}$. In particular, if there is no associated ν -wall for the pair u, v , then $\Upsilon_{u,v,s}$ is always regular.*

Proof. Away from the ν -wall $\Xi_{u,v}$ (if non-empty) when $s = 1/3$, $\Sigma_{u,v}$ is regular by Proposition 6.6 or Theorem 6.7. But by the proof above, the only place where the plane tangent to $\Sigma_{u,v}$ is parallel to the (α, β) -plane occurs at isolated points and not in a 1-dimensional portion of the surface wall. \square

We complete this section by returning to the issue of the intersection of numerical λ -walls for v and the curves Θ_v and $\Gamma_{v,s}$.

Unravelling the equality in (4.8) yields a cubic polynomial equation for β_0 . This means that the intersection $\Upsilon_{u,v,s} \cap \Gamma_{v,s}$ consists of at most three points away from Θ_v ; in addition, according to Lemma 4.11, the number of intersection points does not depend on the parameter s . Notice that the total number of intersection points of a λ -wall for v with $\Gamma_{v,s}$ will increase by 1 if $q(v) < 0$.

Let us now examine one situation in which $\Upsilon_{u,v,s} \cap \Gamma_{v,s}$ contains two points.

Lemma 6.14. *If a connected component of a numerical λ -wall $\Upsilon_{u,v,s}$ crosses a connected component of $\Gamma_{v,s}$ twice away from the hyperbola Θ_v , then the associated numerical v -wall $\Xi_{u,v}$ is non-empty.*

Proof. By Lemma 4.11, we can let $s = 1/3$. Then the two intersection points are local maxima of $\Upsilon_{u,v,1/3}$. By Corollary 6.13, the component is regular or has a tacnode on a v -wall. If it is regular, then it must have a minimum between the two maxima. Proposition 6.10 implies that such a minimum must lie on the associated v -wall. \square

Figure 8 illustrates the typical situation described in Lemma 6.14 and shows that it does arise. It is easy to see that the intersection with $\alpha = 0$ must happen to the right of $\Gamma_{v,s}^-$ because $\Gamma_{v,s}^-$ is monotonic.

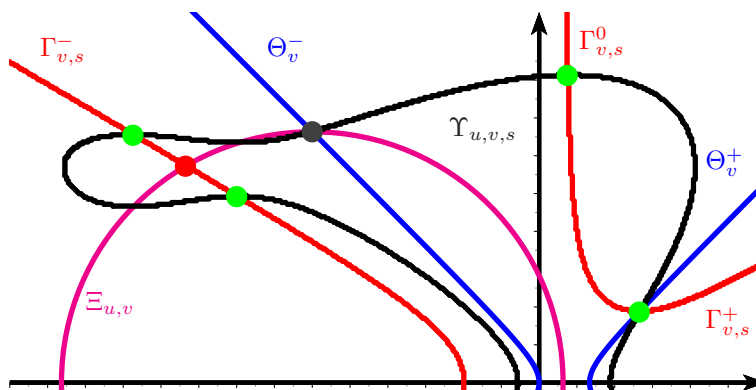


Figure 8. This example contains several interesting features. We set $s = 1/3$, $v = (3, 1, 0, -1)$ and $u = (0, 1, -3, 7)$. First, the curve $\Gamma_{v,s}$ (in red) intersects the positive branch of the hyperbola Θ_v (in blue); this intersection is marked with a black bullet. Second, the numerical λ -wall $\Upsilon_{u,v,s}$ (in black) crosses the curve $\Gamma_{v,s}$ four times (marked with green bullets), twice along $\Gamma_{v,s}^-$. Finally, we can see that the associated numerical v -wall $\Xi_{u,v}$ (in magenta) cuts $\Gamma_{v,s}$ (red bullet) between the two intersection points of $\Upsilon_{u,v,s} \cap \Gamma_{v,s}^-$, illustrating the phenomenon described in Lemma 6.14. Both $\Upsilon_{u,v,s}$ and $\Xi_{u,v}$ cut Θ_v^- at the same point.

The situation in Figure 8 also demonstrates another phenomenon in which a numerical λ -wall intersects horizontal lines four times. When this happens, it must be that $f(\alpha, \beta)$ has three turning points along this horizontal line. But note that $\partial_\beta f = -\Delta_{31}$ when $s = 1/3$. On the other hand, $\partial_\alpha \Delta_{31} = 0$, and so the solutions of $\Delta_{31} = 0$ are vertical lines. But these intersect the wall at its horizontal turning points (the green points in Figure 8). The middle one also intersects $\Upsilon_{u,v,1/3}$ again at a minimum or on Θ_v , which must therefore also be on $\Xi_{u,v}$. For a connected component, we could already deduce this because such a component must have at least one minimum, but the same will follow even when the geometry of the wall does not require there to be a minimum. This more precise reasoning allows us to refine Lemma 6.14 as follows.

Lemma 6.15. *If a numerical λ -wall $\Upsilon_{u,v,s}$ intersects $\Gamma_{v,s}^-$ twice, then $\Upsilon_{u,v,s}$ must intersect Θ_v and $\Xi_{u,v}$ intersects $\Gamma_{v,s}^-$ in between the intersection points.*

Proof. Note that Lemma 6.14 shows that if the wall is a connected component, then it must intersect $\Xi_{u,v}$. Since the solutions of $\Delta_{31} = 0$ are vertical lines, there must be minima above or below the maxima (the

green dots on $\Gamma_{v,1/3}^-$ in Figure 8). Since the wall cannot cross $\Gamma_{v,s}^-$ for a third time because it would have to double back on itself and there can only be at most two solutions of $\Delta_{32} = 0$ along any vertical line, it must cross $\Xi_{u,v}$ a third time at a point which is not a minimum, which must therefore be on Θ_v . Note that an alternative picture to Figure 8 has the wall cross $\alpha = 0$ twice to the left of $\Gamma_{v,s}^-$. In that case, it only crosses $\Xi_{u,v}$ once, and then $\Xi_{u,v}$ does not intersect $\Gamma_{u,v}^-$ between the two intersection points.

Now we assume the wall is two nested components. First we observe that this hypothesis is independent of s . To see that, note that if a component were created at $(\bar{\alpha}, \bar{\beta})$, then there would be six distinct solutions of $f(\alpha, \beta) = 0$ along $\beta = \bar{\beta}$, which is impossible. The two bounded components must have maxima at $s = 1/3$ which must intersect $\Gamma_{v,1/3}^-$.

Then there are three vertical line components of $\Delta_{31} = 0$ which intersect $\Gamma_{v,1/3}^-$ at the maxima of the wall at distinct β -values. Since the inner bounded component must have a maximum (on $\Gamma_{v,1/3}^-$), the middle component of $\Delta_{31} = 0$ intersects the wall at that point and so intersects the outer component away from $\Gamma_{v,1/3}^-$. But this point cannot be a point of inflection (see Remark 6.11) and so must be a minimum, which must therefore be on a ν -wall. If the wall is otherwise in the exterior of the ν -wall, then that point must be at the maximum of the ν -wall and so is on Θ_v . Otherwise, it must cross the ν -wall again, which is not a turning point, and so must also cross Θ_v . In fact this minimum of $\Upsilon_{u,v,1/3}$ in the outer component must have another maximum, which must be on Γ_v (see Figure 9 for a concrete example of this case). \square

We can argue similarly to Lemma 6.15 in R_v^0 .

Lemma 6.16. *If a numerical λ -wall $\Upsilon_{u,v,s}$ intersects $\Gamma_{v,s}^0$ twice, then $\Upsilon_{u,v,s}$ intersects $\Xi_{u,v}$ and Θ_v .*

Proof. First observe that by Lemma 4.11, the hypothesis and conclusion are independent of s , and so we may set $s = 1/3$.

If $\Upsilon_{u,v,s}$ has a single component, then there must be a minimum between the maxima, and so it crosses $\Xi_{u,v}$ at that point. If there are two components, then the geometry does not require there to be a minimum. But then there are three vertical components of $\Delta_{31} = 0$. The middle one intersects the inner component at its maximum, and then it must intersect the other component at a minimum (by Proposition 6.10) which must be on $\Xi_{u,v}$.

In the last case, either the outer component is unbounded and the minimum occurs on Θ_v , or it is bounded and there is a further maximum which must be on $\Gamma_{v,1/3}^\pm$, and so the wall again crosses Θ_v . The shape is illustrated in Figure 9. \square

We can now state the main theorem of this section.

Theorem 6.17. *Suppose a real numerical Chern character v satisfies the Bogomolov–Gieseker inequality and $v_0 \neq 0$. Any connected bounded component of a numerical λ -wall in $R_{v,s}^-$ for some $s \geq 1/3$ intersects $\Gamma_{v,s}^-$.*

Proof. Let $\Upsilon_{u,v,s}$ be the component, and suppose for a contradiction that it does not cross $\Gamma_{v,s}^-$. By Theorem 6.12, this component must exist for all s , and so we may assume $s = 1/3$. By Theorem 6.7, $\Upsilon_{u,v,1/3}$ is regular except possibly in case (2) of that theorem, in which case the singular point is on $\Gamma_{v,s}^-$, as required. Otherwise, $\Upsilon_{u,v,1/3}$ is regular, and so it must have a maximum. By Proposition 6.10, it must intersect $\Gamma_{v,1/3}^-$ at this point. \square

Remark 6.18. In other words, if we want to classify all of the actual λ -walls in the region $R_{v,s}^-$ then we only need to locate the ones which cross $\Gamma_{v,s}^-$. But note that the wall may not be actual as it crosses $\Gamma_{v,s}^-$.

On the other hand, this fails for $s < 1/3$ because isolated walls can appear as s decreases. Some will be unbounded as $s \rightarrow 0$, but others may persist as isolated closed curves.

Finally, observe that analogous statements also hold for $\Gamma_{v,s}^+$ and the region to the right of Θ_v^+ .

7. Asymptotic $\lambda_{\alpha,\beta,s}$ -stability in $R_{v,s}^\pm$

Similarly to Definition 5.1, we introduced the following definition, where $\gamma: [0, \infty) \rightarrow \mathbb{H}$ is an unbounded path.

Definition 7.1. An object $A \in D^b(X)$ is *asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along γ* if the following two conditions hold for a given $s > 0$:

- (i) There is a $t_0 > 0$ such that $A \in \mathcal{A}^{\gamma(t)}$ for every $t > t_0$.
- (ii) There is a $t_1 > 0$ such that for all $t > t_1$, every sub-object $F \hookrightarrow A$ in $\mathcal{A}^{\gamma(t)}$ satisfies $\lambda_{\gamma(t),s}(F) < (\leq) \lambda_{\gamma(t),s}(A)$.

Our first goal is to characterize asymptotically $\lambda_{\alpha,\beta,s}$ -semistable objects with numerical Chern character v satisfying $v_0 > 0$ and the Bogomolov–Gieseker inequality along two families of paths contained in the region $R_{v,s}^-$, namely the paths $\Gamma_{v,s}^-$ for each $s > 0$ and $\Lambda_{\bar{\alpha}}^-$ for each $\bar{\alpha} > 0$; see the notation introduced in display (5.1).

We then invoke Proposition 5.13 to characterize asymptotically $\lambda_{\alpha,\beta,s}$ -semistable objects along the paths $\Gamma_{v,s}^+$ and $\Lambda_{\bar{\alpha}}^+$. More precisely, we show that $\lambda_{\alpha,\beta,s}$ -semistable objects along $\Gamma_{v,s}^+$ and $\Lambda_{\bar{\alpha}}^+$ are duals of Gieseker semistable sheaves.

First, we provide a simple consequence of asymptotic $\lambda_{\alpha,\beta,s}$ -semistability and the support property along unbounded paths.

Lemma 7.2. *Let $A \in D^b(X)$ be an asymptotically $\lambda_{\alpha,\beta,s}$ -semistable object along an unbounded path $\gamma(t)$. Then $Q^{\text{tilt}}(A) \geq 0$.*

Proof. The support property in Proposition 2.4 implies that $Q_{\gamma(t)}(A) \geq 0$ for all large enough t .

