

# A proof of generic Green's conjecture in odd genus

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**Abstract.** In this note, we give a new proof of Voisin's theorem on canonical syzygies for generic curves of odd genus.

**Keywords.** Curves, syzygies, Green's conjecture

**2020 Mathematics Subject Classification.** 14H99

arXiv:2502.09735v4 [math.AG] 10 Dec 2025

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Received by the Editors on March 6, 2025, and in revised form on June 24, 2025.

Accepted on July 28, 2025.

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The author was supported by NSF grant DMS-2100782.

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## 1. Introduction

Our goal is to give a proof of Voisin’s theorem in [Voi05] resembling [Kem21, Sections 1 and 2]. Green’s conjecture on the syzygies of a canonical curve, see [Gre84], is one of the central conjectures on the syzygies of projective varieties. It was first proven for a generic curve by Voisin. This proof, using the geometry of  $K3$  surfaces intimately, is a landmark result; however, it is somewhat long and complicated. Alternate proofs have been offered recently, including an approach using the tangent developable, see [AFP<sup>+</sup>19], which is very different to the approach we take here. Our approach here is rather formal and only uses the geometry of  $K3$  surfaces for the first few steps to set up the problem, leading one to hope that it generalizes to new situations.

## Acknowledgments

I thank the referee for a careful reading.

## 2. The proof

The main idea is that while, in the notation below, the local complete intersection scheme  $\mathcal{Z}$  is not finite over  $\mathbf{P}$ ,  $\widetilde{\mathcal{Z}}$  is finite over  $\mathbf{P}_2$ .

Let  $X$  denote a  $K3$  surface with  $\text{Pic}(X)$  generated by a big and nef line bundle  $L'$  with  $(L')^2 = 4k + 2$  together with a smooth rational curve  $\Delta$  with  $(L' \cdot \Delta) = 0$  for  $k \geq 4$ ; so we need a different  $K3$  surface for each integer  $k$ .<sup>(1)</sup> Set  $L := L'(-\Delta)$ . Then  $L$  and  $L'$  are base-point-free, with  $H^1(X, L) = H^1(X, L') = 0$ ; see [Kem21, Lemma 6]. We use the *kernel bundle* approach, see [EL12, Section 3], and so need to show  $H^1(\bigwedge^k M_L(L)) = 0$ . We have the exact sequence

$$0 \longrightarrow \bigwedge^{k+1} M_L(L') \longrightarrow \bigwedge^{k+1} M_{L'}(L') \longrightarrow \bigwedge^k M_L(L) \longrightarrow 0,$$

where  $M_L, M_{L'}$  are the kernel bundles in the notation of [AN10, Section 2.1]. Duality gives  $H^2(\bigwedge^{k+1} M_L(L')) \simeq H^0(\bigwedge^k M_L(-\Delta)) \subseteq \bigwedge^k H^0(L) \otimes H^0(\mathcal{O}(-\Delta)) = 0$ . So  $H^1(\bigwedge^k M_L(L)) = 0$  if the map  $H^1(\bigwedge^{k+1} M_L(L')) \rightarrow H^1(\bigwedge^{k+1} M_{L'}(L'))$ , induced from  $M_L \subseteq M_{L'}$ , is surjective.

Contract  $\Delta$  via a map  $\mu: X \rightarrow \hat{X}$ , where  $\hat{X}$  is a nodal  $K3$  surface. We have a line bundle  $\hat{L}$  on  $\hat{X}$  with  $\mu^* \hat{L} \simeq L'$  and the rank two bundle  $\hat{E}$  on  $\hat{X}$ , with  $h^0(\hat{X}, \hat{E}) = k + 3$  and  $\det \hat{E} = \hat{L}$ , defined as the Lazarsfeld–Mukai bundle induced by a general  $g_{k+2}^1$  on  $C \in |\hat{L}|$ . Set  $E$  to be the vector bundle  $\mu^* \hat{E}$  on  $X$ . Consider  $E(-\Delta)$ , a globally generated bundle with  $h^0(X, E(-\Delta)) = k + 1$ ; see [Kem21, Section 3]. Then  $H^0(X, E) \simeq H^0(\hat{X}, \hat{E})$ . Set  $\mathbf{P} := \mathbf{P}(H^0(X, E)) := \text{Proj}(H^0(X, E)^\vee) \simeq \mathbf{P}^{k+2}$ . Let  $p: X \times \mathbf{P} \rightarrow X$  and  $q: X \times \mathbf{P} \rightarrow \mathbf{P}$  denote the projections. Let  $\mathcal{Z} \subseteq X \times \mathbf{P}$  be the locus  $\{(x, s) \mid s(x) = 0\} \subseteq X \times \mathbf{P}$ , which is a projective bundle

