

Rigidity of projective symmetric manifolds of Picard number 1 associated to composition algebras

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Pour Claire, avec admiration

Abstract. To each complex composition algebra \mathbb{A} is associated a projective symmetric manifold $X(\mathbb{A})$ of Picard number 1, which is just a smooth hyperplane section of one of the following varieties:

Lag(3, 6), Gr(3, 6), S₆, E_7/P_7 .

In this paper, we prove that these varieties are rigid; namely, for any smooth family of projective manifolds over a connected base, if one fiber is isomorphic to $X(\mathbb{A})$, then every fiber is isomorphic to $X(\mathbb{A})$.

Keywords. Deformation rigidity, symmetric varieties, composition algebras

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1. Introduction

Throughout this paper, we work over the complex number field. A smooth projective variety X is said to be *rigid* if for any smooth projective family over a connected base with one fiber isomorphic to X, all fibers are isomorphic to X. It is a difficult and subtle problem to prove the rigidity. Even for rational homogeneous varieties G/P of Picard number 1, the rigidity does not always hold. To wit, let B_3/P_2 be the variety of lines on a 5-dimensional smooth hyperquadric \mathbb{Q}^5 . An explicit family specializing B_3/P_2 to a smooth projective G_2 -variety is constructed by Pasquier and Perrin in [PP10]. In [HL23], it is shown that this is the only smooth non-isomorphic specialization of B_3/P_2 . It turns out that B_3/P_2 is the only exception among all G/P of Picard number 1, as shown by the following.

Theorem 1.1 (cf. [Hwa97, HM98, HM02, HM05]). A rational homogeneous variety of Picard number 1 is rigid except in the case of B_3/P_2 .

The key ingredient for the proof is the VMRT theory developed by Hwang and Mok. In the simplest case of a projective manifold X covered by lines (which is the case for our paper), the VMRT $C_x \subset \mathbb{P}T_x X$ at a general point x is just the Hilbert scheme of lines through x. This projective subvariety $C_x \subset \mathbb{P}T_x X$ encodes a lot of global geometry of X, and in some cases, we can even recognize X from its VMRT at general points.

As G/P is locally rigid, we only need to prove that G/P is rigid under specialization; namely, for a smooth projective family $\mathcal{X} \to \Delta$ such that $\mathcal{X}_t \simeq G/P$ for all $t \neq 0$, we have $\mathcal{X}_0 \simeq G/P$. The proof essentially consists of two steps: the first is to show the VMRT of \mathcal{X}_0 is isomorphic to that of G/P, and the second is to use the recognization of G/P from its VMRT.

In many cases, the invariance of the VMRT can be proved, while the recognization problem is in general much more difficult. In [Par16], it is observed that for odd Lagrangian Grassmannians (which are not homogeneous), one can directly show that $H^1(\mathcal{X}_0, T_{\mathcal{X}_0}) = 0$ by using VMRT theory. Hence \mathcal{X}_0 is locally rigid and isomorphic to nearby fibers, which proves the rigidity for odd Lagrangian Grassmannians.

The goal of this paper is to prove the rigidity for projective symmetric varieties associated to composition algebras. Recall that there are exactly four complex composition algebras: $\mathbb{A} = \mathbb{C}$, $\mathbb{C} \oplus \mathbb{C}$, $\mathbb{H}_{\mathbb{C}}$, $\mathbb{O}_{\mathbb{C}}$. To such an \mathbb{A} , we can associate algebraic groups $SL_3(\mathbb{A})$ and $SO_3(\mathbb{A})$ with an involution θ such that $SL_3(\mathbb{A})^{\theta} = SO_3(\mathbb{A})$. The quotient $SL_3(\mathbb{A})/SO_3(\mathbb{A})$ is a symmetric homogeneous space, which admits a unique smooth equivariant completion of Picard number 1, denoted by $X(\mathbb{A})$. It turns out that $X(\mathbb{A})$ is a smooth hyperplane section of one of the following varieties (*cf.* [Ruz10]):

Lag
$$(3, 6)$$
, Gr $(3, 6)$, S₆, E_7/P_7 ,

where Lag(3,6) is the Lagrangian Grassmannian associated to \mathbb{C}^6 and \mathbb{S}_6 is the 15-dimensional spinor variety. The main result of this paper is the following.

Theorem 1.2. For any complex composition algebra \mathbb{A} , the variety $X(\mathbb{A})$ is rigid.

We first remark that for $\mathbb{A} = \mathbb{C}$, $X(\mathbb{A})$ is a Mukai variety, so its smooth deformation is again a Mukai variety, hence again a hyperplane section of Lag(3, 6) by the classification of Mukai varieties. This shows that $X(\mathbb{A})$ is rigid in this case. We will assume $\mathbb{A} \neq \mathbb{C}$ in the following.

The rigidity problem of $X(\mathbb{A})$ was studied by Kim and Park in [KP19]. When $\mathbb{A} = \mathbb{H}_{\mathbb{C}}$ or $\mathbb{O}_{\mathbb{C}}$, they prove the invariance of the VMRT and, moreover, observe that dim $H^1(\mathcal{X}_0, T_{\mathcal{X}_0}) \leq 1$. If $H^1(\mathcal{X}_0, T_{\mathcal{X}_0}) = 0$, then \mathcal{X}_0 is locally rigid, and thus it is isomorphic to nearby fibers $X(\mathbb{A})$. When dim $H^1(\mathcal{X}_0, T_{\mathcal{X}_0}) = 1$, \mathcal{X}_0 is an equivariant compactification of the vector group \mathbb{G}_a^n with $n = \dim X(\mathbb{A})$. With the help of [Wis91], this result can be easily extended to the case $\mathbb{A} = \mathbb{C} \oplus \mathbb{C}$.

To prove Theorem 1.2, we will exclude the case of equivariant compactifications. Let $\mathcal{X} \to \Delta$ be a specialization of $X(\mathbb{A})$; *i.e.* $\mathcal{X}_t \simeq X(\mathbb{A})$ for all $t \neq 0$ and \mathcal{X}_0 is an equivariant compactification of \mathbb{G}_a^n . The Lie algebra of the automorphism group of the central fiber is given by $\operatorname{aut}(\mathcal{X}_0) \simeq \mathbb{C}^n \rtimes (\mathfrak{so}_3(\mathbb{A}) \oplus \mathbb{C})$. We will consider a family of tori $\mathbf{H}_t \subset \operatorname{Aut}^0(\mathcal{X}_t)$ induced from a maximal torus of $\operatorname{SO}_3(\mathbb{A})$ and then take a connected component \mathcal{Y} of the torus-fixed locus \mathcal{X}^H . As the rank of $\mathfrak{sl}_3(\mathbb{A})$ is 2 more than that of $\mathfrak{so}_3(\mathbb{A})$, there is an extra 2-dimensional torus acting on \mathcal{Y}_t for $t \neq 0$. It turns out that $\mathcal{Y} \to \Delta$ is a family of smooth projective surfaces with general fiber \mathcal{Y}_t isomorphic to the blowup of \mathbb{P}^2 along three coordinate points. The central fiber \mathcal{Y}_0 is an equivariant compactification of \mathbb{G}_a^2 . By delicate computations, we will show that \mathcal{Y}_0 is isomorphic to the blowup of \mathbb{P}^2 along three collinear points. On the other hand, the involution θ on $\operatorname{SL}_3(\mathbb{A})$ induces an involution Θ_0 is the family \mathcal{Y}/Δ , which preserves the boundaries of \mathcal{Y}_t for all t. It turns out that the involution $\Theta_0: \mathcal{Y}_0 \to \mathcal{Y}_0$ sends extremal rays of the Mori cone $\overline{\operatorname{NE}}(\mathcal{Y}_0)$ to non-extremal rays, which gives a contradiction.

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2. Projective symmetric manifolds of Picard number 1 associated to composition algebras

2.1. Composition algebras and associated Lie groups

Let $\mathbb{A}_{\mathbb{R}}$ be one of the four real normed division algebras, \mathbb{R} , \mathbb{C} , \mathbb{H} , \mathbb{O} , which admits an involution $x \mapsto \bar{x}$, called conjugation. It is well known that the fixed points under this conjugation are exactly the base field \mathbb{R} . Note that \mathbb{H} , \mathbb{O} are non-commutative and $\overline{ab} = \overline{b}\overline{a}$ for all $a, b \in \mathbb{A}_{\mathbb{R}}$.

Let $\mathbb{A} = \mathbb{A}_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$ be the complexification of $\mathbb{A}_{\mathbb{R}}$, which is one of the following: \mathbb{C} , $\mathbb{C} \oplus \mathbb{C}$, $\mathbb{H}_{\mathbb{C}}$, $\mathbb{O}_{\mathbb{C}}$. The algebra structure on \mathbb{A} is given by $(a \otimes c, a' \otimes c') \mapsto aa' \otimes cc'$ for multiplication and $\overline{a \otimes c} = \overline{a} \otimes \overline{c}$ for conjugation. Note that the conjugation fixes exactly elements in \mathbb{C} . It turns out that \mathbb{A} is a composition algebra and any finite-dimensional composition algebra over \mathbb{C} is isomorphic to one of these \mathbb{A} (see for example [VGO90, Chapter 5, Section 1]).

We consider the following vector space of A-Hermitian matrices of order 3 with coefficients in A:

$$\mathcal{J}_3(\mathbb{A}) = \left\{ \begin{pmatrix} r_1 & \bar{x}_3 & \bar{x}_2 \\ x_3 & r_2 & \bar{x}_1 \\ x_2 & x_1 & r_3 \end{pmatrix}, r_i \in \mathbb{C}, x_i \in \mathbb{A} \right\}.$$

It turns out that $\mathcal{J}_3(\mathbb{A})$ has the structure of a Jordan algebra with multiplication given by $A \circ B = \frac{1}{2}(AB + BA)$, where AB is the usual matrix multiplication. The comatrix of $A \in \mathcal{J}_3(\mathbb{A})$ is defined as

$$\operatorname{com}(A) = A^2 - \operatorname{tr}(A)A + \frac{1}{2}((\operatorname{tr}(A))^2 - \operatorname{tr}(A^2))\operatorname{Id}$$

Then there exists a degree 3 polynomial det(A) (called the determinant of A) such that $com(A) \circ A = det(A)$ Id. From this equality, we can easily deduce that

$$\det(A) = \frac{1}{3}\operatorname{tr}(A^3) - \frac{1}{2}\operatorname{tr}(A)\operatorname{tr}(A^2) + \frac{1}{6}(\operatorname{tr}(A))^3.$$

For $A = \begin{pmatrix} r_1 & \bar{x}_3 & \bar{x}_2 \\ x_3 & r_2 & \bar{x}_1 \\ x_2 & x_1 & r_3 \end{pmatrix}$, we have the following explicit formulae: $\operatorname{tr}(A) = \sum_i r_i, \qquad \operatorname{tr}(A^2) = \sum_i \left(r_i^2 + 2x_i \bar{x}_i\right),$ $\operatorname{tr}(A^3) = \sum_i \left(r_i^3 + 3\sum_{j \neq i} r_i x_j \bar{x}_j\right) + (x_1 x_3 \bar{x}_2 + \bar{x}_2 x_1 x_3 + x_3 \bar{x}_2 x_1 + x_2 \bar{x}_3 \bar{x}_1 + \bar{x}_3 \bar{x}_1 x_2 + \bar{x}_1 x_2 \bar{x}_3).$

It then follows that

$$det(A) = r_1 r_2 r_3 - r_1 x_1 \bar{x}_1 - r_2 x_2 \bar{x}_2 - r_3 x_3 \bar{x}_3 + \frac{1}{3} (x_1 x_3 \bar{x}_2 + \bar{x}_2 x_1 x_3 + x_3 \bar{x}_2 x_1 + x_2 \bar{x}_3 \bar{x}_1 + \bar{x}_3 \bar{x}_1 x_2 + \bar{x}_1 x_2 \bar{x}_3).$$

Let us have a closer look at the \mathbb{C} -valued polynomial det on $\mathcal{J}_3(\mathbb{A})$. For $A, B, C \in \mathcal{J}_3(\mathbb{A})$, define

$$A \times B = \frac{1}{2}(2A \circ B - \operatorname{tr}(A)B - \operatorname{tr}(B)A + (\operatorname{tr}(A)\operatorname{tr}(B) - \operatorname{tr}(A \circ B))\operatorname{Id})$$
$$(A, B, C) = \operatorname{tr}(A \circ (B \times C)).$$

Then it follows that

$$\operatorname{com}(A) = A \times A$$
, $\operatorname{det}(A) = \frac{1}{3}(A, A, A)$ and $\operatorname{com}(A) \times \operatorname{com}(A) = \operatorname{det}(A)A$.