First suppose $\lim_{t \rightarrow \infty} \beta(t) = \pm\infty$; then

$$0 \leq \lim_{t \rightarrow \infty} \frac{Q_{\gamma(t)}(A)}{\beta(t)^2} = \left(\lim_{t \rightarrow \infty} \frac{\alpha(t)^2}{\beta(t)^2} + 1 \right) Q^{\text{tilt}}(A).$$

As the first factor is positive for all t , it follows that $Q^{\text{tilt}}(A) \geq 0$.

If $\lim_{t \rightarrow \infty} \alpha(t) = \infty$, then

$$0 \leq \lim_{t \rightarrow \infty} \frac{Q_{\gamma(t)}}{\alpha(t)^2} = Q^{\text{tilt}}(A),$$

and the same conclusion follows. □

7.1. Asymptotics along $\Gamma_{v,s}^-$

We now turn to asymptotically $\lambda_{\alpha,\beta,s}$ -semistable objects with Chern character v along the curve $\Gamma_{v,s}^-$; we assume from now on v satisfies $v_0 \neq 0$ and the Bogomolov–Gieseker inequality (2.3).

Since $\text{ch}_1^\beta(E) \neq 0$ along $\Gamma_{v,s}^\pm$, we have

$$(7.1) \quad \lambda_{\alpha,\beta,s}(E) = 0 \iff \alpha^2 = \frac{\text{ch}_3^\beta(E)}{(s + 1/6)\text{ch}_1^\beta(E)},$$

at least away from the point where $\Gamma_{v,s}^\pm$ meets Θ_v (it meets it exactly once if $q(E) < 0$ and not at all otherwise), so we can use $\beta < 0$ as a parameter.

Substituting α^2 from equation (7.1) into the expression for $\lambda_{\alpha,\beta,s}(u)$, we define the function $\bar{\lambda}_{v,\beta,s}(u)$; to be precise,

$$\bar{\lambda}_{v,\beta,s}(u) = \frac{\text{ch}_3^\beta(u)\text{ch}_1^\beta(v) - \text{ch}_3^\beta(v)\text{ch}_1^\beta(u)}{\text{ch}_2^\beta(u)\text{ch}_1^\beta(v) - u_0\text{ch}_3^\beta(v)/(2s + 1/3)}$$

expresses the λ -slope of an object F with $\text{ch}(F) = u$ along $\Gamma_{v,s}^\pm$. If $\text{ch}_0(F) \neq 0$, the previous expression yields

$$(7.2) \quad \bar{\lambda}_{v,\beta,s}(F) = -\frac{6s+1}{9s \text{ch}_0(E) \text{ch}_0(F)} \cdot \frac{\delta_{01}\beta^3 - 3\delta_{02}\beta^2 + 3(\delta_{03} + \delta_{12})\beta - 3\delta_{13}}{\beta^3 + \text{lower order terms}},$$

where $\delta_{ij} = \delta_{ij}(F, E)$, as defined in equation (2.11). When $\text{ch}_0(F) = 0$ and $\text{ch}_1(F) \neq 0$, we obtain

$$(7.3) \quad \bar{\lambda}_{v,\beta,s}(F) = -\frac{1 \text{ch}_0(E) \text{ch}_1(F) \beta^3 + \text{lower order terms}}{3 \text{ch}_0(E) \text{ch}_1(F) \beta^2 + \text{lower order terms}}.$$

Note that a numerical λ -wall $\Upsilon_{u,v,s}$ crosses $\Gamma_{v,s}^\pm$ precisely at the zeros of the function $\bar{\lambda}_{v,\beta,s}(u)$.

The behaviour of the ν -slope of an object $F \in D^b(X)$ along $\Gamma_{v,s}^\pm$ can be analysed in a similar way. Substituting α^2 from equation (7.1) into the expression for $\nu_{\alpha,\beta}(F)$, we obtain

$$(7.4) \quad \lim_{\beta \rightarrow -\infty} \frac{-1}{\beta} \nu_{\alpha,\beta}(F) = \begin{cases} \frac{s}{2s+1/3} & \text{if } \text{ch}_0(F) \neq 0, \\ 1 & \text{if } \text{ch}_0(F) = 0, \text{ch}_1(F) \neq 0. \end{cases}$$

We are now in position to state the main result of this section.

Theorem 7.3. *Let v be a numerical Chern character with $v_0 \neq 0$ satisfying the Bogomolov–Gieseker inequality (2.3). For each $s > 0$, an object $A \in D^b(X)$ with $\text{ch}(A) = v$ is asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along $\Gamma_{v,s}^-$ if and only if A is a Gieseker (semi)stable sheaf.*

The proof will be done in a series of lemmas.

Lemma 7.4. *For every $s > 0$, if there is a $\beta_0 < 0$ such that $E \in \mathcal{A}^{\alpha,\beta}$ for $(\alpha, \beta) \in \Gamma_{v,s}^-$ with $\beta < \beta_0$, then $E \in \text{Coh}(X)$.*

This claim actually follows directly from Proposition 5.13, but we give an alternative hands-on proof.

Proof. Using the notation of Section 2.3, note that $\nu_{\alpha,\beta}^+(E_1) \leq 0$, since $E_1 \in \mathcal{F}_{\alpha,\beta}$, for every $(\alpha, \beta) \in \Gamma_{v,s}^-$ with $\beta < \beta_0$. However, equation (7.4) implies that for every sub-object $F \hookrightarrow E_1$, there exists a $\beta'_0 < 0$, depending only on $\text{ch}_{\leq 2}(F)$, such that $\nu_{\alpha,\beta}(F) > 0$ for every $(\alpha, \beta) \in \Gamma_{v,s}^-$ and $\beta < \beta'_0$, leading to a contradiction. It follows that $E_1 = 0$.

On the other hand, the inequality

$$\text{ch}_1^\beta(E_{01}) = \text{ch}_1(E_{01}) - \beta \text{ch}_0(E_{01}) \leq 0$$

for all $\beta \ll 0$ implies that $\text{ch}_0(E_{01}) = 0$; thus $E_{01} = 0$ since E_{01} is torsion-free. \square

Lemma 7.5. *For every $s > 0$, if E is an asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable object along $\Gamma_{v,s}^-$, then E is a Gieseker (semi)stable sheaf.*

Proof. If E is asymptotically $\lambda_{\alpha,\beta,s}$ -semistable along $\Gamma_{v,s}^-$, then E is a sheaf by Lemma 7.4 or Proposition 5.13.

If E is not torsion-free, let $F \hookrightarrow E$ be its maximal torsion subsheaf; if $T \hookrightarrow F$ is a subsheaf of dimension at most 1, then $T \hookrightarrow E$ is a morphism in $\mathcal{A}^{\alpha,\beta}$ for $(\alpha, \beta) \in \Gamma_{v,s}^-$ and $\beta \ll 0$ since $T \in \mathcal{T}_{\alpha,\beta}$ for every (α, β) . We have that $\text{ch}_0(T) = \text{ch}_1(T) = 0$; then

$$(7.5) \quad \bar{\lambda}_{v,\beta,s}(T) = \begin{cases} -\beta + \frac{\text{ch}_3(T)}{\text{ch}_2(T)} & \text{if } \text{ch}_2(T) \neq 0, \\ +\infty & \text{if } \text{ch}_2(T) = 0, \end{cases}$$

so T would destabilize E . Therefore, we can assume F has pure dimension 2; let T be its maximal $\hat{\mu}$ -semistable subsheaf. Remark 5.7 implies that $T \in \mathcal{A}^{\alpha,\beta}$ for $(\alpha, \beta) \in \Gamma_{v,s}^-$ and $\beta \ll 0$; thus $T \hookrightarrow E$ is a morphism in $\mathcal{A}^{\alpha,\beta}$ in the same range. Since $\text{ch}_0(T) = 0$ and $\text{ch}_1(T) \neq 0$, we have

$$\lim_{\beta \rightarrow -\infty} \frac{-1}{\beta} \bar{\lambda}_{v,\beta,s}(T) = \frac{1}{3},$$

again contradicting asymptotic λ -semistability. We therefore conclude that E must be torsion-free.

If $F \hookrightarrow E$ is a proper (torsion-free) subsheaf with $\delta_{01}(F, E) \neq 0$, then equation (7.2) implies that

$$\lim_{\beta \rightarrow -\infty} \bar{\lambda}_{v, \beta, s}(F) = -\frac{6s+1}{9s \operatorname{ch}_0(E) \operatorname{ch}_0(F)} \delta_{01}(F, E) \leq 0$$

by hypothesis; thus $\delta_{01}(F, E) \geq 0$.

If $\delta_{01}(F, E) = 0$, then equation (7.2) implies that

$$\lim_{\beta \rightarrow -\infty} (-\beta) \bar{\lambda}_{v, \beta, s}(F) = -\frac{6s+1}{3s \operatorname{ch}_0(E) \operatorname{ch}_0(F)} \delta_{02}(F, E) \leq 0;$$

thus $\delta_{02}(F, E) \geq 0$.

Finally, if $\delta_{01}(F, E) = \delta_{02}(F, E) = 0$, then

$$\lim_{\beta \rightarrow -\infty} \beta^2 \bar{\lambda}_{v, \beta, s}(F) = -\frac{6s+1}{3s \operatorname{ch}_0(E) \operatorname{ch}_0(F)} \delta_{03}(F, E) \leq 0;$$

thus $\delta_{03}(F, E) \geq 0$, with equality holding if and only if $\delta_{03}(F, E) = 0$ as well. In other words, E is Gieseker (semi)stable. \square

We now prove the converse of Lemma 7.5. The difficulty is that the strong definition of asymptotic stability includes showing that a given object has only finitely many walls along $\Gamma_{v, s}^-$. In fact, we can show that there is at most one, at least outside its actual v -wall.

Assume E is a Gieseker stable sheaf, and suppose there is an actual λ -wall given by the short exact sequence $0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0$ crossing $\Gamma_{v, s}^-$. Consider the case where F and G are sheaves.

Lemma 7.6. *Fix $s > 0$ and $\bar{\beta}$. Suppose E is a Gieseker stable sheaf with $\operatorname{ch}(E) = v$ and $F \hookrightarrow E$ is a sub-object in both $\operatorname{Coh}(X)$ and $\mathcal{A}^{\alpha, \beta}$ such that $E/F \in \mathcal{A}^{\alpha, \beta} \cap \operatorname{Coh}(X)$ for all $\beta < \bar{\beta}$ and $(\alpha, \beta) \in \Gamma_{v, s}^-$. Then there is some $\beta_0 < \bar{\beta}$ such that $\lambda_{\alpha, \beta, s}(F) < \lambda_{\alpha, \beta, s}(E)$ for all $\beta < \beta_0$.*

Proof. We can compute the same limits as in the proof of Lemma 7.5; we first have

$$\lim_{\beta \rightarrow -\infty} \bar{\lambda}_{\alpha, \beta, s}(F) = -\frac{6s+1}{9s \operatorname{ch}_0(E) \operatorname{ch}_0(F)} \delta_{01}(F, E) \leq 0$$

since $\delta_{01}(F, E) \geq 0$ by hypothesis. If $\delta_{01}(F, E) = 0$, then

$$\lim_{\beta \rightarrow -\infty} (-\beta) \bar{\lambda}_{\alpha, \beta, s}(F) = -\frac{6s+1}{3s \operatorname{ch}_0(E) \operatorname{ch}_0(F)} \delta_{02}(F, E) \leq 0$$

because $\delta_{02}(F, E) \geq 0$. Finally, if $\delta_{02}(F, E)$ also vanishes, then

$$\lim_{\beta \rightarrow -\infty} \beta^2 \bar{\lambda}_{\alpha, \beta, s}(F) = -\frac{6s+1}{3s \operatorname{ch}_0(E) \operatorname{ch}_0(F)} \delta_{03}(F, E) \leq 0$$

since $\delta_{03}(F, E) \geq 0$, with equality holding if and only if $\delta_{03}(F, E) = 0$ as well, which implies that $\bar{\lambda}_{\alpha, \beta, s}(F) = 0$ for every $(\alpha, \beta) \in \Gamma_{v, s}^-$ for $\beta \ll 0$. \square

This enables us to prove that Gieseker stable sheaves can only be destabilized as we move down along $\Gamma_{v, s}^-$.