<sup>(1)</sup>There is a typo in [Kem21, Section 3], where it is written  $(L')^2 = 2k + 2$ .

over  $X$ , and thus irreducible. We have  $\dim \mathcal{Z} = k + 2$ , and  $q|_{\mathcal{Z}}$  is generically finite but has one-dimensional fibres over  $\mathbf{P}(\mathrm{H}^0(X, E(-\Delta)))$ . Further,  $\mathcal{Z}$  has codimension two in  $X \times \mathbf{P}$ , and we have an exact sequence

$$0 \longrightarrow \mathcal{O}_X \boxtimes \mathcal{O}_{\mathbf{P}}(-2) \xrightarrow{\mathrm{id}} E \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \longrightarrow p^*L' \otimes I_{\mathcal{Z}} \longrightarrow 0,$$

which is a Koszul complex, where  $\mathrm{id}$  is given by multiplication by  $\mathrm{id}$  in

$$\mathrm{H}^0(E \boxtimes \mathcal{O}_{\mathbf{P}}(1)) \simeq \mathrm{H}^0(E) \otimes \mathrm{H}^0(E)^\vee.$$

Set  $\mathbf{P}_2 := \mathbf{P}(\mathrm{H}^0(\hat{X}, \hat{E}))$ . Then  $\mu_*E = \mu_*\mu^*\hat{E} \simeq \hat{E}$ , and we have an isomorphism  $i: \mathbf{P} \rightarrow \mathbf{P}_2$ . Let  $\hat{\mathcal{Z}} \subseteq \hat{X} \times \mathbf{P}_2$  be the codimension two locus  $\{(x, s) \mid s(x) = 0\} \subseteq \hat{X} \times \mathbf{P}(\mathrm{H}^0(\hat{X}, \hat{E}))$ , which is an lci in a Cohen–Macaulay scheme and hence a Cohen–Macaulay scheme; see [Mat70, p. 112].

We have an exact sequence  $0 \rightarrow \mathcal{O}_{\hat{X}} \boxtimes \mathcal{O}_{\mathbf{P}_2}(-2) \xrightarrow{\mathrm{id}} \hat{E} \boxtimes \mathcal{O}_{\mathbf{P}_2}(-1) \rightarrow \hat{p}^*\hat{L} \otimes I_{\hat{\mathcal{Z}}} \rightarrow 0$ , where  $\hat{p}: \hat{X} \times \mathbf{P}_2 \rightarrow \hat{X}$  and  $\hat{q}: \hat{X} \times \mathbf{P}_2 \rightarrow \mathbf{P}_2$  denote the projections. We let

$$\tau := \mu \times i: X \times \mathbf{P} \longrightarrow \hat{X} \times \mathbf{P}_2.$$

Let  $v$  be the node of  $\hat{X}$ .

**Lemma 2.1.** *We have  $\tau^*I_{\hat{\mathcal{Z}}} \simeq I_{\mathcal{Z}}$ ,  $\tau_*I_{\mathcal{Z}} \simeq I_{\hat{\mathcal{Z}}}$  and  $\tau_*(I_{\mathcal{Z}} \otimes p^*\mathcal{O}_X(-\Delta)) \simeq I_{\hat{\mathcal{Z}}} \otimes \hat{p}^*I_v$ .*

*Proof.* We have the two exact sequences

$$\begin{aligned} 0 \longrightarrow \mathcal{O}_X \boxtimes \mathcal{O}_{\mathbf{P}}(-2) &\xrightarrow{\mathrm{id}} E \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \longrightarrow p^*L' \otimes I_{\mathcal{Z}} \longrightarrow 0 \quad \text{and} \\ 0 \longrightarrow \mathcal{O}_{\hat{X}} \boxtimes \mathcal{O}_{\mathbf{P}_2}(-2) &\xrightarrow{\mathrm{id}} \hat{E} \boxtimes \mathcal{O}_{\mathbf{P}_2}(-1) \longrightarrow \hat{p}^*\hat{L} \otimes I_{\hat{\mathcal{Z}}} \longrightarrow 0. \end{aligned}$$