We now define the following two subgroups of $GL_{\mathbb{C}}(\mathcal{J}_3(\mathbb{A}))$:

$$SL_3(\mathbb{A}) = \{g \in GL_{\mathbb{C}}(\mathcal{J}_3(\mathbb{A})) | \det(g(A)) = \det(A), \forall A \in \mathcal{J}_3(\mathbb{A}) \}$$

$$SO_3(\mathbb{A}) = \{g \in SL_3(\mathbb{A}) | \operatorname{tr}(g(A)^2) = \operatorname{tr}(A^2), \forall A \in \mathcal{J}_3(\mathbb{A}) \}.$$

The following table gives the corresponding groups:

A	C	$\mathbb{C}\oplus\mathbb{C}$	$\mathbb{H}_{\mathbb{C}}$	$\mathbb{O}_{\mathbb{C}}$
$SL_3(\mathbb{A})$	SL ₃	$SL_3 \times SL_3$	SL ₆	E_6
$SO_3(\mathbb{A})$	SO ₃	SL ₃	Sp ₆	F_4

Consider the two matrices

$$M_{12} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad M_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}.$$

Note that $M_{12}^2 = M_{23}^2 =$ Id. Define

$$\sigma_{12}\colon \mathcal{J}_3(\mathbb{A}) \longrightarrow \mathcal{J}_3(\mathbb{A}), \qquad A \longmapsto M_{12}AM_{12}.$$

Similarly, we can define σ_{23} by using M_{23} .

Lemma 2.1. The two elements σ_{12}, σ_{23} are in SO₃(A), and the subgroup $\langle \sigma_{12}, \sigma_{23} \rangle$ generated by them is isomorphic to \mathfrak{S}_3 .

Proof. For
$$A = \begin{pmatrix} r_1 & \bar{x}_3 & \bar{x}_2 \\ x_3 & r_2 & \bar{x}_1 \\ x_2 & x_1 & r_3 \end{pmatrix}$$
, we have

$$\sigma_{12}(A) = \begin{pmatrix} r_2 & x_3 & \bar{x}_1 \\ \bar{x}_3 & r_1 & \bar{x}_2 \\ x_1 & x_2 & r_3 \end{pmatrix}, \qquad \sigma_{23}(A) = \begin{pmatrix} r_1 & \bar{x}_2 & \bar{x}_3 \\ x_2 & r_3 & x_1 \\ x_3 & \bar{x}_1 & r_2 \end{pmatrix}.$$

Now it is straightforward to check that $det(\sigma_{12}(A)) = det(A)$ and $tr(\sigma_{12}(A)^2) = tr(A^2)$ by using previous explicit formulae; hence $\sigma_{12} \in SO_3(A)$. Similarly, we can show $\sigma_{23} \in SO_3(A)$. By regarding σ_{12} and σ_{23} as the permutations (12) and (23) in \mathfrak{S}_3 , respectively, we have $\langle \sigma_{12}, \sigma_{23} \rangle = \mathfrak{S}_3 \subset SO_3(A)$.

Remark 2.2. Note that $\sigma \cdot \text{diag}(r_1, r_2, r_3) = \text{diag}(r_{\sigma(1)}, r_{\sigma(2)}, r_{\sigma(3)}) \in \mathcal{J}_3(\mathbb{A})$ for any $\sigma \in \mathfrak{S}_3$ and any diagonal matrix $\text{diag}(r_1, r_2, r_3) \in \mathcal{J}_3(\mathbb{A})$.

2.2. The involution on Lie algebras

There exists an involution $\theta: SL_3(\mathbb{A}) \to SL_3(\mathbb{A})$ coming from the symmetry of the Dynkin diagram of $SL_3(\mathbb{A})$, which satisfies $SL_3(\mathbb{A})^{\theta} = SO_3(\mathbb{A})$. The quotient $SL_3(\mathbb{A})/SO_3(\mathbb{A})$ is a symmetric homogeneous space. The involution θ induces an involution (still denoted by θ) on $\mathfrak{sl}_3(\mathbb{A})$ whose fixed locus is $\mathfrak{so}_3(\mathbb{A})$. We have the following description of these Lie algebras:

$$\mathfrak{sl}_3(\mathbb{A}) = \{ \phi \in \operatorname{End}(\mathcal{J}_3(\mathbb{A})) | (\phi(B), B, B) = 0, \forall B \in \mathcal{J}_3(\mathbb{A}) \}.$$

$$\mathfrak{so}_3(\mathbb{A}) = \{ \psi \in \operatorname{End}(\mathcal{J}_3(\mathbb{A})) | \psi(B \circ C) = \psi(B) \circ C + B \circ \psi(C), \forall B, C \in \mathcal{J}_3(\mathbb{A}) \}.$$

The following result is well known, but we include a proof here for the reader's convenience.

Lemma 2.3. Let $\mathcal{J}_3(\mathbb{A})_0$ be the vector subspace of $\mathcal{J}_3(\mathbb{A})$ consisting of traceless elements.

- (i) The map $\mu: \mathcal{J}_3(\mathbb{A})_0 \to \operatorname{End}(\mathcal{J}_3(\mathbb{A}))$ given by $A \mapsto [B \mapsto 2A \circ B]$ embeds $\mathcal{J}_3(\mathbb{A})_0$ into $\mathfrak{sl}_3(\mathbb{A})$.
- (ii) The involution θ acts on $\mathcal{J}_3(\mathbb{A})_0$ by -1, and we have the decomposition into θ -eigenvector spaces $\mathfrak{sl}_3(\mathbb{A}) = \mathfrak{so}_3(\mathbb{A}) \oplus \mathcal{J}_3(\mathbb{A})_0$.

Proof. For $A, B, C \in \mathcal{J}_3(\mathbb{A})$, it is straightforward to show that

$$\operatorname{tr}((A \circ B) \circ C) = \operatorname{tr}(A \circ (B \circ C)).$$

Taking $A \in \mathcal{J}_3(\mathbb{A})_0$ and $B \in \mathcal{J}_3(\mathbb{A})$, one gets

$$(A \circ B, B, B) = \operatorname{tr}((A \circ B) \circ \operatorname{com}(B)) = \operatorname{tr}(A \circ (B \circ \operatorname{com}(B))) = \operatorname{det}(B)\operatorname{tr}(A) = 0.$$

It follows that $\mu(A) \in \mathfrak{sl}_3(\mathbb{A})$. As $\mu(A)(\mathrm{Id}) = 2A$, the map μ is injective. This shows (i).

For (ii), we first check $\mu(\mathcal{J}_3(\mathbb{A})_0) \cap \mathfrak{so}_3(\mathbb{A}) = 0$. Assume $\mu(A) \in \mathfrak{so}_3(\mathbb{A})$; then $A \circ (B \circ C) = (A \circ B) \circ C + B \circ (A \circ C)$ for all B, C. We may take $B = C = \mathrm{Id}$, which shows that A = 0. By a dimension check, we get $\mathfrak{sl}_3(\mathbb{A}) = \mathfrak{so}_3(\mathbb{A}) \oplus \mathcal{J}_3(\mathbb{A})_0$. As the first part is the θ -eigenspace of 1, the second part is the θ -eigenspace of -1.

The subspace $\mathcal{J}_3(\mathbb{A})_0$ is not necessarily a Lie subalgebra of $\mathfrak{sl}_3(\mathbb{A})$. On the other hand, we do have the following result.

Lemma 2.4.

(i) The subset $T_0 := \{ \text{diag}(\lambda_1, \lambda_2, \lambda_3) \mid \lambda_1 \lambda_2 \lambda_3 = 1 \}$ of $\mathcal{J}_3(\mathbb{A})$ is a group under the Jordan algebra structure of $\mathcal{J}_3(\mathbb{A})$, which is isomorphic as a group to the 2-dimensional torus $(\mathbb{C}^*)^2$.

(ii) The map

$$\nu \colon T_0 \longrightarrow \mathrm{SL}_3(\mathbb{A})$$
$$A \longmapsto [B \longmapsto ABA]$$

is an injective homomorphism of groups, and the associated homomorphism of Lie algebras is $\mu|_{\mathfrak{h}_0} \colon \mathfrak{h}_0 \to \mathfrak{sl}_3(\mathbb{A})$, where $\mathfrak{h}_0 = \{ \operatorname{diag}(t_1, t_2, t_3) \mid t_1 + t_2 + t_3 = 0 \} \subset \mathcal{J}_3(\mathbb{A})_0$. In particular, via ν and μ we can regard T_0 as a 2-dimensional torus of $SL_3(\mathbb{A})$ with Lie algebra $\mathfrak{h}_0 \subset \mathfrak{sl}_3(\mathbb{A})$.

(iii) Take any $\sigma \in \mathfrak{S}_3 \subset SO_3(\mathbb{A})$. Then the inner automorphism and the adjoint representation of $SL_3(\mathbb{A})$ give rise to $Inn_{\sigma}(T_0) = T_0$ and $Ad_{\sigma}(\mathfrak{h}_0) = \mathfrak{h}_0$. More precisely,

$$Inn_{\sigma}: \qquad T_{0} \longrightarrow T_{0}$$

diag($\lambda_{1}, \lambda_{2}, \lambda_{3}$) \longmapsto diag($\lambda_{\sigma(1)}, \lambda_{\sigma(2)}, \lambda_{\sigma(3)}$),
Ad _{σ} : $\mathfrak{h}_{0} \longrightarrow \mathfrak{h}_{0}$
diag(t_{1}, t_{2}, t_{3}) \longmapsto diag($t_{\sigma(1)}, t_{\sigma(2)}, t_{\sigma(3)}$).

Proof. (i) One has $A_1 \circ A_2 = A_1A_2 = A_2A_1$ for $A_1, A_2 \in T_0$. Hence T_0 is an abelian group. It follows that the group structure is the same as that of the 2-dimensional torus.