Lemma 7.7. *Suppose E is a Gieseker stable sheaf and $F \hookrightarrow E$ is a subsheaf which also corresponds to an actual λ -wall $W_{u, v, s}$ crossing $\Gamma_{v, s}^-$ at a point P beyond any v -wall for E . If $E/G \in \mathcal{A}^{\alpha, \beta} \cap \operatorname{Coh}(X)$, then E must be stable above the point P .*

Proof. Parameterize the curve $\Gamma_{v, s}^-$ by $\gamma(t)$ in the decreasing β -direction with $\gamma(0) = P$. By assumption and Proposition 5.13, $E \in \mathcal{A}^{\gamma(t)}$ for all $t \geq 0$. Suppose E is unstable in $\mathcal{A}^{\gamma(t)}$ for some $t > 0$. Observe that for $t \gg 0$, we have that $F \hookrightarrow E$ is a monomorphism in $\mathcal{A}^{\gamma(t)}$. This is because if $\lambda_{\gamma(t), s}(F) \geq \lambda_{\gamma(t), s}(E)$ for all $t > 0$, then Corollary 5.14 and Lemma 7.6 give a contradiction. It follows that at some point $Q = \gamma(t_0)$, for $t_0 > 0$, we have $\lambda_{Q, s}(F) = \lambda_{Q, s}(E)$, and so the numerical λ -wall $\Upsilon_{u, v, s}$ crosses $\Gamma_{v, s}^-$ twice. Then Lemma 6.15

tells us that there is a numerical ν -wall $\Xi_{u,\nu}$ intersecting $\Gamma_{v,s}^-$ between P and Q . But $0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0$ is a short exact sequence in $\mathcal{B}^{\beta(t)}$ for all $t \geq 0$, and so this is an actual ν -wall, contradicting the assumption. \square

We now show that above an actual ν -wall for E , the λ -wall equivalent of Lemma 5.3(2) holds.

Theorem 7.8. *Suppose E is a Gieseker stable sheaf with Chern character v . Then there is at most one actual wall $W_{u,v,s}$ intersecting $\Gamma_{v,s}^-$ at a point P above the actual ν -wall for E . In particular, such a wall destabilizes downwards along $\Gamma_{v,s}^-$.*

Proof. We prove the last part first. Suppose a numerical wall $\Upsilon_{u,v,s}$ intersects $\Gamma_{v,s}^-$ at P above the ν -wall for E . Note that $\Upsilon_{u,v,s}$ is a slice of $\Sigma_{u,v}$, and since this is an orientable surface, to show that the E can only be destabilized downwards, it suffices to consider the case $s = 1/3$. We show that $f_{u,v,1/3}(\alpha, \beta)$ is increasing as we cross $\Upsilon_{u,v,1/3}$ moving down $\Gamma_{v,1/3}^-$. This is equivalent to showing

$$\nabla f \cdot (-\partial_\beta \tau, \partial_\alpha \tau)|_P > 0.$$

Using (2.10) and Lemma 6.3, we have $\nabla f = (\alpha \Delta_{21} - \alpha \Delta_{30}, -\Delta_{31})$, and the tangent vector down along $\Gamma_{v,s}^-$ is $(\text{ch}_2^{\alpha,\beta}, -\alpha \text{ch}_1^{\alpha,\beta})$. Note that $\text{ch}_3^P(v) = 0 = \text{ch}_2^P(u)$ since $\text{ch}_2^P(v) \neq 0$. Hence, $\Delta_{3i}(P) = 0$. So

$$\nabla f \cdot (-\partial_\beta \tau, \partial_\alpha \tau)|_P = \alpha \text{ch}_2^P(v) \Delta_{21}(P).$$

But $\Delta_{21}(P) > 0$ as we are outside the ν -wall (where Δ_{21} vanishes), and $\text{ch}_2^P(v) > 0$ as $P \in R_v^-$.

So if we have two walls $W_{u,v,s}$ and $W_{u',v,s}$ corresponding to $F_1 \hookrightarrow E$ and $F_2 \hookrightarrow E$ crossing $\Gamma_{v,s}^-$ at $P = \gamma(0)$ and $Q = \gamma(1)$, respectively, then F_2 destabilizes E below Q . If $F_2 \rightarrow E$ remains an injection in $\mathcal{A}^{\gamma(t)}$ for $0 \leq t \leq 1$, $W_{u',v,s}$ must cross $\Gamma_{v,s}^-$ again, and then there would be an actual ν -wall between P and Q , which is not permitted by hypothesis. So it must be that $F_2 \rightarrow E$ ceases to be an injection at some point. Let that point be R . We show that E remains unstable as we cross R . In fact, we will prove a stronger result in the following lemma. \square

Lemma 7.9. *Suppose E is a Gieseker stable sheaf with $\text{ch}(E) = v$, and let γ be a curve segment of $\Gamma_{v,s}^-$ from a point $Q = \gamma(0)$ to $P = \gamma(1)$ which is outside an actual ν -wall. Suppose $0 \rightarrow A \rightarrow E \rightarrow B \rightarrow 0$ is a short exact sequence in \mathcal{A}^P corresponding to an actual λ -wall through the point Q . Then E is $\lambda_{\gamma(t),s}$ -unstable for all $t \in (0, 1]$.*

Proof. By the second statement of the previous lemma, we know that $0 \rightarrow A \rightarrow E \rightarrow B \rightarrow 0$ destabilizes for $t \in (0, \epsilon)$ for some $\epsilon > 0$. We also know from that proof that $\lambda_{\gamma(t),s}(A) > \lambda_{\gamma(t),s}(E)$ for all $t \in (0, 1]$. In the case where A is not a sheaf, we have that $0 \rightarrow A \rightarrow E \rightarrow B \rightarrow 0$ remains short exact to the end of $\Gamma_{v,s}^-$ as $E = A_{00}$ and $B = A_{01}[2]$ and $\Gamma_{v,s}^-$ ends on either the β -axis or Θ_v^- . So we may assume A is a sheaf.

Then A remains in the category until its Harder-Narasimhan factor with smallest ν , A^- , say, goes out of the category. But then just beyond that point $\lambda(A^-) > 0$, and so $E \rightarrow \ker_{\mathcal{A}}(B \rightarrow A^-[1])$ still destabilizes E . We can continue until we have exhausted all of the Harder-Narasimhan factors A' of A . Finally, as we approach the last $\Theta_{\text{ch}(A')}^-$ -curve for the filtration of A , we have $\lambda(A') < 0$. But then there would be a wall corresponding to A' destabilizing E above, which is impossible. It follows that A remains in $\mathcal{A}^{\gamma(t)}$ for all $t \in [0, 1]$.

Similarly, B_0 remains in $\mathcal{A}^{\gamma(t)}$ until Θ^- of a factor, but this cannot happen as E remains in the category. But then B remains in the category by Theorem 3.3. This completes the proof. \square

We can now complete the proof of Theorem 7.3.

Lemma 7.10. *If E is a Gieseker (semi)stable sheaf, then E is asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along $\Gamma_{v,s}^-$.*

Proof. If E is Gieseker stable, then the first part of Definition 7.1 follows from the fact that $E \in \text{Coh}(X)$ and Proposition 5.13, and the second now follows from Theorem 7.8. The statement for semistability follows by inducting on the length of the Jordan-Hölder filtration of E . \square

Remark 7.11. Just as for Proposition 5.8, we can deduce from Lemma 7.10 that any $E \in \mathcal{A}^{\alpha,\beta}$ for all (α, β) along an unbounded Θ^- -curve $\gamma(t)$ in R_v^- has an asymptotic Harder–Harasimhan filtration for $\lambda_{\alpha,\beta,s}$ -stability.

The following will be useful in Section 8.4.

Proposition 7.12. *Let E be a Gieseker stable sheaf with $\text{ch}(E) = v$, and let $W_{u,v,s}$ be an actual λ -wall in R_v^- crossing $\Gamma_{v,s}^-$. Then there is an actual λ -wall which either crosses the β -axis between $\Gamma_{v,s}^-$ and Θ_v^- or cuts Θ_v^- . In particular, in the latter case, there is an actual ν -wall for E .*

Proof. Let P denote the point where $W_{u,v,s}$ cuts $\Gamma_{v,s}^-$. By Lemma 4.17, $W_{u,v,s}$ ends either on another actual λ -wall which must remain above the original wall or on $\alpha = 0$. So we have a piecewise path of actual λ -walls in the region between $\Gamma_{v,s}^-$ and Θ_v^- . By Theorem 7.8, this path cannot cross $\Gamma_{v,s}^-$ again except at P , but then there is a loop in R_v^- intersecting $\Gamma_{v,s}^-$ at P outside of which E is unstable. But then E would be unstable on both sides of P , contradicting the fact that $W_{u,v,s}$ is an actual wall. The path cannot be unbounded in this region because unbounded curves are only unbounded in R_v^0 . So it must cross either Θ_v^- or the β -axis in this region.

For the last part, observe that the final segment of the path crossing Θ_v^- is an actual λ -wall, and so by Theorem 4.21, there is an actual ν -wall crossing Θ_v^- at the same point. \square

7.2. Asymptotics along $\Lambda_{\bar{\alpha}}^-$

Next, we study objects that are asymptotically $\lambda_{\alpha,\beta,s}$ -semistable along a horizontal line $\{\alpha = \bar{\alpha}\}$, or *asymptotically $\lambda_{\bar{\alpha},\beta,s}$ -semistable*. The key is to observe that $\Lambda_{\bar{\alpha}}^-$ is eventually in $R_{v,s}^L$, which is the region to the left of $\Gamma_{v,s}^-$ (see Definition 3.7). Then we can use Theorem 6.17. We establish the following result.

Theorem 7.13. *Let v be a Chern character of an object of $D^b(X)$ satisfying $v_0 \neq 0$ and the Bogomolov–Gieseker inequality (2.3). For each $s \geq 1/3$ and each $\bar{\alpha} > 0$, an object $A \in D^b(X)$ is asymptotically $\lambda_{\alpha,\beta,s}$ -semistable along $\Lambda_{\bar{\alpha}}^-$ if and only if A is a Gieseker semistable sheaf.*

The strategy of the proof is very similar to the one used in Theorem 7.3, though with different calculations; we include them here for the sake of completeness. Indeed, note that

$$(7.6) \quad \lim_{\beta \rightarrow -\infty} \frac{-1}{\beta} \nu_{\bar{\alpha},\beta}(F) = \begin{cases} 1/2 & \text{if } \text{ch}_0(F) \neq 0, \\ 1 & \text{if } \text{ch}_0(F) = 0 \text{ and } \text{ch}_1(F) \neq 0. \end{cases}$$

As before, the claim follows from Proposition 5.13(1); alternatively, it can also be proved in the same manner as Lemma 7.4 above.

Lemma 7.14. *Fix $\bar{\alpha} > 0$. If there is a $\beta_0 < 0$ such that $E \in \mathcal{A}^{\bar{\alpha},\beta}$ for every $\beta < \beta_0$, then $E \in \text{Coh}(X)$.*

The next step is to understand the difference in λ -slopes; note that

$$(7.7) \quad \lambda_{\alpha,\beta,s}(F) - \lambda_{\alpha,\beta,s}(E) = \frac{f_{u,v}(\alpha, \beta)}{\rho_u(\alpha, \beta)\rho_v(\alpha, \beta)}$$

with $u = \text{ch}(F)$ and $v = \text{ch}(E)$. The full expression of the numerator is given by equation (4.5); the numerator is given by

$$\begin{aligned} \rho_u(\alpha, \beta)\rho_v(\alpha, \beta) &= \frac{1}{4} \text{ch}_0(F) \text{ch}_0(E) \beta^4 - \frac{1}{2} (\text{ch}_1(F) \text{ch}_0(E) + \text{ch}_1(E) \text{ch}_0(F)) \beta^3 \\ &+ \left(\frac{1}{2} \text{ch}_0(F) \text{ch}_0(E) \alpha^2 + \text{ch}_1(F) \text{ch}_1(E) + \frac{1}{2} (\text{ch}_0(F) \text{ch}_2(E) + \text{ch}_2(F) \text{ch}_0(E)) \right) \beta^2 \\ &+ (\text{terms of lower order in } \beta). \end{aligned}$$

With these formulas at hand, we can establish the *if* part of Theorem 7.13. First we prove a version of Lemma 7.6 along $\Lambda_{\bar{\alpha}}^-$.