Now  $\mu_*\mathcal{O}_X \simeq \mathcal{O}_{\hat{X}}$ ,  $R^i\mu_*\mathcal{O}_X \simeq \mathcal{O}_{\hat{X}} = 0$  for  $i > 0$ ,  $\mu^*\hat{E} \simeq E$  and  $\mu_*E \simeq \hat{E}$ . So the first two claims follow by applying  $\tau^*$  or  $\tau_*$  to the two exact sequences. For the third statement, we have the exact sequence

$$0 \longrightarrow \mathcal{O}_X(-\Delta) \boxtimes \mathcal{O}_{\mathbf{P}}(-2) \xrightarrow{\mathrm{id}} E(-\Delta) \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \longrightarrow p^*L'(-\Delta) \otimes I_{\mathcal{Z}} \longrightarrow 0.$$

We may view  $\tau$  as the blow-up of  $\hat{X} \times \mathbf{P}_2$  in the singularity  $v \times \mathbf{P}_2$ . The claim then follows by applying  $\tau_*$ .  $\square$

The following lemma is important for us.

**Lemma 2.2.** *The sheaves  $q^*q_*(p^*L \otimes I_{\mathcal{Z}})$  and  $q^*q_*(p^*L' \otimes I_{\mathcal{Z}})$  are locally free on  $X \times \mathbf{P}$ . Further, the natural morphisms  $q^*q_*(p^*L \otimes I_{\mathcal{Z}}) \rightarrow p^*L \otimes I_{\mathcal{Z}}$  and  $q^*q_*(p^*L' \otimes I_{\mathcal{Z}}) \rightarrow p^*L' \otimes I_{\mathcal{Z}}$  are surjective.*

*Proof.* We have

$$i_*q_*(p^*L' \otimes I_{\mathcal{Z}}) \simeq \hat{q}_*\tau_*(p^*L' \otimes I_{\mathcal{Z}}) \simeq \hat{q}_*(\hat{p}^*\hat{L} \otimes \tau_*I_{\mathcal{Z}}) \simeq \hat{q}_*(\hat{p}^*\hat{L} \otimes I_{\hat{\mathcal{Z}}})$$

by Lemma 2.1. By miracle flatness  $I_{\hat{\mathcal{Z}}}$  is flat over  $\mathbf{P}_2$ . We have the exact sequence

$$0 \longrightarrow \mathcal{O}_{\hat{X}} \xrightarrow{s} \hat{E} \longrightarrow \hat{L} \otimes I_{\mathcal{Z}(s)} \longrightarrow 0,$$

and  $\mathrm{H}^1(\mathcal{O}_{\hat{X}}) = 0$ ,  $h^0(\hat{E}) = k + 3$ , so  $h^0(\hat{X}, \hat{L} \otimes I_{\mathcal{Z}(s)}) = k + 2$  for any  $s \neq 0$ , so  $q^*q_*(p^*L' \otimes I_{\mathcal{Z}})$  is locally free. Next,

$$i_*q_*(p^*(L'(-\Delta)) \otimes I_{\mathcal{Z}}) \simeq \hat{q}_*\tau_*(p^*(L'(-\Delta)) \otimes I_{\mathcal{Z}}) \simeq \hat{q}_*(\hat{p}^*\hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^*I_v).$$

We have the exact sequence  $0 \rightarrow I_v \xrightarrow{s} \hat{E} \otimes I_v \rightarrow \hat{L} \otimes I_{\mathcal{Z}(s)} \otimes I_v \rightarrow 0$ . Now  $I_v \simeq \mu_*\mathcal{O}_X(-\Delta)$ ,  $R^j\mu_*\mathcal{O}_X(-\Delta) = 0$  for  $j > 0$  and  $\hat{E} \otimes I_v \simeq \mu_*(E(-\Delta))$ , so  $h^0(I_v) = h^1(I_v) = h^1(\mathcal{O}_X(-\Delta)) = 0$  and  $h^0(\hat{X}, \hat{L} \otimes I_{\mathcal{Z}(s)} \otimes I_v) = h^0(X, E(-\Delta)) = k + 1$ , using [Kem21, Lemma 10] and the fact that  $q^*q_*(p^*L \otimes I_{\mathcal{Z}})$  is locally free. Next, we show that

$$q^*q_*(p^*L' \otimes I_{\mathcal{Z}}) \longrightarrow p^*L' \otimes I_{\mathcal{Z}}$$