(ii) Assume $A \in \text{ker}(\nu)$; then B = ABA for any $B \in \mathcal{J}_3(A)$, which implies that $A^2 = \text{Id}$ and BA = (ABA)A = AB. This implies $A = \pm \text{Id}$. Since $A \in T_0$, we get A = Id. The assertion on Lie algebras follows immediately.

(iii) For $A = \text{diag}(\lambda_1, \lambda_2, \lambda_3) \in T_0$ (viewed as an element in $SL_3(\mathbb{A})$ via ν) and $B \in \mathcal{J}_3(\mathbb{A})$, we have

$$Inn_{\sigma_{12}}(A)(B) = (\sigma_{12}A\sigma_{12})(B) = \sigma_{12}A(M_{12}BM_{12}) = M_{12}AM_{12}BM_{12}AM_{12} = \sigma_{12}(A) \cdot BA_{12}AM_{12} = \sigma_{12}(A) \cdot BA_{12}AM_{12}AM_{12} = \sigma_{12}(A) \cdot BA_{12}AM_{12}AM_{12} = \sigma_{12}(A) \cdot BA_{12}AM_{12}AM_{12}AM_{12} = \sigma_{12}(A) \cdot BA_{12}AM_{12$$

It follows that $\operatorname{Inn}_{\sigma_{12}}(A) = \sigma_{12}(A) = \operatorname{diag}(\lambda_2, \lambda_1, \lambda_3) \in T_0$. Similarly, we have $\operatorname{Inn}_{\sigma_{23}}(A) = \sigma_{23}(A) = \operatorname{diag}(\lambda_1, \lambda_3, \lambda_2) \in T_0$. Consequently, $\operatorname{Inn}_{\sigma}(A) = \operatorname{diag}(\lambda_{\sigma(1)}, \lambda_{\sigma(2)}, \lambda_{\sigma(3)}) \in T_0$ for any $\sigma \in \mathfrak{S}_3$.

Lemma 2.5. Let $l = \{v \in \mathfrak{sl}_3(\mathbb{A}) | [v, \mathfrak{h}_0] = 0\}$ be the centralizer of \mathfrak{h}_0 . Then there exists a Cartan subalgebra \mathfrak{h} of $\mathfrak{sl}_3(\mathbb{A})$ such that $\mathfrak{h}_0 \subset \mathfrak{h} \subset l$, $\theta(\mathfrak{h}) = \mathfrak{h}$, $\mathfrak{h}_0 = \mathfrak{h} \cap \mathcal{J}_3(\mathbb{A})_0$, and if moreover $\mathbb{A} \neq \mathbb{C}$, then $\mathfrak{h} \cap \mathfrak{so}_3(\mathbb{A})$ is a Cartan subalgebra of $\mathfrak{so}_3(\mathbb{A})$.

Proof. By Lemma 2.4, \mathfrak{h}_0 is the Lie algebra of a torus in $SL_3(\mathbb{A})$. Since \mathfrak{h}_0 is θ -stable, \mathfrak{l} is θ -stable. There is a direct sum decomposition into θ -eigenspaces $\mathfrak{l} = (\mathfrak{l} \cap \mathfrak{so}_3(\mathbb{A})) \oplus (\mathfrak{l} \cap \mathcal{J}_3(\mathbb{A})_0)$. We claim that $\mathfrak{l} \cap \mathcal{J}_3(\mathbb{A})_0 = \mathfrak{h}_0$. First assume this claim. Let \mathfrak{h}_1 be any Cartan subalgebra of $\mathfrak{l} \cap \mathfrak{so}_3(\mathbb{A})$; then by the claim above, $\mathfrak{h} := \mathfrak{h}_1 \oplus \mathfrak{h}_0$ is a Cartan subalgebra of \mathfrak{l} (hence of $\mathfrak{sl}_3(\mathbb{A})$). In particular, $\mathfrak{h} \cap \mathfrak{so}_3(\mathbb{A}) = \mathfrak{h}_1 \simeq \mathfrak{h}/\mathfrak{h}_0$, and thus $\dim(\mathfrak{h} \cap \mathfrak{so}_3(\mathbb{A})) = \operatorname{rank}(\mathfrak{sl}_3(\mathbb{A})) - 2$. When $\mathbb{A} \neq \mathbb{C}$, we have $\operatorname{rank}(\mathfrak{sl}_3(\mathbb{A})) = \operatorname{rank}(\mathfrak{so}_3(\mathbb{A})) + 2$, and thus $\mathfrak{h} \cap \mathfrak{so}_3(\mathbb{A})$ is a Cartan subalgebra of $\mathfrak{so}_3(\mathbb{A})$. So \mathfrak{h} is the required Cartan subalgebra of $\mathfrak{sl}_3(\mathbb{A})$.

Now we turn to verifying the claim $l \cap \mathcal{J}_3(\mathbb{A})_0 = \mathfrak{h}_0$. Take $A \in l \cap \mathcal{J}_3(\mathbb{A})_0$. Then for any $B \in \mathfrak{h}_0$ and $C \in \mathcal{J}_3(\mathbb{A})$, we have $[\mu(A), \mu(B)](C) = 0$, which implies that D := ABC + CBA - BAC - CAB = 0. We write

$$A = \begin{pmatrix} r_1 & \bar{x}_3 & \bar{x}_2 \\ x_3 & r_2 & \bar{x}_1 \\ x_2 & x_1 & r_3 \end{pmatrix}$$

Take $B = \text{diag}(b_1, b_2, b_3) \in \mathfrak{h}_0$ and $C = \text{diag}(c_1, c_2, c_3) \in \mathcal{J}_3(\mathbb{A})$. Then

$$D = \begin{pmatrix} 0 & (b_1 - b_2)(c_1 - c_2)\bar{x}_3 & (b_1 - b_3)(c_1 - c_3)\bar{x}_2 \\ (b_1 - b_2)(c_1 - c_2)x_3 & 0 & (b_2 - b_3)(c_2 - c_3)\bar{x}_1 \\ (b_1 - b_3)(c_1 - c_3)x_2 & (b_2 - b_3)(c_2 - c_3)x_1 & 0 \end{pmatrix}.$$

Varying *B* and *C*, we get $x_1 = x_2 = x_3 = 0$ and $A = \text{diag}(r_1, r_2, r_3)$. As $A \in \mathcal{J}_3(\mathbb{A})_0$, one has $r_1 + r_2 + r_3 = 0$ and $A \in \mathfrak{h}_0$, which concludes the proof.

Lemma 2.6. Let \mathfrak{h}_1 be a Cartan subalgebra of $\mathfrak{so}_3(\mathbb{A})$, and let $\mathfrak{h} = \{v \in \mathfrak{sl}_3(\mathbb{A}) | [v, \mathfrak{h}_1] = 0\}$ be the centralizer of \mathfrak{h}_1 in $\mathfrak{sl}_3(\mathbb{A})$. Then \mathfrak{h} is a Cartan subalgebra of $\mathfrak{sl}_3(\mathbb{A})$, $\mathfrak{h}_1 \subset \mathfrak{h}$, $\theta(\mathfrak{h}) = \mathfrak{h}$, $\mathfrak{h}_1 = \mathfrak{h} \cap \mathfrak{so}_3(\mathbb{A})$ and

$$\dim(\mathfrak{h}/\mathfrak{h}_1) = \begin{cases} 1 & if \mathbb{A} = \mathbb{C}, \\ 2 & otherwise. \end{cases}$$

Proof. Since \mathfrak{h}_1 is θ -stable, its centralizer $\mathfrak{h} = \{v \in \mathfrak{sl}_3(\mathbb{A}) | [v, \mathfrak{h}_1] = 0\}$ is θ -stable. Then there is a direct sum decomposition into θ -eigenspaces $\mathfrak{h} = (\mathfrak{h} \cap \mathfrak{so}_3(\mathbb{A})) \oplus (\mathfrak{h} \cap \mathcal{J}_3(\mathbb{A})_0)$. Since $\mathfrak{h} \cap \mathfrak{so}_3(\mathbb{A}) = \mathfrak{h}_1$, θ acts on the quotient algebra $\mathfrak{h}/\mathfrak{h}_1$ by -1. Let $\overline{\mathfrak{k}}$ be a Cartan subalgebra of $\mathfrak{h}/\mathfrak{h}_1$. Then its preimage \mathfrak{k} in $\mathfrak{sl}_3(\mathbb{A})$ is a Cartan subalgebra of $\mathfrak{sl}_3(\mathbb{A})$ satisfying $\mathfrak{h}_1 \subset \mathfrak{k}$, $\theta(\mathfrak{k}) = \mathfrak{k}$ and $\mathfrak{h}_1 = \mathfrak{k} \cap \mathfrak{so}_3(\mathbb{A})$. Since \mathfrak{k} and \mathfrak{h}_1 are Cartan subalgebras of $\mathfrak{sl}_3(\mathbb{A})$ and $\mathfrak{so}_3(\mathbb{A})$, respectively, dim $(\mathfrak{k}/\mathfrak{h}_1) = \operatorname{rank}(\mathfrak{sl}_3(\mathbb{A})) - \operatorname{rank}(\mathfrak{so}_3(\mathbb{A}))$. Then $\mathfrak{k}/\mathfrak{h}_1$ has the dimension as stated. It remains to prove that $\mathfrak{h} = \mathfrak{k}$.

The space $\mathcal{J}_3(\mathbb{A})_0$ is an irreducible module of SO₃(\mathbb{A}). More precisely, we have

A	C	$\mathbb{C}\oplus\mathbb{C}$	$\mathbb{H}_{\mathbb{C}}$	$\mathbb{O}_{\mathbb{C}}$
type of $SO_3(\mathbb{A})$	A_1	A_2	<i>C</i> ₃	F_4
highest weight of $\mathcal{J}_3(\mathbb{A})_0$	$2\omega_1$	$\omega_1 + \omega_2$	ω_2	ω_1

The table can be deduced from [Ruz10, Theorem 3 and Lemma 17]. A direct calculation shows that the multiplicity of weight zero in the irreducible $\mathfrak{so}_3(\mathbb{A})$ -module $\mathcal{J}_3(\mathbb{A})_0$ is 1 in the case $\mathbb{A} = \mathbb{C}$ and 2 in other cases. Since $\mathfrak{h} \cap \mathcal{J}_3(\mathbb{A})_0$ is the \mathfrak{h}_1 -eigenspace of weight zero, $\dim(\mathfrak{h}/\mathfrak{h}_1) = \dim(\mathfrak{h} \cap \mathcal{J}_3(\mathbb{A})_0) = \dim(\mathfrak{k}/\mathfrak{h}_1)$. Hence $\mathfrak{k} = \mathfrak{h}$, completing the proof.

Proposition 2.7.

- (i) Given a maximal torus T_1 of SO₃(A), the identity component T of its centralizer is a maximal torus of SL₃(A).
- (ii) If $\mathbb{A} \neq \mathbb{C}$, there exists a $g \in SO_3(\mathbb{A})$ such that the conjugate $T^g := gTg^{-1}$ satisfies $T_0 \subset T^g$ and $T_0 \simeq T^g/(T^g \cap SO_3(\mathbb{A}))$, where T_0 is as in Lemma 2.4.