Lemma 7.15. *For any fixed $s > 0$ and $\bar{\alpha} > 0$, if E is a Gieseker stable sheaf, then there is no monomorphism $F \hookrightarrow E$ in $\mathcal{A}^{\bar{\alpha}, \beta}$ with $\lambda_{\bar{\alpha}, \beta, s}(F) \geq \lambda_{\bar{\alpha}, \beta, s}(E)$ for all $\beta < \bar{\beta}$.*

Proof. If $F \hookrightarrow E \twoheadrightarrow G$ is a short exact sequence in $\mathcal{A}^{\bar{\alpha}, \beta}$ for every $\beta < \bar{\beta}$, then Lemma 7.14 implies that both F and G are sheaves. We first have that

$$\lim_{\beta \rightarrow -\infty} (\lambda_{\bar{\alpha}, \beta, s}(F) - \lambda_{\bar{\alpha}, \beta, s}(E)) = \frac{1}{3} \frac{\delta_{10}(F, E)}{\text{ch}_0(F) \text{ch}_0(E)} \leq 0$$

since $\delta_{10}(F, E) \leq 0$ by hypothesis. If $\delta_{10}(F, E) = 0$, then also

$$\lim_{\beta \rightarrow -\infty} (-\beta)(\lambda_{\bar{\alpha}, \beta, s}(F) - \lambda_{\bar{\alpha}, \beta, s}(E)) = \frac{4}{3} \frac{\delta_{20}(F, E)}{\text{ch}_0(F) \text{ch}_0(E)} \leq 0$$

because $\delta_{20}(F, E) \leq 0$. Finally, if $\delta_{20}(F, E)$ also vanishes, then

$$\lim_{\beta \rightarrow -\infty} \beta^2 (\lambda_{\bar{\alpha}, \beta, s}(F) - \lambda_{\bar{\alpha}, \beta, s}(E)) = 4 \frac{\delta_{30}(F, E)}{\text{ch}_0(F) \text{ch}_0(E)} \leq 0$$

since $\delta_{30}(F, E) \leq 0$, with equality holding if and only if $\delta_{30}(F, E) = 0$ as well, which implies that $\lambda_{\bar{\alpha}, \beta, s}(F) = \lambda_{\bar{\alpha}, \beta, s}(E)$ for every $\bar{\alpha}$, β and s . It follows that E is asymptotically $\lambda_{\bar{\alpha}, \beta, s}$ -(semi)stable, as desired. \square

Now we extend Theorem 7.8 to $\Lambda_{\bar{\alpha}}^-$. The trick is to reduce to the case of $\Gamma_{v, s}^-$.

Lemma 7.16. *Suppose $s \geq 1/3$, and suppose E is a Gieseker semistable sheaf with $\text{ch}(E) = v$. Then there is a $\bar{\beta}$ such that for all $\beta < \bar{\beta}$, there are no actual λ -walls intersecting $\Lambda_{\bar{\alpha}}^-$. Furthermore, there are no more actual λ -walls to the left of the actual v -wall for E in R_v^- .*

Proof. Suppose $W_{u, v, s}$ is an actual λ -wall intersecting $\Lambda_{\bar{\alpha}}^-$ at a point $P = (\bar{\alpha}, \beta_0)$. By the choice of $\bar{\beta}$, we can assume $P \in R_{v, s}^L$. By Theorem 6.12, any numerical λ -wall $W_{u, v, s}$ for $s \geq 1/3$ remains a numerical λ -wall at $s = 1/3$, and, since it is bounded, each component must intersect $\Gamma_{v, 1/3}^-$ at its maxima. Theorem 7.8 tells us that this wall must destabilize to the right. If $W_{u, v, 1/3}$ is not actual as it crosses $\Gamma_{v, 1/3}^-$, then by Lemma 4.17, it ends on another actual λ -wall. Repeating, we have a piecewise path of walls $W_{u, v, 1/3}^{(i)}$ which must all destabilize to the right, and so the path has increasing α . Then it must cross $\Gamma_{v, 1/3}^-$. But the actual λ -wall component $W_{v, 1/3}^N$ crossing $\Gamma_{v, 1/3}^-$ must be the unique wall by Theorem 7.8. So there cannot be another such wall to the left of P . Hence, we can let $\bar{\beta} = \beta_0$.

For the last sentence, observe that if the wall crosses $\Lambda_{\bar{\alpha}}^-$ again, then there must be a piecewise smooth curve of actual λ -walls which intersects $\Gamma_{v, s}^-$ at a point Q , say. This can only happen if there is a v -wall above Q . \square

These two lemmas then immediately imply the following.

Lemma 7.17. *For any $s \geq 1/3$ and $\bar{\alpha} > 0$, if E is a Gieseker (semi)stable sheaf, then E is asymptotically $\lambda_{\bar{\alpha}, \beta, s}$ -(semi)stable along $\Lambda_{\bar{\alpha}}^-$.*

We now consider the converse.

Lemma 7.18. *For any $s > 0$ and $\bar{\alpha} > 0$, if E is asymptotically $\lambda_{\bar{\alpha}, \beta, s}$ -(semi)stable along $\Lambda_{\bar{\alpha}}^-$, then E is a Gieseker (semi)stable sheaf.*

Proof. If E is asymptotically $\lambda_{\bar{\alpha}, \beta, s}$ -(semi)stable along $\alpha = \bar{\alpha}$, then Lemma 7.14 implies that E is a sheaf.

If E is not torsion-free, let $F \hookrightarrow E$ be its maximal torsion subsheaf. If $T \hookrightarrow F$ is a subsheaf of dimension 1, then $T \hookrightarrow E$ is a morphism in $\mathcal{A}^{\bar{\alpha}, \beta}$ for $\beta \ll 0$ since $T \in \mathcal{T}_{\alpha, \beta}$ for every (α, β) . We have that $\text{ch}_0(T) = \text{ch}_1(T) = 0$; thus

$$\lim_{\beta \rightarrow -\infty} \frac{-1}{\beta} (\lambda_{\bar{\alpha}, \beta, s}(T) - \lambda_{\bar{\alpha}, \beta, s}(E)) = \frac{2}{3} > 0$$

provided $\text{ch}_2(T) \neq 0$, contradicting the asymptotic λ -semistability. If $\text{ch}(T) = (0, 0, 0, e)$, then T clearly destabilizes E as well.

So now assume F has pure dimension 2, and let T be its maximal $\hat{\mu}$ -semistable subsheaf. Remark 5.7 implies that $T \in \mathcal{A}^{\bar{\alpha}, \beta}$ for $\beta \ll 0$; thus $T \hookrightarrow E$ is a morphism in $\mathcal{A}^{\bar{\alpha}, \beta}$ in the same range. Since $\text{ch}_0(T) = 0$ and $\text{ch}_1(T) \neq 0$, then

$$\lim_{\beta \rightarrow -\infty} \frac{-1}{\beta} (\lambda_{\bar{\alpha}, \beta, s}(T) - \lambda_{\bar{\alpha}, \beta, s}(E)) = \frac{1}{6} > 0,$$

again contradicting the asymptotic λ -semistability. We therefore conclude that E is torsion-free.

If E is not Gieseker semistable, let $F \hookrightarrow E$ be its maximal destabilizing subsheaf. As in the first part of the proof of Lemma 7.17, we can conclude that $F \in \mathcal{A}^{\bar{\alpha}, \beta}$ for $\beta \ll 0$, so $F \hookrightarrow E$ is a morphism in $\mathcal{A}^{\bar{\alpha}, \beta}$ in the same range.

It follows that

$$\lim_{\beta \rightarrow -\infty} (\lambda_{\bar{\alpha}, \beta, s}(F) - \lambda_{\bar{\alpha}, \beta, s}(E)) = \frac{1}{3} \frac{\delta_{10}(F, E)}{\text{ch}_0(F) \text{ch}_0(E)} \geq 0;$$

thus $\delta_{10}(F, E) = 0$ because E is asymptotically $\lambda_{\bar{\alpha}, \beta, s}$ -semistable. We then have that

$$\lim_{\beta \rightarrow -\infty} (-\beta)(\lambda_{\bar{\alpha}, \beta, s}(F) - \lambda_{\bar{\alpha}, \beta, s}(E)) = \frac{4}{3} \frac{\delta_{20}(F, E)}{\text{ch}_0(F) \text{ch}_0(E)} \geq 0;$$

thus again $\delta_{20}(F, E) = 0$. Finally, we have that

$$\lim_{\beta \rightarrow -\infty} \beta^2 (\lambda_{\bar{\alpha}, \beta, s}(F) - \lambda_{\bar{\alpha}, \beta, s}(E)) = 4 \frac{\delta_{30}(F, E)}{\text{ch}_0(F) \text{ch}_0(E)} \geq 0;$$

thus $\delta_{30}(F, E) = 0$, meaning that $\text{ch}(F) = \text{ch}(E)$, contradicting the fact that F is a proper subsheaf of E . We therefore conclude that E must be Gieseker (semi)stable, as desired. \square

7.3. Asymptotics along general unbounded Θ^- -curves

We can now extend our results to any unbounded Θ^- -curve (recall Definition 5.9).

Theorem 7.19. *Let γ be an unbounded Θ^- -curve, and fix $s \geq 1/3$. An object $E \in D^b(X)$ is asymptotically $\lambda_{\alpha, \beta, s}$ -(semi)stable along γ if and only if E is Gieseker (semi)stable.*

Proof. We consider the stable case first, and we deduce the semistable case by inducting on the lengths of the Jordan–Hölder filtrations.

Suppose E is Gieseker stable. Then Lemma 7.16 as $\bar{\alpha}$ varies implies that there is at most a single destabilizing piecewise smooth curve of actual λ -walls from the β -axis, and it cannot be unbounded in $R_{\bar{\nu}}$. So the piecewise curve must either cross the β -axis again or cross $\Theta_{\bar{\nu}}^-$. In either case, there is a $\bar{\beta}$ such that E is $\lambda_{\beta, \alpha, s}$ -stable in $\{\beta < \bar{\beta}\} \cap R_{\bar{\nu}}$ and so asymptotically λ -stable along any curve in this region.

Conversely, suppose that E is asymptotically λ -stable along γ and not Gieseker stable. Then for all $t \gg 0$, E is not λ -stable along $\Lambda_{\alpha(t)}^-$. Let t_0 be the least $t \in \mathbb{R}$ such that E is λ -semistable in $\mathcal{A}^{\gamma(t)}$ for all $t < t_0$. By increasing t_0 if necessary, we may assume that for each $t > t_0$, there is some F_t such that F_t destabilizes E at some $\beta = \beta_1 < \beta(t)$ along $\Lambda_{\alpha(t)}^-$ and such that $v_{\gamma(t)}^-(F_t) > 0$ (by the assumption that γ is a Θ^- -curve and Lemma 5.12). So there must be a wall $W_{\text{ch}(E), \text{ch}(F_t), s}$ which crosses the vertical line $\beta = \beta_1$. But that wall must cross either $\Lambda_{\alpha(t)}^-$ at $\beta < \beta_1$ or $\text{Im}(\gamma)$ at $\gamma(t')$ for some $t' > t$. Since it remains an actual λ -wall, the latter possibility cannot happen by the assumption on t_0 . The former possibility means that there must be another F'_t which destabilizes at some $(\alpha(t), \beta_2)$ with $\beta_2 < \beta_1$. Repeating, we have an infinite sequence of such destabilizers, which contradicts Lemma 7.16. \square

Remark 7.20. We expect that the assumption $s \geq 1/3$ is unnecessary. But there are instances of walls which vanish as s increases. These could occur to the left of our piecewise destabilizing wall. For any s , we would

conjecture that there are only finitely many of these, and then there would be a Gieseker chamber containing a finite number of small regions where E is λ -unstable.

We can now deduce asymptotic conditions along unbounded curves in R_v^+ . Using the notion of unbounded Θ^- -curve γ and of its dual curve γ^* proposed in Definition 5.9, we state the following.