is surjective. We have a natural map  $H^0(E) \otimes q^* \mathcal{O}_{\mathbf{P}}(-1) \rightarrow q^* q_*(p^* L' \otimes I_{\mathcal{Z}})$  and a surjection  $E \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \rightarrow p^* L' \otimes I_{\mathcal{Z}}$ . The evaluation map  $H^0(E) \otimes q^* \mathcal{O}_{\mathbf{P}}(-1) \rightarrow E \otimes q^* \mathcal{O}_{\mathbf{P}}(-1)$  is surjective because  $E$  is globally generated. We have a commutative diagram

$$\begin{array}{ccc} H^0(E) \otimes q^* \mathcal{O}_{\mathbf{P}}(-1) & \twoheadrightarrow & E \otimes q^* \mathcal{O}_{\mathbf{P}}(-1) \\ \downarrow & & \downarrow \\ q^* q_*(p^* L' \otimes I_{\mathcal{Z}}) & \longrightarrow & p^* L' \otimes I_{\mathcal{Z}}, \end{array}$$

so the lower horizontal map must be surjective. We can show similarly that the map

$$q^* q_*(p^* L \otimes I_{\mathcal{Z}}) \rightarrow p^* L \otimes I_{\mathcal{Z}}$$

is surjective. Indeed, we have a natural map

$$H^0(E(-\Delta)) \otimes q^* \mathcal{O}_{\mathbf{P}}(-1) \rightarrow q^* q_*(p^* L \otimes I_{\mathcal{Z}})$$

and a natural surjection  $E(-\Delta) \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \hookrightarrow p^* L'(-\Delta) \otimes I_{\mathcal{Z}} = p^* L \otimes I_{\mathcal{Z}}$ . The evaluation map from the group  $H^0(E(-\Delta)) \otimes q^* \mathcal{O}_{\mathbf{P}}(-1)$  to  $E(-\Delta) \otimes q^* \mathcal{O}_{\mathbf{P}}(-1)$  is surjective because  $E(-\Delta)$  is globally generated; see [Kem21, Lemma 10].  $\square$

To proceed, we first need a lemma.

**Lemma 2.3.** *The sheaves  $R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}})$  and  $R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v)$  on  $\mathbf{P}_2$  are line bundles.*

*Proof.* We have the exact sequence

$$0 \rightarrow \mathcal{O}_{\hat{X}} \xrightarrow{t} \hat{E} \rightarrow \hat{L} \otimes I_{\mathcal{Z}(t)} \rightarrow 0$$

for  $t \neq 0 \in H^0(\hat{E})$ . Since  $H^1(\hat{E}) = H^2(\hat{E}) = 0$ , this gives  $h^1(\hat{X}, \hat{L} \otimes I_{\mathcal{Z}(t)}) = h^2(\mathcal{O}_{\hat{X}}) = 1$ , so  $R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}})$  is a line bundle by Grauert's theorem. To study  $R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v)$ , we use Grauert's theorem plus the exact sequence

$$0 \rightarrow I_v \xrightarrow{s} \hat{E} \otimes I_v \rightarrow \hat{L} \otimes I_{\mathcal{Z}(s)} \otimes I_v \rightarrow 0$$

of sheaves on  $\hat{X}$ , for  $s \neq 0 \in H^0(\hat{E})$ . Note that the finite map  $\hat{\mathcal{Z}} \rightarrow \mathbf{P}_2$  is flat by miracle flatness. Now we claim  $H^i(\hat{X}, \hat{E} \otimes I_v) = 0$  for  $i = 1, 2$ . Indeed,  $\mu_* \mathcal{O}_X(-\Delta) \simeq I_v$  and  $R^i \mu_* \mathcal{O}_X(-\Delta) = 0$  for  $i > 0$ . Thus,  $R^i \mu_*(E(-\Delta)) \simeq \hat{E} \otimes R^i \mu_* \mathcal{O}_X(-\Delta) = 0$  for  $i > 0$ . So  $h^i(\hat{X}, \hat{E} \otimes I_v) = h^i(X, E(-\Delta))$  for  $i > 0$ . But this vanishes, as  $E(-\Delta)$  is a Lazarsfeld–Mukai bundle; see [Kem21, Lemma 10]. So  $h^1(\hat{L} \otimes I_{\mathcal{Z}(s)} \otimes I_v) = h^2(I_v) = h^2(\mathcal{O}_{\hat{X}}) = 1$ , from the exact sequence

$$0 \rightarrow I_v \rightarrow \mathcal{O}_{\hat{X}} \rightarrow \mathcal{O}_v \rightarrow 0.$$

So  $R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v)$  is a line bundle.  $\square$

The next result is analogous to [Kem21, Lemma 1].