Proof. Claim (i) follows immediately from Lemma 2.6. By Lemma 2.5, there is a maximal torus T' of $SL_3(\mathbb{A})$ such that $T_0 \subset T'$, the identity component T'_1 of $T' \cap SO_3(\mathbb{A})$ is a maximal torus of $SO_3(\mathbb{A})$, and $T_0 \simeq T'/(T' \cap SO_3(\mathbb{A}))$. Both T_1 and T'_1 are maximal tori of $SO_3(\mathbb{A})$, so there exists a $g \in SO_3(\mathbb{A})$ such that $T'_1 = gT_1g^{-1}$. By Lemma 2.6 (resp. by the choice of T), the maximal torus T' (resp. $T^g := gTg^{-1}$) is the identity component of the centralizer of T'_1 (resp. gT_1g^{-1}). As $T'_1 = gT_1g^{-1}$, we have $T' = T^g$, which concludes the proof.

2.3. The symmetric variety $X(\mathbb{A})$

We consider the following rational map:

$$\Phi: \mathbb{P}(\mathbb{C} \oplus \mathcal{J}_3(\mathbb{A})) \dashrightarrow \mathbb{P}(\mathbb{C} \oplus \mathcal{J}_3(\mathbb{A}) \oplus \mathcal{J}_3(\mathbb{A}) \oplus \mathbb{C})$$
$$[t:A] \longmapsto [t^3: t^2A: t \text{ com}(A): \det(A)].$$

We denote by $G_{\omega}(\mathbb{A}^3, \mathbb{A}^6)$ the closure of the image of Φ , which turns out to be a rational homogeneous space corresponding to the third row in Freudenthal's magic square of varieties (*cf.* [LM01]).

By [LM01, Proposition 4.1], the action of $SL_3(\mathbb{A})$ on $\mathbb{P}(\mathcal{J}_3(\mathbb{A}))$ has a unique closed orbit, denoted by \mathbb{AP}^2 , which is just one of the four Severi varieties. Note that \mathbb{AP}^2 is also the variety of lines on $G_{\omega}(\mathbb{A}^3, \mathbb{A}^6)$ through a fixed point.

The following table collects information about all these varieties:

A	\mathbb{C}	$\mathbb{C}\oplus\mathbb{C}$	$\mathbb{H}_{\mathbb{C}}$	$\mathbb{O}_{\mathbb{C}}$
$G_{\omega}(\mathbb{A}^3,\mathbb{A}^6)$	Lag(3,6)	Gr(3,6)	S_6	E_{7}/P_{7}
\mathbb{AP}^2	$\nu_2(\mathbb{P}^2)$	$\mathbb{P}^2 \times \mathbb{P}^2$	Gr(2,6)	E_{6}/P_{1}

Let $X(\mathbb{A})$ be the closure of the image under Φ of the cubic hypersurface $t^3 = \det(A)$ in $\mathbb{P}(\mathbb{C} \oplus \mathcal{J}_3(\mathbb{A}))$, which is a hyperplane section of $G_{\omega}(\mathbb{A}^3, \mathbb{A}^6)$. We call $X(\mathbb{A})$ the symmetric manifold associated to the composition algebra \mathbb{A} .

We can now summarize some properties of $X(\mathbb{A})$ as follows.

Proposition 2.8.

- (i) The variety $X(\mathbb{A})$ is the smooth equivariant completion of $SL_3(\mathbb{A})/SO_3(\mathbb{A})$ of Picard number 1.
- (ii) The connected automorphism group of $X(\mathbb{A})$ is isomorphic to $SL_3(\mathbb{A})$ up to isogeny, and the involution θ of $SL_3(\mathbb{A})$ induces an involution of $X(\mathbb{A})$, denoted by θ again.
- (iii) The variety $X(\mathbb{A})$ is locally rigid; i.e. $H^1(X(\mathbb{A}), T_{X(\mathbb{A})}) = 0$.
- (iv) The variety of lines through a general point of $X(\mathbb{A})$ is a smooth hyperplane section of \mathbb{AP}^2 , which is respectively $v_2(\mathbb{Q}^1)$, $\mathbb{P}T^*_{\mathbb{P}^2}$, $\operatorname{Gr}_{\omega}(2,6)$, F_4/P_1 , where $\operatorname{Gr}_{\omega}(2,6)$ is the symplectic Grassmannian.

Proof. Claim (i) follows from [Ruz10, Lemma 17], and claim (ii) is from [Ruz10, Theorems 2 and 3]. Claim (iii) follows from [BFM20, Theorem 1.1]. As $X(\mathbb{A})$ is a smooth hyperplane section of $G_{\omega}(\mathbb{A}^3, \mathbb{A}^6)$, its variety of lines through a general point is a smooth hyperplane section of that of $G_{\omega}(\mathbb{A}^3, \mathbb{A}^6)$, namely a smooth hyperplane section of \mathbb{AP}^2 , which gives claim (iv).

Lemma 2.9. Let $o = [1 : \text{Id}] \in \mathbb{P}(\mathbb{C} \oplus \mathcal{J}_3(\mathbb{A})).$

- (i) We have $SL_3(\mathbb{A}) \cdot o \simeq SL_3(\mathbb{A})/SO_3(\mathbb{A})$.
- (ii) The image closure of $\Phi(T_0 \cdot o)$, denoted by $Y(\mathbb{A})$, is isomorphic to the blowup of \mathbb{P}^2 along its three coordinate points.

Proof. Claim (i) is from [Ruz10]. For (ii), recall that the Lie group T_0 acts on $J_3(\mathbb{A})$ by $A \mapsto (B \mapsto ABA)$ (*cf.* Lemma 2.4(ii)). Write $A := \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}$ in T_0 ; then $A \cdot \text{Id} = \begin{pmatrix} \lambda_1^2 & 0 & 0 \\ 0 & \lambda_2^2 & 0 \\ 0 & 0 & \lambda_3^2 \end{pmatrix}$. It follows that the image closure of $\Phi(T_0 \cdot o)$ is the closure of the elements

$$[1:\lambda_1^2:\lambda_2^2:\lambda_3^2:\lambda_1^{-2}:\lambda_2^{-2}:\lambda_3^{-2}:1], \quad \lambda_1\lambda_2\lambda_3=1.$$

It is easy to see that this is the blowup of \mathbb{P}^2 along its three coordinate points.

2.4. The \mathfrak{S}_3 -action on the toric surface $Y(\mathbb{A})$

Let $M_1 = \mathbb{P}^2$ with three coordinate points $P_1 = [1:0:0]$, $P_2 = [0:1:0]$, $P_3 = [0:0:1]$. By Lemma 2.9, $Y(\mathbb{A})$ is the blowup of M_1 at $\{P_1, P_2, P_3\}$. Let $D_i \subset M_1$, i = 1, 2, 3, be the lines through the points P_{j_1} and P_{j_2} such that $\{i, j_1, j_2\} = \{1, 2, 3\}$. Let $E_i \subset Y(\mathbb{A})$ be the exceptional divisors over the points P_i , i = 1, 2, 3. By abusing notation, we again denote by $D_i \subset Y(\mathbb{A})$, i = 1, 2, 3, the strict transform of the line $D_i \subset M_1$. Let M_2 be the blowdown of $D_i \subset Y(\mathbb{A})$. Then $M_2 = \mathbb{P}^2$.

Proposition 2.10. The subgroup \mathfrak{S}_3 of $SO_3(\mathbb{A})$, which is introduced in Lemma 2.1, stabilizes the open torus $T_0 \cdot o \subset Y(\mathbb{A}) \subset X(\mathbb{A})$. The action of \mathfrak{S}_3 on the boundary divisors of $Y(\mathbb{A})$ is as follows: $\sigma(D_i) = D_{\sigma(i)}$ and $\sigma(E_j) = E_{\sigma(j)}$ for all $\sigma \in \mathfrak{S}_3$ and $1 \le i, j \le 3$.

Proof. Take $\sigma \in \mathfrak{S}_3 \subset SO_3(\mathbb{A})$ and $B \in T_0$. Then $\sigma \cdot (B \cdot o) = Inn_{\sigma}(B) \cdot (\sigma \cdot o)$. Note that $\sigma \cdot o = o$ since $\sigma \in SO_3(\mathbb{A})$, and $Inn_{\sigma}(B) \in T_0$ by Lemma 2.4. Hence $\sigma \cdot (B \cdot o) \in T_0 \cdot o$, which shows the first claim.

By the proof of Lemma 2.9, the composition of T_0 -equivariant maps $T_0 \to T_0 \cdot o \to M_1$ is $\operatorname{diag}(\lambda_1, \lambda_2, \lambda_3) \mapsto [\lambda_1^2 : \lambda_2^2 : \lambda_3^2]$. The action of \mathfrak{S}_3 on T_0 extends to M_1 by permuting coordinates. This action of \mathfrak{S}_3 lifts to $Y(\mathbb{A})$ since the blowup center is \mathfrak{S}_3 -stable. Furthermore, the lifting coincides with the restriction to $Y(\mathbb{A})$ of the \mathfrak{S}_3 -action on $X(\mathbb{A})$ because these two actions coincide on the open torus orbit of $Y(\mathbb{A})$. Note that σ sends P_i to $P_{\sigma(i)}$ and sends the line joining P_i and P_j to the line joining $P_{\sigma(i)}$ and $P_{\sigma(j)}$. The conclusion follows.



Now we study the involution θ on $X(\mathbb{A})$.

Proposition 2.11. The involution θ of $X(\mathbb{A})$ stabilizes $T_0 \cdot o \subset Y(\mathbb{A}) \subset X(\mathbb{A})$. Furthermore, $\theta(D_i) = E_i$ and $\theta(E_i) = D_i$ for i = 1, 2, 3.

Proof. Let ϑ be the involution on $M_1 \times M_2$ given by $\vartheta([x_1 : x_2 : x_3], [y_1 : y_2 : y_3]) = ([y_1 : y_2 : y_3], [x_1 : x_2 : x_3])$. By the proof of Lemma 2.9, we have $\vartheta(b \cdot o) = b^{-1} \cdot o$ for each $b \in T_0$. Hence, ϑ stabilizes $T_0 \cdot o$ and its closure $Y(\mathbb{A})$. Furthermore, by the definition of ϑ , we have $\vartheta(D_i) = E_i$ and $\vartheta(E_i) = D_i$ for i = 1, 2, 3.

It remains to verify that θ coincides with the restriction of ϑ on $T_0 \cdot o$. The involution θ of $\mathfrak{sl}_3(\mathbb{A})$ fixes $\mathfrak{so}_3(\mathbb{A})$ and acts on $\mathcal{J}_3(\mathbb{A})_0$ by -1. In particular, $\theta(\xi) = -\xi$ and $\theta(b) = b^{-1}$ for $\xi \in \mathfrak{h}_0$ and $b \in T_0$, proving the claim.