Proposition 7.21. *Let γ be an unbounded Θ^- -curve. Then E is asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along γ if and only if E^\vee is asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along γ^* .*

Proof. By Proposition 5.13, we know that there is a t_1 such that $E^\vee \in \mathcal{A}^{\gamma^*(t)}$ for all $t > t_1$. If $F \hookrightarrow E$ destabilizes E for all $t > t_0$, then the proposition also shows that there is some t_2 such that F^\vee and E^\vee are in $\mathcal{A}^{\gamma^*(t)}$ for all $t > t_2$ and also $E^\vee \rightarrow F^\vee$ surjects in $\mathcal{A}^{\gamma^*(t)}$. But $\lambda_{\gamma(t),s}(F) = -\lambda_{\gamma^*(t),s}(F^\vee)$. \square

Putting Theorem 7.19 and Proposition 7.21 together, we immediately deduce the following statement.

Theorem 7.22. *Let v be a numerical Chern character satisfying $v_0 \neq 0$ and $Q^{\text{tilt}}(v) \geq 0$, and fix $\bar{\alpha} > 0$. For each $s \geq 1/3$, an object $A \in D^b(X)$ with $\text{ch}(A) = v$ is asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along an unbounded Θ^+ -curve if and only if A^\vee is a Gieseker (semi)stable sheaf.*

Since Theorem 7.3 holds for every $s > 0$, we have a stronger statement for the asymptotics along $\Gamma_{v,s}^+$.

Theorem 7.23. *Let v be a numerical Chern character satisfying $v_0 \neq 0$ and $Q^{\text{tilt}}(v) \geq 0$. For each $s > 0$, an object $A \in D^b(X)$ is asymptotically $\lambda_{\alpha,\beta,s}$ -(semi)stable along $\Gamma_{v,s}^+$ if and only if A^\vee is a Gieseker (semi)stable sheaf.*

Note that Proposition 2.15 provides an explicit characterization of objects $A \in D^b(X)$ that are dual to torsion-free sheaves.

8. Examples of classifying walls: ideal sheaves and null correlation sheaves

We complete this paper by studying some concrete examples of actual λ -walls and asymptotically $\lambda_{\alpha,\beta,s}$ -stable objects for $X = \mathbb{P}^3$ which illustrate many of the results established above.

8.1. Ideal sheaves of lines in \mathbb{P}^3

Let $L \subset \mathbb{P}^3$ be a line, and let I_L denote its ideal sheaf; recall that $v := \text{ch}(I_L) = (1, 0, -1, 1)$. The curves Θ_v and $\Gamma_{v,s}$ are given by

$$\begin{aligned} \Theta_v : \quad & \beta^2 - \alpha^2 = 2, \\ \Gamma_{v,s} : \quad & \left(s + \frac{1}{6}\right)\beta\alpha^2 - \frac{\beta^3}{6} + \beta = -1. \end{aligned}$$

Note that for every $s > 0$, the curve $\Gamma_{v,s}$ intersects Θ_v^- at a single point, call it P_s , whose β -coordinate is the real root of the polynomial $3s\beta^3 + (2 - 6s)\beta + 3 = 0$; the case $s = 1/3$ is pictured in Figure 2.

Theorem 4.22 guarantees the existence of vanishing ν - and λ -walls, which are precisely given by the triangle

$$\mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 2} \rightarrow I_L \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2)[1].$$

We simplify the notation and use $\Xi := \Xi_{u,v}$ and $\Upsilon_s := \Upsilon_{u,v,s}$, where $u = \text{ch}(\mathcal{O}_{\mathbb{P}^3}(-1))$, for the vanishing ν - and λ -walls just described, respectively.

Both curves cut Θ_v^- at the point $R := \Theta_v \cap \Xi \cap \Upsilon_s = (\alpha = 1/2, \beta = -3/2)$. In addition, the vanishing λ -wall crosses $\Gamma_{v,s}^-$ at the point $Q_s := \Gamma_{v,s}^- \cap \Upsilon_s = (1/\sqrt{6s+1}, -2)$.

There are no other actual ν -walls for the numerical Chern vector $v = (1, 0, -1, 1)$. To see that, suppose $A \rightarrow I_L$ is a $\nu_{\alpha,\beta}$ -stable destabilizing object in \mathcal{B}^β ; then it must destabilize along $\beta = -\sqrt{2}$ and also on Θ_v^- .

Let $\text{ch}_{\leq 2}(A) = (r, -c, d)$; then $r > 0$, and the condition that both A and I_L/A are in \mathcal{B}^β gives us

$$\begin{aligned} -c - \beta r &< 0, \\ c - \beta(1 - r) &\leq 0, \end{aligned}$$

and so $-\beta r < c \leq \beta(1 - r)$. For $\beta = -\sqrt{2}$, we see that $c = r$ is the only solution. Then the first inequality implies $r < \beta/(1 + \beta) = \sqrt{2}/(\sqrt{2} - 1)$ and so $0 < r \leq 3$. Now choosing β on Θ_v^- , we have $d + \beta c + r = 0$ in order for A to destabilize I_L . So $d = -r(\beta + 1) > r(\sqrt{2} - 1)$. On the other hand, the Bogomolov-Gieseker inequality for A gives $r^2 \geq 2rd$ and so $d \leq r/2$. Then $d = r/2$ as it must be in $\mathbb{Z}[1/2]$.

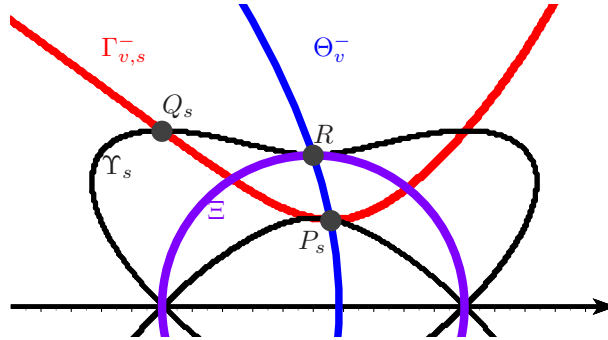


Figure 9. The vanishing ν - and λ -walls (in purple and black, respectively) for the ideal sheaf of a line. Note that the λ -wall is connected but possesses two irreducible components. Both curves intersect Θ_v (in blue) and $\Gamma_{v,s}$ (in red).

Since $\mathcal{O}_{\mathbb{P}^3}(-2)$ and $\mathcal{O}_{\mathbb{P}^3}(-1)$ are $\lambda_{\alpha,\beta,s}$ -stable for all (α, β, s) , it follows that this is the only actual λ -wall destabilizing ideal sheaves of lines.

8.2. Ideal sheaves of points in \mathbb{P}^3

Let $p \in \mathbb{P}^3$ be a point, and let I_p denote its ideal sheaf; recall that $v := \text{ch}(I_p) = (1, 0, 0, -1)$. The curves Θ_v and $\Gamma_{v,s}$ are given by

$$\begin{aligned} \Theta_v : \quad & \beta^2 - \alpha^2 = 0, \\ \Gamma_{v,s} : \quad & \left(s + \frac{1}{6}\right)\beta\alpha^2 - \frac{\beta^3}{6} = 1. \end{aligned}$$

Note that $\Gamma_{v,s}$ does not intersect Θ_v^- . There are no actual ν -walls because $\text{ch}_{\leq 2}(I_p) = \text{ch}_{\leq 2}(\mathcal{O}_{\mathbb{P}^3})$ and $I_p \rightarrow \mathcal{O}_{\mathbb{P}^3}$ injects in $\mathcal{A}^{\alpha,\beta} \cap \mathcal{B}^\beta \cap \text{Coh}(\mathbb{P}^3)$.

The resolution of I_p

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-3) \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 3} \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 3} \rightarrow I_p \rightarrow 0$$

induces two triangles. The first one defines a reflexive sheaf S_p with $u := \text{ch}(S_p[1]) = (-2, 3, -3/2, -1/2)$ whose only singularity is precisely the point p by splitting the resolution in the middle. Then we have triangles

$$(8.1) \quad \mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 3}[1] \rightarrow S_p[1] \rightarrow \mathcal{O}_{\mathbb{P}^3}(-3)[2].$$

The second one relates the sheaf S_p with I_p :

$$(8.2) \quad \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 3} \rightarrow I_p \rightarrow S_p[1].$$

This provides one wall which cuts $\Gamma_{v,s}$ at $(1/\sqrt{6s+1}, -2)$. There is another wall which crosses at the same point, given by an object D which is not a sheaf (or a shift of a sheaf) with $u' := \text{ch}(D) = (0, 3, -9/2, 7/2)$,

$$D \rightarrow I_p \rightarrow \mathcal{O}_{\mathbb{P}^3}(-3)[2],$$

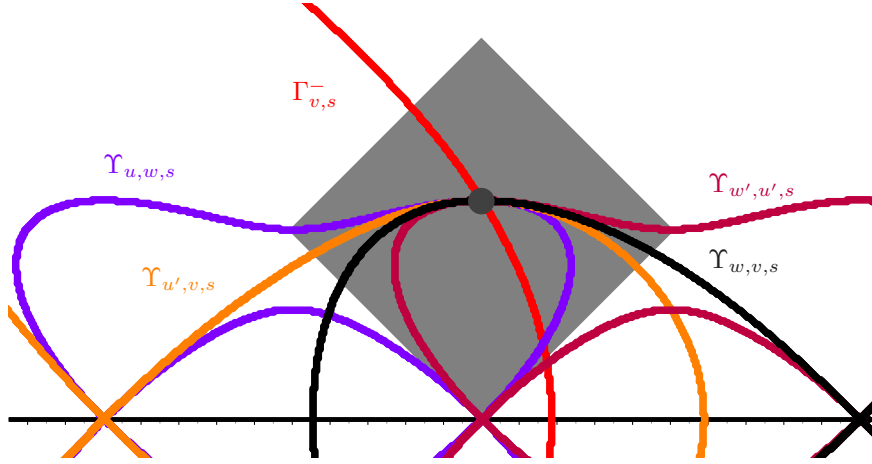


Figure 10. This graph shows the numerical λ -walls $\Upsilon_{u,w,s}$ (in blue), $\Upsilon_{w',u',s}$ (in purple, where $w' = \text{ch}(\mathcal{O}_{\mathbb{P}^3}(-1))$), $\Upsilon_{w,v,s}$ (in black) and $\Upsilon_{u',v,s}$ (in orange), and the curve $\Gamma_{v,s}^-$ (in red) crossing at the point $(\alpha = 1/\sqrt{3}, \beta = -2)$; we set $s = 1/3$. The shaded region marks where the objects $\mathcal{O}(-3)[2]$, $\mathcal{O}(-2)[1]$ and $\mathcal{O}(-1)$, and consequently also $S_p[1]$, D and I_p , belong to $\mathcal{A}^{\alpha,\beta}$.

where D is the cone on the morphism $\mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 3} \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 3}$ in the resolution of I_p . This gives rise to a fourth triangle:

$$\mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 3} \rightarrow D \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 3}[1].$$

Note that this provides an example in Proposition 2.12 where $D \notin \text{Coh}(X)$, and note that we have $E/D \in \text{Coh}(\mathcal{O}_{\mathbb{P}^3})[2] \cap \mathcal{B}^\beta[1]$. The objects $\mathcal{O}_{\mathbb{P}^3}(-3)[2]$, $\mathcal{O}_{\mathbb{P}^3}(-2)[1]$ and $\mathcal{O}_{\mathbb{P}^3}(-1)$ all belong to $\mathcal{A}^{\alpha,\beta}$ for every (α, β) within a square with vertices at the points $(\alpha = 0, \beta = -2)$, $(\alpha = 1/2, \beta = -3/2)$, $(\alpha = 1, \beta = -2)$, $(\alpha = 1/2, \beta = -5/2)$. Consequently, this also follows for S_p and D .

Note that at the special point P , $\lambda_{\alpha,\beta,s}(\mathcal{O}_{\mathbb{P}^3}(-1)) = \lambda_{\alpha,\beta,s}(\mathcal{O}_{\mathbb{P}^3}(-2)) = \lambda_{\alpha,\beta,s}(\mathcal{O}_{\mathbb{P}^3}(-3)) = \lambda_{\alpha,\beta,s}(D) = \lambda_{\alpha,\beta,s}(S_p) = \lambda_{\alpha,\beta,s}(I_p)$.