**Proposition 2.4.** *Define a coherent sheaf on  $\mathbf{P}$  by  $W := \text{Coker}(q_*(p^* L' \otimes I_{\mathcal{Z}}) \rightarrow q_* p^* L')$ . Then  $W$  is locally free of rank  $k + 1$ . Further, define a coherent sheaf by  $\widetilde{W} := \text{Coker}(q_*(p^* L \otimes I_{\mathcal{Z}}) \rightarrow q_* p^* L)$ . Then  $\widetilde{W}$  is also locally free of rank  $k + 1$ .*

*Proof.* Define  $W' := \text{Coker}(\hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}}) \rightarrow \hat{q}_* \hat{p}^* \hat{L})$  and  $\hat{W} := \text{Coker}(\hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v) \rightarrow \hat{q}_*(\hat{p}^* \hat{L} \otimes \hat{p}^* I_v))$  on  $\mathbf{P}_2$ . We will show they are locally free. For the first sheaf, we have the exact sequence

$$0 \rightarrow W' \rightarrow \hat{q}_*(\hat{p}^* \hat{L}|_{\hat{\mathcal{Z}}}) \rightarrow R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}}) \rightarrow 0.$$

By Lemma 2.3,  $R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}})$  is a line bundle. The finite map  $\hat{\mathcal{Z}} \rightarrow \mathbf{P}_2$  is flat by miracle flatness, so, by Grauert's theorem,  $\hat{q}_*(\hat{p}^* \hat{L}|_{\hat{\mathcal{Z}}})$  is a vector bundle of rank  $k + 2$ . So  $W'$  is locally free of rank  $k + 1$ . Define  $S := \text{Coker}(\hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v) \rightarrow \hat{q}_* \hat{p}^* \hat{L})$ . We have an exact sequence  $0 \rightarrow \hat{q}_*(\hat{p}^* \hat{L} \otimes \hat{p}^* I_v) \rightarrow \hat{q}_* \hat{p}^* \hat{L} \rightarrow \hat{q}_*(\hat{p}^* \hat{L}|_{\hat{\mathcal{Z}}}) \rightarrow 0$ , and  $\hat{q}_*(\hat{p}^* \hat{L}|_{\hat{\mathcal{Z}}})$  is a line bundle on  $\mathbf{P}_2$ . Indeed, it suffices to show  $R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes \hat{p}^* I_v) = 0$ ,

and, by Grauert's theorem, for this it suffices to note  $h^1(X, L) = h^1(X, L'(-\Delta)) = h^1(\hat{X}, \hat{L} \otimes I_v) = 0$ ; see [Kem21, Lemma 6]. So we have an exact sequence

$$0 \longrightarrow \hat{W} \longrightarrow S \longrightarrow \hat{q}_*(\hat{p}^* \hat{L}|_{p \times P_2}) \longrightarrow 0,$$

where  $\hat{q}_*(\hat{p}^* \hat{L}|_{p \times P_2})$  is a line bundle. To show  $\hat{W}$  is locally free, it suffices to show  $S$  is locally free.

Let  $T \subseteq X \times \mathbf{P}$  denote the closed subscheme  $\mathcal{Z} \cup \Delta \times \mathbf{P}$  defined by the product ideal  $I_{\mathcal{Z}} p^* I_{\Delta}$ . Since  $\Delta \times \mathbf{P}$  is a divisor, this ideal is isomorphic to  $I_{\mathcal{Z}} \otimes p^* I_{\Delta}$ . Set  $\hat{T} \subseteq \hat{X} \times \mathbf{P}_2$  to be the closed subscheme defined by the product ideal  $I_{\hat{\mathcal{Z}}} \hat{p}^* I_v$ . Applying the left-exact functor  $\tau_*$ , and using from Lemma 2.1 the fact that  $\tau_*(I_{\mathcal{Z}} \otimes p^* I_{\Delta}) \simeq I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v$  and the fact that  $\tau_* \mathcal{O}_{X \times \mathbf{P}} \simeq \mathcal{O}_{\hat{X} \times \mathbf{P}_2}$  (because, up to isomorphism, we can view  $\tau$  as a blow-up in  $v \times \mathbf{P}_2$ ), we get that the natural map  $I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v \rightarrow \mathcal{O}_{\hat{X} \times \mathbf{P}_2}$  is injective, i.e.  $I_{\hat{\mathcal{Z}}} \hat{p}^* I_v \simeq I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v$ . So the zero locus of  $I_{\hat{\mathcal{Z}}} \otimes I_{v \times \mathbf{P}_2}$  is  $\hat{T} := \hat{\mathcal{Z}} \cup \{v \times \mathbf{P}_2\}$ . We have the exact sequence