Lemma 2.12. The Picard group of $Y(\mathbb{A})$ is generated by D_1 , D_2 , D_3 , E_1 , E_2 and E_3 . Moreover, we have the following rational equivalence relations:

$$D_1 - E_1 \equiv_{\lim} D_2 - E_2 \equiv_{\lim} D_3 - E_3.$$

Proof. Since $Y(\mathbb{A})$ is a toric variety, its Picard group is generated by prime boundary divisors D_1 , D_2 , D_3 , E_1 , E_2 and E_3 . The line joining P_1 and P_2 and the line joining P_1 and P_3 are linearly equivalent in M_1 . Pulling back to $Y(\mathbb{A})$, one gets $E_1 + E_2 + D_3 \equiv_{\text{lin}} E_1 + E_3 + D_2$, which implies $D_2 - E_2 \equiv_{\text{lin}} D_3 - E_3$. Similarly, one gets $D_1 - E_1 \equiv_{\text{lin}} D_2 - E_2$.

Proposition 2.13. Let $\Gamma \subset \operatorname{Aut}(Y(\mathbb{A}))$ be a subgroup. Denote by $\operatorname{Pic}(Y(\mathbb{A}))^{\Gamma}$ the invariant subgroup of $\operatorname{Pic}(Y(\mathbb{A}))$ under the action of Γ . For a finite order element $\sigma \in \operatorname{Aut}(Y(\mathbb{A}))$, we set $\operatorname{Pic}(Y(\mathbb{A}))^{\sigma} = \operatorname{Pic}(Y(\mathbb{A}))^{<\sigma>}$. Then

- $Pic(Y(\mathbb{A}))$ is a free abelian group of rank 4 with basis $\{D_1, E_1, E_2, E_3\}$;
- $Pic(Y(\mathbb{A}))^{\sigma_{12}}$ is a free abelian group of rank 3 with basis $\{D_1 + E_2, E_1 + E_2, E_3\}$;
- $\operatorname{Pic}(Y(\mathbb{A}))^{\sigma_{13}}$ is a free abelian group of rank 3 with basis $\{D_1 + E_3, E_1 + E_3, E_2\}$;
- $Pic(Y(\mathbb{A}))^{\sigma_{23}}$ is a free abelian group of rank 3 with basis $\{D_1, E_1, E_2 + E_3\}$;
- $\operatorname{Pic}(Y(\mathbb{A}))^{\sigma_{123}} = \operatorname{Pic}(Y(\mathbb{A}))^{\sigma_{321}} = \operatorname{Pic}(Y(\mathbb{A}))^{\mathfrak{S}_3}$ is a free abelian group of rank 2 with basis $\{D_1 + E_2 + E_3, E_1 + E_2 + E_3\}$.

Proof. As $Y(\mathbb{A})$ is the blowup of \mathbb{P}^2 along three points, $Pic(Y(\mathbb{A}))$ is freely generated by E_1 , E_2 , E_3 and the pullback of a line in \mathbb{P}^2 , namely $D_1 + E_2 + E_3$, which gives the first claim.

For any divisor $aD_1 + bE_1 + cE_2 + dE_3 \in \operatorname{Pic}(Y(\mathbb{A}))^{\sigma_{12}}$, where $a, b, c, d \in \mathbb{Z}$,

$$\sigma_{12}(aD_1 + bE_1 + cE_2 + dE_3) = aD_2 + bE_2 + cE_1 + dE_3$$

= $a(E_2 - E_1 + D_1) + bE_2 + cE_1 + dE_3$
= $aD_1 + (c-a)E_1 + (a+b)E_2 + dE_3.$

Then c = a + b and

$$aD_1 + bE_1 + cE_2 + dE_3 = a(D_1 + E_2) + b(E_1 + E_2) + dE_3$$

It follows that $\operatorname{Pic}(Y(\mathbb{A}))^{\sigma_{12}}$ is of rank 3 and $D_1 + E_2, E_1 + E_2, E_3$ is a \mathbb{Z} -basis.

The proofs for other claims are similar.

3. Proof of Theorem 1.2 via reduction to a family of surfaces

3.1. Invariance of varieties of minimal rational tangents

For a uniruled projective manifold X, let RatCurvesⁿ(X) denote the normalization of the space of rational curves on X (see [Kol96, Proposition II.2.11]). Every irreducible component \mathcal{K} of RatCurvesⁿ(X) is a (normal) quasi-projective variety equipped with a quasi-finite morphism to the Chow variety of X; the image consists of the Chow points of irreducible, generically reduced rational curves. There is a universal family \mathcal{U} with projections $v: \mathcal{U} \to \mathcal{K}, \mu: \mathcal{U} \to X$, and v is a \mathbb{P}^1 -bundle (for these results, see [Kol96, Proposition II.2.11] and Theorem II.2.15]).

For any $x \in X$, let $\mathcal{U}_x := \mu^{-1}(x)$ and $\mathcal{K}_x := \nu(\mathcal{U}_x)$. We call \mathcal{K} a family of minimal rational curves if \mathcal{K}_x is non-empty and projective for a general point x. There is a rational map $\iota_x : \mathcal{K}_x \to \mathbb{P}T_x X$ (the projective space of lines in the tangent space at x) that sends any curve which is smooth at x to its tangent direction. The closure of the image of ι_x is denoted by \mathcal{C}_x and called the *variety of minimal rational tangents* (VMRT) at the point x. By [HM04, Theorem 1] and [Keb02, Theorem 3.4], composing ι_x with the normalization map $\mathcal{K}_x^n \to \mathcal{K}_x$ yields the normalization of \mathcal{C}_x . Also, \mathcal{K}_x^n is a union of components of the variety RatCurvesⁿ(x, X) defined in [Kol96, II.(2.11.2)] and hence is smooth for $x \in X$ general by [Kol96, Corollary II.3.11.5]. In this case, $\mathcal{U}_x \simeq \mathcal{K}_x^n$ is smooth, and the rational map ι_x induces a birational morphism $\mathcal{U}_x \simeq \mathcal{K}_x^n \to \mathcal{C}_x$, which is still denoted by ι_x by abuse of notation. Since \mathcal{U}_x is both the normalization of \mathcal{K}_x and that of \mathcal{C}_x , we call \mathcal{U}_x the normalized Chow space or the normalized VMRT.

By Proposition 2.8, the variety $X(\mathbb{A})$ is covered by lines, and its VMRT at a general point is just the variety of lines through that point, denoted by $\mathcal{C}(\mathbb{A}) \subset \mathbb{P}(V_{\mathbb{A}})$ with $V_{\mathbb{A}}$ being the tangent space of $X(\mathbb{A})$ at a general point, which is respectively $\nu_2(\mathbb{Q}^1)$, $\mathbb{P}T^*_{\mathbb{P}^2}$, $\operatorname{Gr}_{\omega}(2,6)$, F_4/P_1 with the natural embedding.

For a family of smooth projective varieties $\pi: \mathcal{X} \to \Delta$ with $\mathcal{X}_t \simeq X(\mathbb{A})$ for all $t \neq 0$, we take a general section $\tau: \Delta \to \mathcal{X}$ such that $\tau(t)$ is a general point in \mathcal{X}_t for all $t \in \Delta$. By considering the VMRT of \mathcal{X}_t at $\tau(t)$, we get a family of embedded projective subvarieties with general fibers isomorphic to $\mathcal{C}(\mathbb{A}) \subset \mathbb{P}(V_{\mathbb{A}})$.

We first prove the invariance of the VMRT, which means that the central fiber has the same VMRT as the general fiber. Note that the cases when $\mathbb{A} = \mathbb{H}_{\mathbb{C}}$ or $\mathbb{O}_{\mathbb{C}}$ are proved in [KP19]. For the reader's convenience, we include the proof for all three cases here.

Proposition 3.1. Assume $\mathbb{A} \neq \mathbb{C}$. Consider a family of smooth projective varieties $\pi \colon \mathcal{X} \to \Delta$ with $\mathcal{X}_t \simeq X(\mathbb{A})$ for all $t \neq 0$. Then the VMRT of \mathcal{X}_0 at a general point is projectively equivalent to the VMRT of $X(\mathbb{A})$ at a general point.

Proof. Firstly we show that the normalized Chow space \mathcal{U}_{x_0} of \mathcal{X}_0 at a general point x_0 is isomorphic to $\mathcal{C}(\mathbb{A})$. Take a general section $t \in \Delta \mapsto x_t \in \mathcal{X}_t$ of π passing through the general point x_0 in \mathcal{X}_0 . Shrinking Δ if necessary, we can assume that x_t is general in \mathcal{X}_t for each $t \neq 0$. The normalized Chow spaces \mathcal{U}_{x_t} along this section give a family of smooth projective varieties such that $\mathcal{U}_{x_t} \simeq \mathcal{C}(\mathbb{A})$ for $t \neq 0$. If $\mathbb{A} \neq \mathbb{C} \oplus \mathbb{C}$, then $\mathcal{U}_{x_0} \simeq \mathcal{C}(\mathbb{A})$ by Theorem 1.1. Now assume $\mathbb{A} = \mathbb{C} \oplus \mathbb{C}$. Consider the normalization map

 $\iota_{x_t} : \mathcal{U}_{x_t} \to \mathcal{C}_{x_t} \subset \mathbb{P}T_{x_t}\mathcal{X}_t$. Note that for any $t \neq 0$, we have $\mathcal{U}_{x_t} \simeq \mathbb{P}T_{\mathbb{P}^2}^*$, thus $K_{\mathcal{U}_{x_t}} + \iota_{x_t}^*\mathcal{O}(2) = 0$. It follows that this equality also holds for t = 0, which implies that \mathcal{U}_{x_0} is a Fano threefold with index 2 and we can apply [Wiś91, Theorem] to deduce that $\mathcal{U}_{x_0} \simeq \mathbb{P}(T_{\mathbb{P}^2}^*)$ (note that in [Wiś91], the projectivisation is taken in the sense of Grothendieck).

Recall that for a smooth projective subvariety $Z \subset \mathbb{P}V$, the variety of tangential lines of Z is the subvariety $\mathcal{T}_Z \subset \operatorname{Gr}(2, V) \subset \mathbb{P}(\wedge^2 V)$ consisting of the tangential lines of Z. By [FL20, Lemma 2.12], the tangential variety of $\mathbb{P}(T^*_{\mathbb{P}^2})$ is non-degenerate. By [Hwa01, Proposition 2.6], the tangential varieties of $\operatorname{Gr}_{\omega}(2, 6)$ and F_4/P_1 are both non-degenerate. Now we can use the same argument as that of [FL20, Proposition 3.9] to conclude the proof.

Recall that a vector group of dimension g is the additive group \mathbb{G}_a^g . An equivariant compactification of \mathbb{G}_a^g is a smooth projective \mathbb{G}_a^g -variety Y which admits an open \mathbb{G}_a^g -orbit O isomorphic to \mathbb{G}_a^g . The boundary $\partial Y = Y \setminus O$ is a union of irreducible reduced divisors $\bigcup_j E_j$. It follows that $\operatorname{Pic}(Y) = \bigoplus_j \mathbb{Z}[E_j]$. Moreover, we have $-K_Y = \sum_j a_j E_j$ with $a_j \ge 2$ by [HT99, Theorem 2.7]. In particular, the support of $-K_Y$ is the whole boundary of Y.