Within this region, the two triangles induce actual λ -walls $\Upsilon_{w,u,s}$, where $w := \text{ch}(\mathcal{O}_{\mathbb{P}^3}(-2)[1])$, and $\Upsilon_{u,v,s}$. One can check that $\Upsilon_{w,u,s}$ and $\Upsilon_{u,v,s}$ intersect at the point $(\alpha = 1/\sqrt{6s+1}, \beta = -2)$, which also belongs to $\Gamma_{v,s}^-$, for every s , implying that the sheaf I_p is a $\lambda_{\alpha,\beta,s}$ -semistable object at that point; see Figure 10. It is easy to see that $\text{Ext}^1(D, \mathcal{O}_{\mathbb{P}^3}(-3)[2]) = 0 = \text{Ext}^1(\mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 3}, S_p)$, and so the walls are vanishing.

It follows that there exists an open set $V \subset \mathbb{H}$ containing the point $(\alpha = 1/\sqrt{6s+1}, \beta = -2)$ in its boundary such that I_p is a $\lambda_{\alpha,\beta,s}$ -stable object for every $(\alpha, \beta) \in V$. Moreover, $\Upsilon_{u,v,s}$ is a vanishing λ -wall for I_p for $\beta > -2$, and $\Upsilon_{u',v,s}$ is the vanishing wall for $\beta < -2$. The resulting wall is C^1 on $\Gamma_{v,1/3}^-$ but only C^0 for other values of s .

Since I_p is also asymptotically $\lambda_{\alpha,\beta,s}$ -stable along $\Gamma_{v,s}^-$, it follows that I_p is $\lambda_{\alpha,\beta,s}$ -stable for every $(\alpha, \beta) \in \Gamma_{v,s}^-$ with $\beta < -2$ (that is, no actual λ -wall crosses $\Gamma_{v,s}^-$ for $\beta < -2$).

8.3. Torsion-free sheaves with Chern character $(2, 0, -1, 0)$

Our first step towards the classification of $\lambda_{\alpha,\beta,s}$ -semistable objects with Chern character $v = (2, 0, -1, 0)$ on \mathbb{P}^3 near the curve $\Gamma_{v,s}^-$ is the classification of μ -semistable torsion-free sheaves with this Chern character.

Below, p and L , respectively, denote a point and a line in \mathbb{P}^3 . Recall also that a torsion-free sheaf E on \mathbb{P}^3 is called a *null correlation sheaf* if it sits in the exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1) \rightarrow \Omega_{\mathbb{P}^3}^1(1) \rightarrow E \rightarrow 0;$$

see [Ein82]. Note that $\text{ch}(E) = (2, 0, -1, 0)$. A locally free null correlation sheaf is called a null correlation bundle; non-locally free null correlation sheaves satisfy a sequence of the form

$$0 \rightarrow E \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 2} \rightarrow \mathcal{O}_L(1) \rightarrow 0$$

for some line $L \subset \mathbb{P}^3$.

Proposition 8.1. *Let E be a μ -semistable torsion-free sheaf on $X = \mathbb{P}^3$ with $\text{ch}(E) = (2, 0, -1, 0)$.*

- (1) *If E is locally free, then E is a null correlation bundle; in particular, E is μ -stable.*
- (2) *If E is properly torsion-free, then E is strictly μ -semistable, and it is given by one of the following extensions:*
 - (a) *$0 \rightarrow I_L \rightarrow E \rightarrow I_p \rightarrow 0$ for $p \in L$ with non-trivial extension (in particular, E is a null correlation sheaf, and it is $\mu_{\leq 2}$ -stable),*
 - (b) *$0 \rightarrow I_p \rightarrow E \rightarrow I_L \rightarrow 0$ for arbitrary p and L (in particular, E is not $\mu_{\leq 2}$ -semistable, and it has no global sections),*
 - (c) *$0 \rightarrow \mathcal{O}_{\mathbb{P}^3} \rightarrow E \rightarrow I_{\tilde{L}} \rightarrow 0$, where \tilde{L} is a 1-dimensional scheme satisfying the sequence $0 \rightarrow \mathcal{O}_p \rightarrow \mathcal{O}_{\tilde{L}} \rightarrow \mathcal{O}_L \rightarrow 0$ for arbitrary p and L (in particular, E is not $\mu_{\leq 2}$ -semistable, and it has a global section).*

Note that $\text{Ext}^1(I_L, I_p) = 0$ when $p \notin L$.

Proof. If E is locally free, then [Cha84, Lemma 2.1] implies that E is μ -stable; the fact that every μ -stable rank 2 bundle E with $c_2(E) = 1$ on \mathbb{P}^3 is a null correlation bundle is proved in [OSS80, 4.3.2, p. 363].

If E is not locally free, then E^{**} is a μ -semistable rank 2 reflexive sheaf with $\text{ch}_1(E^{**}) = 0$, and either $\text{ch}_2(E^{**}) = 0$ or $\text{ch}_2(E^{**}) = 1$; in both cases, E^{**} is strictly μ -semistable (cf. [Cha84, Lemma 2.1]), so E is strictly μ -semistable. The first case forces $E^{**} = \mathcal{O}_{\mathbb{P}^3}^{\oplus 2}$, while, in the second case, E^{**} must be a properly reflexive sheaf S_L given by the sequence

$$(8.3) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^3} \rightarrow S_L \rightarrow I_L \rightarrow 0;$$

note that $\text{ch}(S_L) = (2, 0, -1, 1)$.

We start by analysing the first case, that is, $E^{**} = \mathcal{O}_{\mathbb{P}^3}^{\oplus 2}$. We have that $\text{ch}(Q_E) = (0, 0, 1, 0)$, where $Q_E := E^{**}/E$; note that $h^0(E) = 0, 1$. Again, two possibilities follow: either Q_E has pure dimension 1, in which case $Q_E = \mathcal{O}_L(1)$, or Q_E satisfies a sequence of the form

$$0 \rightarrow Z \rightarrow Q_E \rightarrow \mathcal{O}_L(k) \rightarrow 0,$$

where Z is a 0-dimensional sheaf and $k - 1 = -h^0(Z) < 0$ because $\text{ch}_3(\mathcal{O}_L(k)) = -\text{ch}_3(Z)$; since we have $h^0(\mathcal{O}_L(k)) > 0$, we must have $k = 0$ and thus $Z = \mathcal{O}_p$.

The first possibility, namely $Q_E = \mathcal{O}_L(1)$, leads to the sequence

$$0 \rightarrow E \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 2} \rightarrow \mathcal{O}_L(1) \rightarrow 0$$

and therefore yields the sheaves described in item (2)(a) since I_L is the kernel of the composition $\mathcal{O}_{\mathbb{P}^3}^{\oplus 2} \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 2} \rightarrow \mathcal{O}_L(1)$. In addition, notice that these are the null correlation sheaves. Checking that these sheaves are $\mu_{\geq 2}$ -stable is a simple exercise.

The second possibility leads to the sequence

$$0 \rightarrow E \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 2} \rightarrow \mathcal{O}_{\tilde{L}} \rightarrow 0$$

and therefore yields the sheaves described in item (2)(c).

Finally, if $E^{**} = S_L$, then $Q_E = \mathcal{O}_p$, and the sequence $0 \rightarrow E \rightarrow S_L \rightarrow \mathcal{O}_p \rightarrow 0$ together with the sequence (8.3) lead to the sequence in item (2)(b). \square

It is important to notice that non-trivial extensions like the ones in items (2)(b) and (2)(c) of Proposition 8.1 do exist. Let us first consider the extension of an ideal sheaf of a line by an ideal sheaf of a point.

Lemma 8.2. *If p is a point and L is a line in \mathbb{P}^3 , then*

$$\dim \operatorname{Ext}^1(I_L, I_p) = \begin{cases} 3 & \text{if } p \notin L, \\ 5 & \text{if } p \in L. \end{cases}$$

Proof. First, apply the functor $\operatorname{Hom}(-, I_p)$ to the exact sequence $0 \rightarrow I_L \rightarrow \mathcal{O}_{\mathbb{P}^3} \rightarrow \mathcal{O}_L \rightarrow 0$ to conclude that $\operatorname{Ext}^1(I_L, I_p) \simeq \operatorname{Ext}^2(\mathcal{O}_L, I_p)$. Applying the functor $\operatorname{Hom}(\mathcal{O}_L, -)$ to the exact sequence $0 \rightarrow I_p \rightarrow \mathcal{O}_{\mathbb{P}^3} \rightarrow \mathcal{O}_p \rightarrow 0$, we obtain

$$(8.4) \quad 0 \rightarrow \operatorname{Ext}^1(\mathcal{O}_L, \mathcal{O}_p) \rightarrow \operatorname{Ext}^2(\mathcal{O}_L, I_p) \rightarrow H^1(\mathcal{O}_L(-4)) \rightarrow \operatorname{Ext}^1(\mathcal{O}_L, \mathcal{O}_p) \rightarrow 0.$$

Note that $\operatorname{Ext}^i(\mathcal{O}_L, \mathcal{O}_p) = H^0(\mathcal{E}xt^i(\mathcal{O}_L, \mathcal{O}_p))$. If $p \notin L$, then $\mathcal{E}xt^i(\mathcal{O}_L, \mathcal{O}_p) = 0$ for every $i \geq 0$; thus $\operatorname{Ext}^1(I_L, I_p) \simeq H^1(\mathcal{O}_L(-4))$, which completes the proof of the first claim.

If $p \in L$, then one can check that

$$h^0(\mathcal{E}xt^i(\mathcal{O}_L, \mathcal{O}_p)) = \begin{cases} 2 & \text{for } i = 1, \\ 1 & \text{for } i = 2. \end{cases}$$

Comparing with the exact sequence in display (8.4), we obtain the second part of the statement. \square

Next we consider the sheaves in item (2)(c) of Proposition 8.1.

Lemma 8.3. *If \tilde{L} is the 1-dimensional scheme described in item (2)(c) of Proposition 8.1, then we have $\dim \operatorname{Ext}^1(I_{\tilde{L}}, \mathcal{O}_{\mathbb{P}^3}) = 1$.*

Proof. Note that

$$\operatorname{Ext}^1(I_{\tilde{L}}, \mathcal{O}_{\mathbb{P}^3}) \simeq H^2(I_{\tilde{L}}(-4))^* \simeq H^2(I_L(-4))^*,$$

where the first isomorphism is given by Serre duality, and the second follows from the sequence $0 \rightarrow I_{\tilde{L}} \rightarrow I_L \rightarrow \mathcal{O}_p \rightarrow 0$.

The resolution of the ideal sheaf I_L yields the cohomology sequence

$$0 \rightarrow H^2(I_L(-4)) \rightarrow H^3(\mathcal{O}_{\mathbb{P}^3}(-6)) \rightarrow H^3(\mathcal{O}_{\mathbb{P}^3}(-5))^{\oplus 2} \rightarrow H^3(I_L(-4)) \rightarrow 0.$$

However, $H^3(I_L(-4)) \simeq \operatorname{Hom}(I_L, \mathcal{O}_{\mathbb{P}^3})^*$; thus $h^2(I_L(-4)) = 1$. \square

Now let \mathcal{G} denote the Grassmanian of lines in \mathbb{P}^3 . Recall that a line $L \in \mathcal{G}$ is called a *jumping line* for a μ -semistable torsion-free sheaf E with $\operatorname{ch}_1(E) = 0$ on \mathbb{P}^3 if $E \otimes \mathcal{O}_L = \mathcal{O}_L(-a) \oplus \mathcal{O}_L(a)$ for some $a > 0$; we denote by $\mathcal{J}(E)$ the set of jumping lines for E , as a subset of \mathcal{G} .

If E is a null correlation sheaf, then $\mathcal{J}(E)$ is a divisor of degree 1 in \mathcal{G} . Therefore, for each null correlation sheaf E and $L \in \mathcal{J}(E)$, there exists an epimorphism $E \rightarrow \mathcal{O}_L(-1)$ whose kernel is a Gieseker semistable sheaf K with $\operatorname{ch}(K) = (2, 0, -2, 2)$.