By Proposition 2.8, we have $\operatorname{aut}(X(\mathbb{A})) = \mathfrak{sl}_3(\mathbb{A})$. Note that $\operatorname{aut}(\mathcal{C}(\mathbb{A})) = \mathfrak{so}_3(\mathbb{A})$, which implies that

(3.1)
$$\dim \operatorname{aut}(X(\mathbb{A})) = \dim \operatorname{aut}(\mathcal{C}(\mathbb{A})) + \dim X(\mathbb{A}).$$

For a specialization $\pi: \mathcal{X} \to \Delta$ of $X(\mathbb{A})$, equality (3.1) implies the following precise information on the central fiber by the proofs of [FL20, Lemma 4.6 and Corollary 4.8]. This result extends [KP19, Theorem 1.1] to $\mathbb{A} \neq \mathbb{C}$.

Proposition 3.2. Assume $\mathbb{A} \neq \mathbb{C}$. Consider a family of smooth projective varieties $\pi : \mathcal{X} \to \Delta$ with $\mathcal{X}_t \simeq X(\mathbb{A})$ for all $t \neq 0$. Then

- (i) either $\mathcal{X}_0 \simeq X(\mathbb{A})$,
- (ii) or $\operatorname{aut}(\mathcal{X}_0) \simeq \mathbb{C}^n \rtimes (\mathfrak{so}_3(\mathbb{A}) \oplus \mathbb{C})$ and \mathcal{X}_0 is an equivariant compactification of \mathbb{G}_a^n with $n = \dim X(\mathbb{A})$.

To prove Theorem 1.2, it suffices to exclude case (ii) in Proposition 3.2. In the following, we will assume case (ii) to deduce a contradiction.

3.2. Reduction to a family of surfaces

Let $\mathcal{V} = \pi_* T_{\mathcal{X}/\Delta}$, which is a vector bundle over Δ such that $\mathcal{V}_t \simeq \operatorname{aut}(\mathcal{X}_t) \simeq \mathfrak{sl}_3(\mathbb{A})$ for $t \neq 0$. Let $\mathcal{W} \subset \mathcal{V}$ be the subbundle such that $\mathcal{W}_t \simeq \mathfrak{so}_3(\mathbb{A})$ for $t \neq 0$, which is the Lie algebra of the stabilizer of $\tau(t) \in \mathcal{X}_t$. It follows that $\mathcal{W}_0 \simeq \mathfrak{so}_3(\mathbb{A})$. For each $t \in \Delta$, the fiber \mathcal{V}_t is a completely reducible $\mathfrak{so}_3(\mathbb{A})$ -module, which is isomorphic to $\mathfrak{so}_3(\mathbb{A}) \oplus \mathcal{J}_3(\mathbb{A})_0$. By a dimension check, $\mathcal{V}_0 \simeq \mathbb{C}^n \rtimes \mathfrak{so}_3(\mathbb{A}) \subset \operatorname{aut}(\mathcal{X}_0)$. Our construction and argument here for \mathcal{V} and \mathcal{W} is an analogue of the proof of [FL20, Lemma 4.11].

We fix a family of Cartan subalgebra $\mathcal{H} \subset \mathcal{W}$; *i.e.* \mathcal{H}_t is a Cartan subalgebra of \mathcal{W}_t for all t. Consider $\tilde{\mathcal{H}} \subset \mathcal{V}$ defined by

$$\tilde{\mathcal{H}}_t := \{ v \in \mathcal{V}_t | [v, \mathcal{H}_t] = 0 \}.$$

It follows that $\tilde{\mathcal{H}}_t$ is a Cartan subalgebra of \mathcal{V}_t for all $t \neq 0$, by Lemma 2.6. Note that $\operatorname{rk}(\tilde{\mathcal{H}}) = \operatorname{rk}(\mathcal{H}) + 2$ (as $\mathbb{A} \neq \mathbb{C}$).

For $t \in \Delta$, let $\mathbf{H}_t = \exp(\mathcal{H}_t) \subset \operatorname{Aut}^0(\mathcal{X}_t)_{\tau(t)}$, which is a family of tori. Set $\tilde{\mathbf{H}}_t = \exp(\tilde{\mathcal{H}}_t) \subset \operatorname{Aut}^0(\mathcal{X}_t)$.

Proposition 3.3. Assume $\mathbb{A} \neq \mathbb{C}$. Let $\mathcal{Y} \subset \mathcal{X}$ be the connected component of the fixed locus \mathcal{X}^H along the section $\tau(\Delta) \subset \mathcal{X}$.

- (i) The map $\mathcal{Y} \to \Delta$ is a smooth family of projective surfaces.
- (ii) For each $t \in \Delta$, \mathcal{Y}_t is the closure of $\tilde{H}_t \cdot \tau(t)$ in \mathcal{X}_t .
- (iii) When $t \neq 0$, \mathcal{Y}_t is isomorphic to $Y(\mathbb{A})$, the blowup of \mathbb{P}^2 along three coordinate points.
- (iv) The inclusion $\mathcal{Y}_0 \subset \mathcal{X}_0$ is a smooth equivariant compactification of \mathbb{G}_a^2 .

Proof. By Białynicki-Birula's theorem on torus actions [Bia73], the map $\mathcal{Y} \to \Delta$ is a smooth family of projective varieties. For each $t \in \Delta$, the representation of $\mathcal{W}_t \simeq \mathfrak{so}_3(\mathbb{A})$ on $T_{\tau(t)}\mathcal{X}_t$ coincides with that on $\mathcal{J}_3(\mathbb{A})_0$, and the subspace $T_{\tau(t)}\mathcal{Y}_t$ is contained in the \mathbf{H}_t -eigenspace of weight zero, which is of dimension 2. It follows that dim $\mathcal{Y}_t \leq 2$. Since $\tilde{\mathcal{H}}_t \cdot \tau(t) \subset \mathcal{Y}_t$, we have dim $\mathcal{Y}_t \geq \dim \tilde{\mathcal{H}}_t \cdot \tau(t) = \dim(\tilde{\mathcal{H}}_t/\mathcal{H}_t) = 2$. Then \mathcal{Y}_t is the closure of $\tilde{\mathbf{H}}_t \cdot \tau(t)$ in \mathcal{X}_t , and it is a projective surface. This proves (i) and (ii).

By Proposition 2.7, when $t \neq 0$, the projective surface \mathcal{Y}_t is isomorphic to the closure of $T_0 \cdot o$ in $X(\mathbb{A})$. Then (iii) follows from Lemma 2.9. By the structure of \mathcal{V}_0 , $\tilde{\mathbf{H}}_0$ is the semi-direct product of the torus \mathbf{H}_0 and a vector group \mathbb{G}_a^2 . Then (iv) follows from (ii).

It follows that the \mathcal{Y}_t are quasi-homogeneous for all $t \in \Delta$. Denote by $\partial \mathcal{Y}_t$ the boundary, *i.e.* the complement of the open orbit. Let $\partial \mathcal{Y}$ be the closure of $\bigcup_{t\neq 0} \partial \mathcal{Y}_t$, and let $(\partial \mathcal{Y})_t$ be the fiber of $\partial \mathcal{Y}$ over $t \in \Delta$.

Lemma 3.4. We have $(\partial \mathcal{Y})_t = \partial \mathcal{Y}_t$ as sets for each $t \in \Delta$.

Proof. When $t \neq 0$, it is immediate from the construction that $(\partial \mathcal{Y})_t = \partial \mathcal{Y}_t$. The subvariety $(\partial \mathcal{Y})_t \subset \mathcal{Y}_t$ is stable under the vector fields in $\tilde{\mathcal{H}}_t$ for $t \neq 0$. By continuity, this is also the case for t = 0. Consequently, $(\partial \mathcal{Y})_0$ has no intersection with the open orbit $\tilde{\mathbf{H}}_t \cdot \tau(0)$ on \mathcal{Y}_0 , implying $(\partial \mathcal{Y})_0 \subset \partial \mathcal{Y}_0$ as sets.

Since $(\partial \mathcal{Y})_t = \partial \mathcal{Y}_t$ is the anticanonical divisor on \mathcal{Y}_t when $t \neq 0$, $-K_{\mathcal{Y}_0}$ is given by the divisor $(\partial \mathcal{Y})_0$ (as a scheme-theoretic divisor, so each irreducible component has a multiplicity). As \mathcal{Y}_0 is an equivariant compactification of a vector group, the support of its \mathbb{G}_a^2 -stable anticanonical divisor is the whole boundary by [HT99, Theorem 2.7]. It follows that $(\partial \mathcal{Y})_0 = \partial \mathcal{Y}_0$ as sets.

In the following, we will construct an involution that acts well on \mathcal{Y}/Δ .

Lemma 3.5. There is a direct sum decomposition of vector bundles $\mathcal{V} = \mathcal{W} \oplus \mathcal{M}$ over Δ which is a direct sum decomposition of irreducible $\mathfrak{so}_3(\mathbb{A})$ -modules for all $t \in \Delta$. Moreover, $\mathcal{W}_t \cong \mathfrak{so}_3(\mathbb{A})$ for all $t \in \Delta$, while $\mathcal{M}_t = \mathcal{J}_3(\mathbb{A})_0$ for $t \neq 0$ and $\mathcal{M}_0 = \mathbb{C}^n$ is the radical of the Lie algebra \mathcal{V}_0 , where $n = \dim X(\mathbb{A})$.

Proof. For each $t \neq 0$, $W_t \cong \mathfrak{so}_3(\mathbb{A})$ is contained in the isotropic subalgebra at $\tau(t) \in \mathcal{X}_t$, and $\mathcal{V}_t/\mathcal{W}_t$ is an irreducible representation of $\mathfrak{so}_3(\mathbb{A})$ isomorphic to the representation $T_{\tau(t)}\mathcal{X}_t$ of the isotropic subalgebra. For each $t \in \Delta$, the evaluation of vector fields at $\tau(t) \in \mathcal{X}_t$ gives rise to an injective homomorphism of \mathcal{W}_t -modules $\mathcal{V}_t/\mathcal{W}_t \to T_{\tau(t)}\mathcal{X}_t$, whence $\mathcal{V}_t/\mathcal{W}_t$ is isomorphic to the irreducible $\mathfrak{so}_3(\mathbb{A})$ -module $T_o\mathcal{X}(\mathbb{A})$ by Proposition 3.1 and by the fact that dim $\mathcal{V}_t/\mathcal{W}_t = \dim \mathcal{X}_t$. Since $\mathfrak{so}_3(\mathbb{A})$ is a simple Lie algebra, for each $t \in \Delta$, the module \mathcal{V}_t is isomorphic to $\mathfrak{so}_3(\mathbb{A}) \oplus T_o\mathcal{X}(\mathbb{A})$. Since the two direct summands $\mathfrak{so}_3(\mathbb{A})$ and $T_o\mathcal{X}(\mathbb{A})$ are irreducible modules that are not isomorphic to each other, this decomposition is unique. Then we obtain a direct sum decomposition of the holomorphic family \mathcal{V}/Δ of $\mathfrak{so}_3(\mathbb{A})$ -modules $\mathcal{V} = \mathcal{W} \oplus \mathcal{M}$. When $t \neq 0$, the identification $\mathcal{V}_t = \mathfrak{sl}_3(\mathbb{A})$ gives rise to the identification $\mathcal{M}_t = \mathcal{J}_3(\mathbb{A})_0$. When t = 0, $\mathcal{V}_0 \simeq \mathbb{C}^n \rtimes \mathfrak{so}_3(\mathbb{A})$ is already a decomposition into irreducible $\mathfrak{so}_3(\mathbb{A})$ -modules. By the uniqueness of the decomposition, $\mathcal{M}_0 = \mathbb{C}^n$ is the radical of \mathcal{V}_0 .