Such sheaves have been previously considered by Miró-Roig and Trautmann in [MRT94, Section 1.5]; they showed that the family of sheaves K defined by exact sequences of the form

$$0 \rightarrow K \rightarrow E \rightarrow \mathcal{O}_L(-1) \rightarrow 0,$$

where E is a null correlation sheaf and $L \in \mathcal{J}(E)$, define a locally closed 8-dimensional subscheme of the full moduli space of Gieseker semistable sheaves on \mathbb{P}^3 with Chern character equal to $(2, 0, -2, 2)$. In addition, this moduli space, which we will simply denote by \mathcal{Z} , is an irreducible projective variety of dimension 13, and every such sheaf satisfies an exact sequence of the form

$$(8.5) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 2} \xrightarrow{A} \mathcal{O}_{\mathbb{P}^3}^{\oplus 4}(-1) \rightarrow K \rightarrow 0,$$

with A a 4×2 matrix of linear forms in four variables. Combining this with the presentation of $\mathcal{O}_L(-1)$

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-3) \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 2} \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1) \rightarrow \mathcal{O}_L(-1) \rightarrow 0,$$

we have a presentation for any Gieseker stable sheaf of Chern character $(2, 0, -1, 0)$:

$$(8.6) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-3) \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 4} \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 5} \rightarrow E \rightarrow 0.$$

Our next goal in this section is the classification of $\nu_{\alpha,\beta}$ -stable objects for the Chern character $\nu = (-2, 0, 1, 0)$. First, we show that there are no ν -walls.

Lemma 8.4. *For $\nu = \pm(2, 0, -1, k)$, there are no actual ν -walls for any k .*

Proof. We first suppose $\beta < 0$. Then we may assume E is a Gieseker semistable sheaf (to find the biggest wall). Suppose F is a sub-object of E in \mathcal{B}^β with $\nu_\beta(F) = \nu_\beta(E)$ and $\text{ch}(F) = (r, x, y/2, z/6)$. Then $r \geq 1$ and $x \leq 0$. By [Mac14, Corollary 2.8], every ν -wall must cross $\beta = \mu(E) - \sqrt{\Delta(E)/r(E)^2} = -1$ (at the bottom of Θ_ν), and so $0 < x + r < 2$ and then $x = 1 - r$. We look for ν -walls along Θ_ν^- . Then $\alpha^2 = \beta^2 - 1$. Now $\text{ch}_2^{\alpha,\beta}(F) = y/2 - \beta(1 - r) + r/2 = 0$. So $y = 2\beta(1 - r) - r$. If $r \geq 2$, then $y \geq r - 1$ as $\beta < -1$. From the Bogomolov–Gieseker inequality for F , we have $(r - 1)^2 \geq ry \geq r(r - 1)$, which is impossible. So $r = 1$. Then $y = -1$, but $x = 0$, and $(1, 0, -1/2, z/6)$ is not the Chern character of a sheaf.

Now we assume $\beta > 0$. Then E is the dual of a Gieseker semistable sheaf. Suppose $0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0$ is a short exact sequence in \mathcal{B}^β with $\nu_{\alpha,\beta}(F) = \nu_{\alpha,\beta}(E) = \nu_{\alpha,\beta}(G)$ for some α . If E is a sheaf, then so is G , and $G^\vee \in \mathcal{B}^\beta$ with $\nu_{\alpha,-\beta}(G^\vee) = -\nu_{\alpha,-\beta}(G)$. But then F^\vee is also a sheaf, and so E^\vee is not $\nu_{\alpha,-\beta}$ -stable, but this contradicts the last paragraph. If E is not a sheaf, then $\mathcal{H}^0(G)$ is a quotient of $\mathcal{O}_L(1)$, and since we may assume G is $\nu_{\alpha,\beta}$ -semistable, it follows that condition (6) of Proposition 2.15 holds and $\mathcal{H}^{-1}(G)$ is reflexive (any torsion sheaf T with a map $T[1] \rightarrow \mathcal{H}^{-1}(G) \rightarrow G$ injects). Since $S = 0$ in Proposition 2.15, it follows that G is the dual of a torsion-free sheaf, and again F^\vee is a sheaf. So we still have a contradiction. \square

Lemma 8.4 has two interesting applications. First we recover the following stronger version of a well-known fact.

Proposition 8.5. *If $k > 0$ is an integer, then there are no $\mu_{\leq 2}$ -semistable torsion-free sheaves of Chern character $(2, 0, -1, k)$ on \mathbb{P}^3 .*

Proof. Note that $q(\nu) = 8(2 - 9k^2) < 0$. Then if there are $\mu_{\leq 2}$ -semistable torsion-free sheaves, there must exist an actual vanishing ν -wall by Theorem 4.22. But there are no ν -walls. \square

Note that S_L in the proof of Proposition 8.1 is an example of a μ -semistable reflexive sheaf of Chern character $(2, 0, -1, 1)$. In addition, let E be a null correlation bundle, and let $\{p_1, \dots, p_k\}$ be distinct points in \mathbb{P}^3 ; the kernel of an epimorphism $E \twoheadrightarrow \bigoplus_{i=1}^k \mathcal{O}_{p_i}$ provides an example of a μ -stable torsion-free sheaf with Chern character $(2, 0, -1, -k)$ for any $k > 0$.

One can also provide a complete description of $\nu_{\alpha,\beta}$ -stable objects with Chern character $(2, 0, -1, 0)$.

Proposition 8.6. *Given any $\alpha > 0$, an object $B \in \mathcal{B}^\beta(\mathbb{P}^3)$ with $\text{ch}(B) = (2, 0, -1, 0)$ is $\nu_{\alpha,\beta}$ -stable if and only if*

- (1) B is a null correlation sheaf, when $\beta < 0$;
- (2) $B^\vee[-1]$ is a null correlation sheaf, when $\beta > 0$.

Proof. Theorem 5.2 and Proposition 8.1 tell us that the asymptotically $\nu_{\alpha,\beta}$ -stable objects on each side of the α -axis are precisely the ones described above. Since there are no actual ν -walls, such objects are $\nu_{\alpha,\beta}$ -stable everywhere. \square

Note that it also follows from Proposition 8.5 that if $k > 0$, then there are no $\nu_{\alpha,\beta}$ -objects with Chern character $(2, 0, -1, k)$. We can also deduce that from the fact that $Q_{\alpha,\beta}(2, 0, -1, k) = 4 + 4\alpha^2 + 4\beta^2 + 12\beta k$, which vanishes for $k > 0$ in the region $\beta < 0$; since there are no non-vertical ν -walls, this is impossible.

8.4. λ -walls and stability for the Chern character $(2, 0, -1, 0)$

We now turn to the classification of actual λ -walls corresponding to Gieseker stable objects and the description of the $\lambda_{\alpha,\beta,s}$ -semistable objects with Chern character $v = (2, 0, -1, 0)$ near the curve $\Gamma_{v,s}^-$.

Note that the fact that both I_L and I_p are $\lambda_{1/\sqrt{6s+1}, -2, s}$ -semistable for every $s > 0$, checked in Sections 8.1 and 8.2, implies that the sheaves of described in items (2)(a) and (2)(b) of Proposition 8.1 are also $\lambda_{1/\sqrt{6s+1}, -2, s}$ -semistable for every $s > 0$. For this case, we will set $s = 1/3$ for simplicity.

We first observe that there is a vanishing wall through the point P given by $\beta = -2$ and $\alpha^2 = 1/3$ on $\Gamma_{v,1/3}^-$ given by $F = \mathcal{O}_{\mathbb{P}^3}(-1)$. Theorem 7.8 and Lemma 8.4 then imply that this is the largest actual λ -wall for such Gieseker stable sheaves, and it suffices to find all of the walls through P . So in what follows, we set $\beta = -2$ and $\alpha^2 = 1/3$. One strategy to find all of the walls would be to guess some based on prior knowledge, and then check whether both the sub-object F and the quotient object G are semistable, thus guaranteeing that the suspected wall is an actual one. However, we shall try to deduce the walls by solving the inequalities to locate solutions directly to illustrate the techniques one might use to do this.

For E with $\text{ch}(E) = (2, 0, -1, 0)$, we consider a short exact sequence in $\mathcal{A}^{\alpha,\beta}$

$$0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0$$

with $\lambda_{\alpha,\beta}(F) = \lambda_{\alpha,\beta}(E)$ along $\Gamma_{v,s}^-$. We let $\text{ch}(F) = (r, x, y/2, z/6)$ for integers r, x, y, z . Since $\chi(F) = r + 11x/6 + y + z/6$ is an integer, we must have $6|(z-x)$. In fact, at our point P , $\chi(F) \propto \text{ch}_3^P(u) = 0$, so this holds automatically.

We have $\text{ch}_2^{\alpha,\beta}(E) = \frac{2}{3}\beta^2$,

$$\text{ch}_2^{\alpha,\beta}(F) = \frac{y}{2} - \beta x + \frac{1}{3}\beta^2 r + \frac{1}{2}r$$

and

$$\text{ch}_2^{\alpha,\beta}(G) = -\frac{y}{2} + \beta x - \frac{1}{3}\beta^2(r-2) - \frac{1}{2}r.$$

These provide the constraints

$$(8.7) \quad 0 < \frac{y}{2} + 2x + \frac{11}{6}r < \frac{8}{3}.$$

Since $F \in \mathcal{T}_\beta$, we have $x \geq -2r$. In addition, we have $\lambda_{1/\sqrt{3}, -2, 1/3}(F) = 0$, which gives

$$z = -11x - 6(r+y).$$

Finally, we also have

$$(8.8) \quad \begin{aligned} Q_P(F) &= 12r^2 + 28rx + \frac{23}{3}ry + \frac{46}{3}x^2 + 8xy + y^2 \quad \text{and} \\ Q_P(v-u) &= 12r^2 + 28rx + \frac{23}{3}ry - \frac{98}{3}r + \frac{46}{3}x^2 + 8xy - 40x + y^2 - \frac{34}{3}y + \frac{64}{3}. \end{aligned}$$

From Proposition 2.12, there are some constraints on F and G . We will focus on cases (1) and (2) of that proposition. We first eliminate case (2).

Lemma 8.7. *Given E, F and G as above, $F \notin \text{Coh}(X)$ and $G \notin \mathcal{B}^\beta[1] \cap \text{Coh}(X)[1]$.*

Proof. The assumption $G[-1] \in \mathcal{B}^\beta$ implies that $x \geq 2(r-2)$, and $r \geq 2$, so the constraints from $\text{ch}_2^P(F)$ imply that $y/2 < 32/3 - 35r/6 \leq -1$ and so $y \leq -3$. We also have

$$2x < \frac{8}{3} - \frac{y}{2} - \frac{11}{6}r.$$

Then from (8.8), we have

$$Q_P(F) < \frac{1601}{12}r^2 - \frac{3440}{9}r + \frac{7648}{27} - 3\left(y - \frac{32}{9} + \frac{7}{6}r\right)^2.$$

Now $y < 64/3 - 35r/3$, and when $r > 3$, it is easy to see that the above bound on $Q_P(F)$ is negative. When $r = 2$, we have $-22 < 3y + 12x < -6$ from the constraints, while $Q_P(u) < 0$ in the range $-23 - \sqrt{f(x)} < 3y + 12x < -23 + \sqrt{f(x)}$ for some (quadratic) function $f(x)$ and $Q_P(v - u) < 0$ in the range $-6 - \sqrt{6x} < 3y + 12x < -6 + \sqrt{6x}$; hence one of these must be negative so long as the ranges overlap. This happens when $x \geq 2$. When $x = 0$, we have $G[-1] \in \mathcal{A}^{\alpha, \beta}$, which gives a contradiction. If $x = 1$, then the constraints give $-17/3 < y/2 < -2$. But G is a sheaf, and so $y \equiv 0 \pmod{2}$. Hence $y = -6, -8, -10$ are the only possibilities. For $y = -6$, $Q_P(v - u) = -2/3$. For $y = -8$, $Q_P(u) = -10/3$. For $y = -10$, $Q_P(u) = -14$. When $r = 3$, the constraints give $-66 < 6y + 24x < -34$, while $Q_P(u) < 0$ in the range $-69 - \sqrt{g(x)} < 6y + 24x < -69 + \sqrt{g(x)}$ and $Q_P(v - u) < 0$ in the range $-35 - \sqrt{h(x)} < 6y + 24x < -35 + \sqrt{h(x)}$, and again one or the other must be negative for any value of y or x , and this time the ranges overlap for all $x \geq 0$.