For each $t \in \Delta$, define $\xi_t(\phi) = \phi$ for $\phi \in W_t$ and $\xi_t(\phi) = -\phi$ for $\phi \in \mathcal{M}_t$. This gives an automorphism ξ of the vector bundle \mathcal{V}/Δ of order 2. When $t \neq 0$, ξ_t is nothing but the involution $\theta \in \operatorname{Aut}(\mathfrak{so}_3(\mathbb{A}))$.

Proposition 3.6.

- (i) The element $\xi \in \operatorname{Aut}(\mathcal{V}/\Delta)$ induces an involution Θ of \mathcal{X}/Δ .
- (ii) We have $\tau(\Delta) \subset \mathcal{X}^{\Theta}$ and $\Theta_t = \theta$ for $t \neq 0$.
- (iii) For each $t \in \Delta$, the induced map $(\Theta_t)_* \in \operatorname{GL}(T_{\tau(t)}\mathcal{X}_t)$ is just -1.
- (iv) For each $t \in \Delta$, $\Theta_t(\mathcal{Y}_t) = \mathcal{Y}_t$ and $\Theta_t(\partial \mathcal{Y}_t) = \partial \mathcal{Y}_t$.

Proof. (i) Take any $t \in \Delta$. Let G_t be the connected algebraic subgroup of $\operatorname{Aut}^0(\mathcal{X}_t)$ with Lie algebra $\mathcal{V}_t \subset \operatorname{aut}(\mathcal{X}_t)$, and denote by $H_t \subset G_t$ the isotropic subgroup at $\tau(t) \in \mathcal{X}_t$. Then $\mathcal{X}_t^o := G_t \cdot \tau(t) \cong G_t/H_t$

is the open orbit of \mathcal{X}_t . Recall that $\mathcal{W}_t = \{\phi \in \mathcal{V}_t \mid \xi(\phi) = \phi\}$. Then ξ induces a biholomorphic map $\Theta : \mathcal{X}^o := \bigcup_{t \in \Delta} \mathcal{X}_t^o \to \mathcal{X}^o$ over Δ , and $\Theta \circ \Theta = \text{id}$.

When $t \neq 0$, $\Theta_t|_{\mathcal{X}_t^o} = \Theta|_{\mathrm{SL}_3(\mathbb{A})/\mathrm{SO}_3(\mathbb{A})}$, and thus $d\Theta_t$ preserves the VMRT of \mathcal{X}_t^o . By continuity, $d\Theta_0$ preserves the VMRT of \mathcal{X}_0^o . By the extension theorem of Cartan–Fubini type [HM01, Main Theorem], we can extend Θ_0 to a biholomorphic map $\mathcal{X}_0 \to \mathcal{X}_0$. When $t \neq 0$, we have identifications $\mathcal{X}_t^o = \mathrm{SL}_3(\mathbb{A})/\mathrm{SO}_3(\mathbb{A})$ and $\Theta_t = \theta$. Then (ii) and (iii) follow.

(iv) Note that $\mathcal{H} \subset \mathcal{W}$ and $\mathcal{H} \subset \mathcal{W}$ are ξ -stable. Then the open orbit of \mathcal{Y}_t , $t \in \Delta$, is Θ_t -stable. Hence the closure \mathcal{Y}_t and the boundary $\partial \mathcal{Y}_t$ is Θ_t -stable.

3.3. The central fiber as a blowup of \mathbb{P}^2

Recall that general fibers of the smooth family \mathcal{Y}/Δ of rational projective surfaces are of Picard number 4, as is the special fiber \mathcal{Y}_0 . As \mathcal{Y}_0 is an equivariant compactification of a vector group, its boundary is of pure codimension 1 and spans $\operatorname{Pic}(\mathcal{Y}_0)$ freely. In particular, $\partial \mathcal{Y}_0$ has four irreducible components, say F_0 , F_1 , F_2 and F_3 ; thus $\operatorname{Pic}(\mathcal{Y}_0) = \bigoplus_{i=0}^3 \mathbb{Z}[F_i]$.

Denote by \mathcal{D}_i (resp. \mathcal{E}_j) the prime divisor on \mathcal{Y}/Δ such that $\mathcal{D}_{i,t} = D_i$ (resp. $\mathcal{E}_{j,t} = E_j$) under the identification $\mathcal{Y}_t = Y(\mathbb{A})$ for $t \neq 0$. By Lemma 3.4, the divisors $\mathcal{D}_{i,0}$ and $\mathcal{E}_{j,0}$ of \mathcal{Y}_0 lie in the boundary. We will find out what $\mathcal{D}_{i,0}$ and $\mathcal{E}_{j,0}$ are in the following.

There is an SO₃(\mathbb{A})-action on the family \mathcal{X}/Δ that is isotropic along the section $\tau(\Delta)$, and the associated Lie algebra is $\mathcal{W}_t \cong \mathfrak{so}_3(\mathbb{A})$ for each $t \in \Delta$.

Lemma 3.7. For each $t \in \Delta$, the subvariety $\mathcal{Y}_t \subset \mathcal{X}_t$ as well as the boundary $\partial \mathcal{Y}_t$ are stable under the action of $\mathfrak{S}_3 \subset SO_3(\mathbb{A})$. Furthermore, $\sigma \cdot \mathcal{D}_i = \mathcal{D}_{\sigma(i)}$ and $\sigma \cdot \mathcal{E}_j = \mathcal{E}_{\sigma(j)}$ for $\sigma \in \mathfrak{S}_3$ and $1 \leq i, j \leq 3$.

Proof. By Proposition 2.10, \mathcal{Y}_t and $\partial \mathcal{Y}_t$ are \mathfrak{S}_3 -stable for $t \neq 0$. By continuity, so are \mathcal{Y}_0 and $\partial \mathcal{Y}_0$. Since $\sigma \cdot \mathcal{D}_{i,t} = \mathcal{D}_{\sigma(i),t}$ and $\sigma \cdot \mathcal{E}_{j,t} = \mathcal{E}_{\sigma(j),t}$ for $t \neq 0$, we have $\sigma \cdot \mathcal{D}_i = \mathcal{D}_{\sigma(i)}$ and $\sigma \cdot \mathcal{E}_j = \mathcal{E}_{\sigma(j)}$.

Proposition 3.8. The four irreducible components of $\partial \mathcal{Y}_0$ can be denoted by F_0 , F_1 , F_2 , F_3 such that $\sigma(F_0) = F_0$ and $\sigma(F_i) = F_{\sigma(i)}$, where $\sigma \in \mathfrak{S}_3$ and i = 1, 2, 3.

Proof. For any $t \in \Delta$, the restriction map $\operatorname{Pic}(\mathcal{Y}/\Delta) \to \operatorname{Pic}(\mathcal{Y}_t)$ is an isomorphism which is compatible with the \mathfrak{S}_3 -action. Then $\operatorname{Pic}(\mathcal{Y}_0)^{\Gamma} \simeq \operatorname{Pic}(\mathcal{Y}_t)^{\Gamma}$ for any subgroup Γ of \mathfrak{S}_3 and any $t \neq 0$. In particular, $\operatorname{Pic}(\mathcal{Y}_0)^{\sigma_{12}} \simeq \operatorname{Pic}(\mathcal{Y}_t)^{\sigma_{12}}$ is of rank 3 by Proposition 2.13.

Let $S := \{F_0, F_1, F_2, F_3\}$ be the set of irreducible components of $\partial \mathcal{Y}_0$. By [FL20, Lemma 4.16], the rank of $\text{Pic}(\mathcal{Y}_0)^{\sigma_{12}}$ is given by the number of σ_{12} -orbits on S. Hence S consists of three orbits under the σ_{12} -action; we may assume they are $\{F_1, F_2\}, \{F_3\}$ and $\{F_0\}$.

Applying the action of σ_{23} on \mathcal{Y}/Δ , by a similar argument there exists a subset $\mathcal{T} \subset S$ such that \mathcal{T} consists of two elements permuted by σ_{23} and each element of the set $S \setminus \mathcal{T}$ is σ_{23} -stable.

We claim that $\mathcal{T} \cap \{F_1, F_2\}$ consists of a unique element. Otherwise, either $\mathcal{T} = \{F_1, F_2\}$ or $\mathcal{T} = \{F_0, F_3\}$. In both cases, the action of $\sigma_{123} = \sigma_{12} \circ \sigma_{23}$ on \mathcal{S} is of order at most 2, and thus $\sigma_{321} = \sigma_{123} \circ \sigma_{123}$ acts trivially on \mathcal{S} . It follows that $\operatorname{Pic}(\mathcal{Y}_0)^{\sigma_{321}} \simeq \operatorname{Pic}(\mathcal{Y}_0)$ is of rank 4. However, $\operatorname{Pic}(\mathcal{Y}_0)^{\sigma_{321}} \simeq \operatorname{Pic}(\mathcal{Y}_t)^{\sigma_{321}}$ is of rank 2 by Proposition 2.13, which gives a contradiction.

By the claim above, we may assume $\mathcal{T} = \{F_2, F_3\}$ up to re-ordering. It follows that $\sigma(F_0) = F_0$ and $\sigma(F_i) = F_{\sigma(i)}, i = 1, 2, 3$, for any $\sigma \in \mathfrak{S}_3$.

Lemma 3.9. There are non-negative integers d_0 , d_1 , d_2 and e_0 , e_1 , e_2 such that for $\sigma \in \mathfrak{S}_3$,

$$\mathcal{D}_{1,0} = d_0 F_0 + d_1 F_1 + d_2 F_2 + d_2 F_3, \quad \mathcal{D}_{\sigma(1),0} = d_0 F_0 + d_1 F_{\sigma(1)} + d_2 F_{\sigma(2)} + d_2 F_{\sigma(3)}, \\ \mathcal{E}_{1,0} = e_0 F_0 + e_1 F_1 + e_2 F_2 + e_2 F_3, \quad \mathcal{E}_{\sigma(1),0} = e_0 F_0 + e_1 F_{\sigma(1)} + e_2 F_{\sigma(2)} + e_2 F_{\sigma(3)}.$$

Proof. Since $\mathcal{D}_{i,t}$ and $\mathcal{E}_{i,t}$ are contained in $\partial \mathcal{Y}_t$ when $t \neq 0$, the same holds when t = 0. Then there exist non-negative integers d_0 , d_1 , d_2 , d_3 such that $\mathcal{D}_{1,0} = d_0F_0 + d_1F_1 + d_2F_2 + d_3F_3$. For each $\sigma \in \mathfrak{S}_3$,

we have $\mathcal{D}_{\sigma(1),0} = d_0F_0 + d_1F_{\sigma(1)} + d_2F_{\sigma(2)} + d_3F_{\sigma(3)}$. Applying the formula to $\sigma = \sigma_{23}$, we have $\mathcal{D}_{1,0} = d_0F_0 + d_1F_1 + d_2F_3 + d_3F_2$, implying $d_2 = d_3$. The conclusions for $\mathcal{E}_{1,0}$ and $\mathcal{E}_{\sigma(1),0}$ can be obtained similarly.