In any case, F or G is not λ_P -semistable, which is impossible. \square

Given Lemma 8.4, it is reasonable to assume $\Delta_{21}(\text{ch}(E), \text{ch}(F)) \geq 0$ (with equality only if it holds for all β), which gives the inequality

$$(8.9) \quad y + \frac{8}{3}x + r < 0.$$

We take this inequality as an ansatz to eliminate possibilities rather than a necessary condition for a numerical wall to be actual.

Proposition 8.8. *Consider $v = (2, 0, -1, 0)$. Then on $X = \mathbb{P}^3$, there are five pseudo λ -walls for a Gieseker stable sheaf E intersecting $\Gamma_{v, 1/3}^-$, given by*

$$(8.10) \quad 0 \rightarrow \mathcal{I}_L \rightarrow E \rightarrow \mathcal{I}_P \rightarrow 0,$$

$$(8.11) \quad 0 \rightarrow K \rightarrow E \rightarrow \mathcal{O}_L(-1) \rightarrow 0,$$

$$(8.12) \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1) \rightarrow E \rightarrow \mathcal{I}_C(1) \rightarrow 0,$$

$$(8.13) \quad 0 \rightarrow A \rightarrow E \rightarrow \mathcal{O}_{\mathbb{P}^3}(-3)[2] \rightarrow 0,$$

$$(8.14) \quad 0 \rightarrow S_P(1) \rightarrow E \rightarrow \mathcal{I}_{P/H} \rightarrow 0,$$

where L is a line, C is the union of two lines on a quadric surface in \mathbb{P}^3 and H is a hyperplane. Moreover, K is a Gieseker semistable sheaf with $\text{ch}(K) = (2, 0, -2, 2)$, as described in display (8.5), and A is quasi-isomorphic to a complex $\mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 4} \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 5}$. The first two are coincident, and only (8.13) is an actual λ -wall below $\Gamma_{v, 1/3}^-$, while only (8.12) is actual above $\Gamma_{v, 1/3}^-$.

Proof. We assume E is a Gieseker stable torsion-free sheaf. These are classified by Proposition 8.1. We start by looking at an exceptional case not described in Proposition 2.12. This is where F is not a sheaf and the destabilizing sequence is of the form $F \rightarrow E \rightarrow F_{11}[2]$. This arises from the presentation of E in (8.6),

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-3) \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 4} \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 5} \rightarrow E \rightarrow 0.$$

Viewing the middle map as a 2-step complex A in $D^b(X)$, near P we have that $A \rightarrow E \rightarrow A_{11}[2]$ is short exact in \mathcal{A}^P . It is easy to see that this is a wall and $A_{11} = \mathcal{O}_{\mathbb{P}^3}(-3)$, giving (8.13). From the definition of A , it follows that

$$\text{Ext}^1(A, \mathcal{O}(-3)[2]) = \text{Ext}^3(A, \mathcal{O}(-3)) = 0;$$

thus where this wall is actual, it is a vanishing wall. The presentation of A shows that it has a vanishing λ -wall given by $\mathcal{O}(-2)$ (as a sub-object) which goes through the point P ; denote this wall by \mathcal{W} . One can check that \mathcal{W} is above (8.13) to the right of $\Gamma_{v, 1/3}^-$, but it is below (8.13) to the left of $\Gamma_{v, 1/3}^-$. This shows that (8.13) is actual to the left but not to the right of $\Gamma_{v, 1/3}^-$.

Once we have found the walls for μ -semistable torsion-free sheaves E , we need to also consider new objects which become λ -stable as we cross each wall. Lemma 8.7 and Proposition 2.12 show that it is

reasonable to assume our destabilizing objects F and G are in $\mathcal{A}^{\alpha,\beta} \cap \mathcal{B}^\beta \cap \text{Coh}(X)$. Then we have additional constraints to add to (8.7) and (8.8):

$$(8.15) \quad -2r < x \leq 0$$

since E is μ -semistable and $F \in \mathcal{B}^\beta$. We also add the ansatz (8.9) and consider various possible cases for the Chern character $(r, x, y/2, z/6)$ of F .

First consider the case $r = 1$.

Case $(1, 0, y/2, z/6)$.— Suppose $x = 0$. Then the ch_2^P -constraint gives $5/3 > y > -11/3$. Then $1 \geq y \geq -3$. From (8.9), we have $y \leq -1$, and so we have the possibilities $y = -1, -2, -3$. But F is of rank 1 and torsion-free, and so $y \equiv 0 \pmod{2}$; so $y = -2$ is the only possibility. This gives the first wall (8.10).

Case $(1, -1, y/2, z/6)$.— Now suppose $x = -1$. The constraints on y give $17/6 > y \geq 1$. But (8.9) implies $y < 8/3 - r = 5/3$, and so $y = 1$ is the only possibility. This gives wall (8.12).

Finally, we return to the case $r = 2$. Then G is a torsion sheaf. We have $-3 \leq x \leq 0$. We shall consider these, case by case as before.

Case $(2, 0, y/2, z/6)$.— We now consider the special case $x = 0$. The constraints give $-6 \leq y < -2$. But y must be even for G to be a sheaf, and so $y \leq -4$. When $y = -4$, we have the same wall as (8.10) but given by the sequence (8.11). When $y = -6$, we have $Q_P(F) = -8$, and so F cannot be semistable.

Case $(2, -1, y/2, z/6)$.— Now we let $x = -1$. Then the constraints give $0 \geq y > -10/3$, so $-3 \leq y \leq 0$. But $2\text{ch}_2(G) \equiv 1 \pmod{2}$. Hence $y = -1$ or $y = -3$. In the former case, $\text{ch}(F) = (2, -1, -1/2, 5/6)$, which corresponds to sequence (8.14). But one can check that $Q_P(2, -1, -1/2, 5/6) = 1 > 0$, $Q_P(0, 1, -1/2, -5/6) = 25/3 > 0$ and $Q_P(v) - Q_P(2, -1, -1/2, 5/6) - Q_P(0, 1, -1/2, -5/6) = 12 > 0$, so this is a pseudo-wall. In the latter case, $Q_P(u) = -17/3$, so F cannot be λ -semistable.

Case $(2, -2, y/2, z/6)$.— When $x = -2$, we have $1 \leq y \leq 5$ from the ch_2^P -constraints and $y + 2 \leq 4$ from (8.9). So $1 \leq y \leq 3$. But $2\text{ch}_2(G) \equiv 0 \pmod{2}$, and so $y = 2$ is the only possible solution. Then $F = \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 2}$ and $G = \mathcal{I}_C(1)/\mathcal{O}_{\mathbb{P}^3}(-1)$, but this gives the same wall as (8.12).

Case $(2, -3, y/2, z/6)$.— When $x = -3$, the ch_2^P -constraint gives $y > 14/3$ and so $y \geq 5$. But (8.9) gives $y + 2 < 8$ and so $y = 5$. In this case, $z = -6$. But then $\chi(F) = 5/2$, and so this is impossible.

This completes all of the possible numerical cases satisfying our ansatzes.

Note that the walls for (8.10) and (8.11) coincide. For $s \geq 1/3$, the walls below $\Gamma_{v,s}^-$ are in the order (8.13) > (8.10) = (8.11) > (8.14) > (8.12), and this is reversed above $\Gamma_{v,s}^-$ (see Figure 11).

Finally, we observe that $\mathcal{I}_C(1)$ is destabilized by $\mathcal{O}(-1)^{\oplus 4}$. In fact, the quotient is $\Omega^2(1)[1]$, and this is stable to the right of $\Gamma_{v,1/3}^-$ and to the left of $\Theta_{\mathcal{O}(-2)}^-$. The wall for this is below (8.12) to the right of P and so (8.12) is actual in this region. \square

Remark 8.9. Note that the problem of eliminating walls when $x \leq -2$ and $r = 1$ is actually a quadratic programming problem. To see this, note that

$$z = -2\beta^2 x + 3\beta y + 3\beta - 3x,$$

and so

$$Q_P(F) = 3\beta^2 + \frac{10}{3}\beta^2 x^2 + 2x^2 - 2\beta^3 x - 6\beta x - 4\beta xy + y^2 + \frac{5}{3}\beta^2 y + y,$$

which we can rewrite as

$$F_t(\mathbf{v}) = \mathbf{v}A_t\mathbf{v}^T - \mathbf{c}\mathbf{v}^T$$

subject to the inequalities

$$\begin{aligned} 4 &\leq \gamma < \lambda/2 < \mu/4, \\ -2 - \gamma &\leq y \leq \gamma, \\ \lambda - \mu/3 &< (y + 1)/2 < \lambda + \mu/3, \end{aligned}$$

where $\mathbf{v} = (\lambda, \mu, \gamma, y) = (\beta x, \beta^2, x^2, y)$,

$$A_t = \begin{pmatrix} 10(1-t)/3 & -1 & 0 & -2 \\ -1 & 0 & 5t/3 & 5/6 \\ 0 & 5t/3 & 0 & 0 \\ -2 & 5/6 & 0 & 1 \end{pmatrix}$$

and $c = (6, -3, -2, -1)$. Then we need to show there is a real value of t for which $F_t(\mathbf{v}) < 0$ given the constraints. In fact, numerical methods show that the “local” maximum value of $F(\mathbf{v})$ when $t = 1$ is negative even without the additional non-linear constraint $\gamma\mu = \lambda^2$. But A_t is not definite, and so this need not be a global maximum.

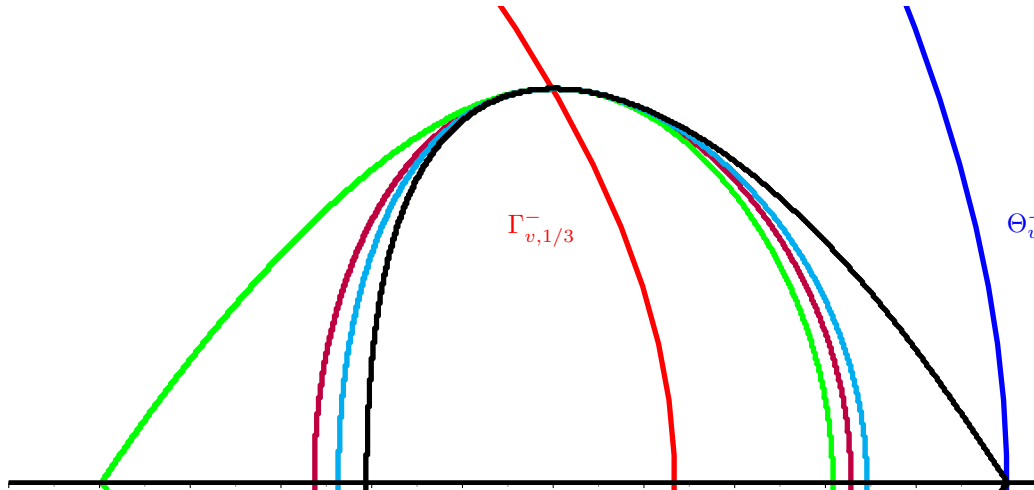


Figure 11. This graph shows the actual and pseudo λ -walls for the Chern character $v = (2, 0, -1, 0)$ intersecting at the point $(\alpha = 1/\sqrt{3}, \beta = -2)$, as described in Proposition 8.8. The green curve is (8.13), the black one is (8.12), the cyan one is (8.14), and the purple one is (8.10). The outermost curve (black and green) is the actual λ -wall, while the remaining curves are only pseudo λ -walls. The stability chambers in the region R_v^- are described in Theorem 8.10.

It follows from Theorem 6.17 that no other λ -walls in the region R_v^- exist when $s = 1/3$. This observation allows us to give a complete chamber decomposition of this region, summarized in the following statement.

Theorem 8.10. *Let $X = \mathbb{P}^3$, and consider the numerical Chern character $v = (2, 0, -1, 0)$; fix $s = 1/3$. The region R_v^- is divided into two stability chambers C_i within which the $\lambda_{\alpha,\beta,s}$ -stable objects are described as follows:*

- (C1) *null correlation sheaves,*
- (C2) *no stable objects.*

The two stability chambers just described are pictured in Figure 11 as follows: the chamber (C1) lies above the green and black curves (which correspond to the walls (8.13) and (8.12), respectively).

As a final remark, we observe that Proposition 8.8 provides concrete examples of intersecting actual λ -walls for the Chern character $(2, 0, -1, 0)$.

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