Now we compute the anticanonical divisor of the central fiber \mathcal{Y}_0 .

Corollary 3.10. We have $-K_{\mathcal{Y}_0} = 3(d_0 + e_0)F_0 + (d_1 + 2d_2 + e_1 + 2e_2)(\sum_{i=1}^3 F_i)$. Moreover, $d_0 + e_0 \ge 1$ and $d_1 + e_2 = d_2 + e_1 \ge 1$.

Proof. Since $-K_{Y(\mathbb{A})} = \sum_{i=1}^{3} (D_i + E_i)$, we have $-K_{Y/\Delta} = \sum_{i=1}^{3} (\mathcal{D}_i + \mathcal{E}_i)$. It follows that $-K_{Y_0} = 3(d_0 + e_0)F_0 + (d_1 + 2d_2 + e_1 + 2e_2)\sum_{i=1}^{3} F_i$.

When $t \neq 0$, we have $\mathcal{D}_{1,t} - \mathcal{D}_{2,t} = \mathcal{E}_{1,t} - \mathcal{E}_{2,t} \in \operatorname{Pic}(\mathcal{Y}_t) = \operatorname{Pic}(Y(\mathbb{A}))$ by Lemma 2.12. Then the same holds for t = 0 by applying the identifications $\operatorname{Pic}(\mathcal{Y}_0) = \operatorname{Pic}(\mathcal{Y}/\Delta) = \operatorname{Pic}(Y(\mathbb{A}))$. On the other hand, by Lemma 3.9, we have $\mathcal{D}_{1,0} - \mathcal{D}_{\sigma_{12}(1),0} = (d_1 - d_2)(F_1 - F_2)$ and $\mathcal{E}_{1,0} - \mathcal{E}_{\sigma_{12}(1),0} = (e_1 - e_2)(F_1 - F_2)$. It follows that $d_1 - d_2 = e_1 - e_2$, *i.e.* $d_1 + e_2 = d_2 + e_1$.

By [HT99, Theorem 2.7], the support of $-K_{\mathcal{Y}_0}$ is the whole boundary, which implies $d_0 + e_0 \ge 1$ and $d_1 + e_2 = d_2 + e_1 \ge 1$.

To prove that \mathcal{Y}_0 is the blowup of \mathbb{P}^2 along three colinear points, we start with the following.

Proposition 3.11 (cf. [HT99, Section 5]). Every \mathbb{G}_a^2 -surface admits a \mathbb{G}_a^2 -equivariant morphism onto \mathbb{P}^2 or a Hirzebruch surface \mathbb{F}_n . The boundary of \mathbb{P}^2 consists of a unique line. The boundary of \mathbb{F}_n consists of two lines; one is a fiber, and the other is a minimal section.

Proposition 3.12. The central fiber \mathcal{Y}_0 is a \mathbb{G}_a^2 -equivariant blowup of \mathbb{P}^2 , \mathbb{F}_0 or \mathbb{F}_1 .

Proof. Suppose there is a \mathbb{G}_a^2 -equivariant blowdown $\mathcal{Y}_0 \to \mathbb{F}_n$ with $n \ge 2$. Let l_1 and l_2 be two lines of \mathbb{F}_n such that $\mathbb{F}_n \setminus \mathbb{C}^2 = l_1 \cup l_2$, where l_1 is the section of $\mathbb{F}_n \to \mathbb{P}^1$ and l_2 is a fiber of $\mathbb{F}_n \to \mathbb{P}^1$. Then the anticanonical divisor of \mathbb{F}_n is given by $-K_{\mathbb{F}_n} = 2l_2 + (n+2)l_1$.



Since $n \ge 2$, the blowup of \mathbb{F}_n along any point on $l_1 \cup l_2$ would yield a surface *S* and an exceptional divisor *E* such that $-K_S = al_1 + bl_2 + cE$, where *a*, *b*, *c* are distinct positive integers.

Any further blowup of *S* will produce a surface \hat{S} whose anticanonical divisor $-K_{\tilde{S}}$ has at least three distinct coefficients, which is different from the form $-K_{\mathcal{Y}_0} = aF_0 + b(F_1 + F_2 + F_3)$. Hence by Proposition 3.11, \mathcal{Y}_0 is the \mathbb{G}_a^2 -equivariant blowup of \mathbb{P}^2 , \mathbb{F}_0 or \mathbb{F}_1 .

Proposition 3.13. There is a \mathbb{G}_a^2 -equivariant birational morphism $\Phi: \mathcal{Y}_0 \to \mathbb{P}^2$ such that $l_0 = \Phi(F_0)$ is the boundary $\partial \mathbb{P}^2 = \mathbb{P}^2 \setminus \mathbb{C}^2$ and $p_i = \Phi(F_i)$, $1 \le i \le 3$, are three distinct points on l_0 . Moreover, $-K_{\mathcal{Y}_0} = 3F_0 + 2(F_1 + F_2 + F_3)$, and we have

- (i) either $\mathcal{D}_{i,0} = F_0 + F_i$, $1 \le i \le 3$, and $\mathcal{E}_{j,0} = F_j$, $1 \le j \le 3$,
- (ii) or $\mathcal{D}_{i,0} = F_i$, $1 \le i \le 3$, and $\mathcal{E}_{j,0} = F_0 + F_j$, $1 \le j \le 3$.

Proof. In the following, we will apply the similar idea of comparing coefficients of $-K_{\mathcal{Y}_0} = aF_0 + b(F_1 + F_2 + F_3)$ and \mathbb{G}_a^2 -equivariant blowups of \mathbb{P}^2 , $\mathbb{F}_0 = \mathbb{P}^1 \times \mathbb{P}^1$ and \mathbb{F}_1 .

Case 1: blow up from \mathbb{P}^2 . There is only one possibility of blowing up \mathbb{P}^2 to get \mathcal{Y}_0 , that is, blow up three distinct points on $l_0 = \mathbb{P}^2 \setminus \mathbb{C}^2$.



Case 2: blow up from $\mathbb{P}^1 \times \mathbb{P}^1$. There is only one possibility of blowing up $\mathbb{F}_0 = \mathbb{P}^1 \times \mathbb{P}^1$ to get \mathcal{Y}_0 , as follows, where $l_1 \cup l_2 = \mathbb{F}_0 \setminus \mathbb{C}^2$, l_3 is the exceptional divisor of the first blowup of the point $p_1 \in l_1 \cap l_2$, and l_4 is the exceptional divisor of the second blowup of a point $p_2 \in l_3 \setminus (l_1 \cup l_2)$.



Case 3: blow up from \mathbb{F}_1 . There is only one possibility of blowing up \mathbb{F}_1 to get \mathcal{Y}_0 , as follows, where $l_1 \cup l_2 = \mathbb{F}_1 \setminus \mathbb{C}^2$ such that $-K_{\mathbb{F}_1} = 3l_1 + 2l_2$, l_3 is the exceptional divisor of the first blowup of a point $p_1 \in l_1 \setminus l_2$, and l_4 is the exceptional divisor of the second blowup of a point $p_2 \in l_1 \setminus (l_2 \cup l_3)$.



All three cases above yield the same \mathcal{Y}_0 , which is the blowup of \mathbb{P}^2 along three colinear points. Hence, $-K_{\mathcal{Y}_0} = 3F_0 + 2(F_1 + F_2 + F_3)$. By Corollary 3.10, we have

$$d_0 + e_0 = d_1 + e_2 = d_2 + e_1 = 1$$
 and $d_2 + e_2 = 0$

Indeed, by comparing the coefficients of

$$-K_{\mathcal{Y}_0} = 3F_0 + 2(F_1 + F_2 + F_3) = 3(d_0 + e_0)F_0 + (d_1 + 2d_2 + e_1 + 2e_2)(F_1 + F_2 + F_3)$$

we get $d_0 + e_0 = 1$ and $d_1 + 2d_2 + e_1 + 2e_2 = (d_1 + e_2) + (d_2 + e_1) + (d_2 + e_2) = 2$. By Corollary 3.10, d_i, e_j , $0 \le i, j \le 2$, are all non-negative and $d_1 + e_2 = d_2 + e_1 \ge 1$. So we have $d_0 + e_0 = d_1 + e_2 = d_2 + e_1 = 1$ and $d_2 + e_2 = 0$. Thus

either
$$\begin{cases} d_0 = 1 \\ d_1 = 1 \\ d_2 = 0 \\ e_0 = 0 \\ e_1 = 1 \\ e_2 = 0 \end{cases} \text{ or } \begin{cases} d_0 = 0 \\ d_1 = 1 \\ d_2 = 0 \\ e_0 = 1 \\ e_1 = 1 \\ e_2 = 0. \end{cases}$$

Remark 3.14. By choosing a family of three points in general position on \mathbb{P}^2 degenerating to three colinear points, we can construct a smooth projective family \mathcal{Z}/Δ such that $\mathcal{Z}_t \simeq Y(\mathbb{A})$ for each $t \neq 0$ while \mathcal{Z}_0 is a blowup of \mathbb{P}^2 along three colinear points. But in our situation, we have an extra involution Θ which prevents this situation.

Now we are ready to prove Theorem 1.2.

Proof of Theorem 1.2. The case of $\mathbb{A} = \mathbb{C}$ follows from the classification of Mukai varieties. Now assume $\mathbb{A} \neq \mathbb{C}$.

Take $\mathcal{X} \to \Delta$ to be a specialization of $X(\mathbb{A})$. Assume that \mathcal{X}_0 is not isomorphic to $X(\mathbb{A})$. By Proposition 3.2, \mathcal{X}_0 is an equivariant compactification of \mathbb{G}_a^n . By Proposition 3.3, we have a smooth family of surfaces $\mathcal{Y} \to \Delta$ with the central fiber \mathcal{Y}_0 being a \mathbb{G}_a^2 -surface. By Proposition 3.13, we may assume $\mathcal{D}_{i,0} = F_0 + F_i$, $1 \le i \le 3$, and $\mathcal{E}_{j,0} = F_j$, $1 \le j \le 3$ (the proof for the other case is similar). By Lemma 2.11 and Proposition 3.6, the involution Θ satisfies $\Theta(\mathcal{D}_i) = \mathcal{E}_i$ and $\Theta(\mathcal{E}_i) = \mathcal{D}_i$. It follows that $\Theta_0(F_0 + F_i) = F_i$ and $\Theta_0(F_i) = F_0 + F_i$. Consider the Mori cone $\overline{NE}(\mathcal{Y}_0)$, which is the numerical effective cone of curves of \mathcal{Y}_0 . Since each F_i has negative self intersection, each F_i spans an extremal ray of $\overline{NE}(\mathcal{Y}_0)$. Then $F_0 + F_i$ is an interior point of a 2-dimensional extremal face of $\overline{NE}(\mathcal{Y}_0)$. Since Θ_0 induces an isomorphism of the Mori cone $\overline{NE}(\mathcal{Y}_0)$,

it cannot send the extremal ray of F_i to the non-extremal ray of $F_0 + F_i$. This contradiction shows that $\mathcal{X}_0 \simeq X(\mathbb{A})$.

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