$$0 \longrightarrow S \longrightarrow \hat{q}_*(\hat{p}^* \hat{L}|_{\hat{T}}) \longrightarrow R^1 \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes I_{p \times \mathbf{P}_2}) \longrightarrow 0,$$

since  $H^1(\hat{X}, \hat{L}) = 0$ . Now  $\hat{q}_*(\hat{p}^* \hat{L}|_{\hat{T}})$  is a vector bundle of rank  $k+3$  by Grauert's theorem. From Lemma 2.3,  $R^1 \hat{q}_*(\hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes I_{v \times \mathbf{P}_2})$  is a line bundle. It follows that  $S$  is locally free of rank  $k+2$  and thus

$$\hat{W} := \text{Coker}(\hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v) \longrightarrow \hat{q}_*(\hat{p}^* \hat{L} \otimes \hat{p}^* I_v))$$

is locally free. Now  $i: \mathbf{P} \rightarrow \mathbf{P}_2$  is an isomorphism, so the sheaves  $i^* W' \simeq \text{Coker}(i^* \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}}) \rightarrow i^* \hat{q}_*(\hat{p}^* \hat{L}))$  and  $i^* \hat{W} \simeq \text{Coker}(i^* \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v) \rightarrow i^* \hat{q}_*(\hat{p}^* \hat{L} \otimes \hat{p}^* I_v))$  are locally free. But  $i$  is an isomorphism, so

$$i^* \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}} \otimes \hat{p}^* I_v) \simeq q_*(p^* L' \otimes I_Z \otimes p^* \mathcal{O}_X(-\Delta)) \simeq q_*(p^* L \otimes I_Z).$$

Likewise  $i^* \hat{q}_*(\hat{p}^* \hat{L} \otimes \hat{p}^* I_v) \simeq q_*(p^* L)$ ,  $i^* \hat{q}_*(\hat{p}^* \hat{L}) \simeq q_*(p^* L')$ ,  $i^* \hat{q}_*(\hat{p}^* \hat{L} \otimes I_{\hat{\mathcal{Z}}}) \simeq q_*(p^* L' \otimes I_Z)$ ,  $i^* \hat{W} \simeq \widetilde{W}$  and  $i^* W' \simeq W$ , which completes the proof.  $\square$

Let  $\pi: B \rightarrow X \times \mathbf{P}$  be the blow-up along  $\mathcal{Z}$ , with exceptional divisor  $D$ , which is smooth since  $\mathcal{Z}$  is smooth. Set  $p' := p \circ \pi$ ,  $q' := q \circ \pi$ . The maps  $q^* q_*(p^* L' \otimes I_Z) \rightarrow p^* L' \otimes I_Z$  and  $q^* q_*(p^* L \otimes I_Z) \rightarrow p^* L \otimes I_Z$  are surjective by Lemma 2.2. Notice  $q'_*(p'^* L \otimes I_D) \simeq q_*(p^* L \otimes I_Z)$ , and likewise replacing  $L$  by  $L'$ . Noting  $I_D$  is a quotient of  $\pi^* I_Z$ , we get surjective morphisms  $q'^* q'_*(p'^* L \otimes I_D) \rightarrow p'^* L \otimes I_D$  and  $q'^* q'_*(p'^* L' \otimes I_D) \rightarrow p'^* L' \otimes I_D$ . Since  $q'^* q'_*(p'^* L \otimes I_D)$  and  $q'^* q'_*(p'^* L' \otimes I_D)$  are locally free and  $D$  is a divisor, we get *vector bundles*  $S_1$  and  $S_2$  defined by the exact sequences.

$$\begin{aligned} 0 \longrightarrow S_1 \longrightarrow q'^* q'_*(p'^* L' \otimes I_D) \longrightarrow p'^* L' \otimes I_D \longrightarrow 0 \quad \text{and} \\ 0 \longrightarrow S_2 \longrightarrow q'^* q'_*(p'^* L \otimes I_D) \longrightarrow p'^* L \otimes I_D \longrightarrow 0. \end{aligned}$$

We have surjections

$$q'^* q'_* p'^* L' \twoheadrightarrow p'^* L' \twoheadrightarrow p'^* L'_D, \quad q'^* q'_* p'^* L \twoheadrightarrow p'^* L \twoheadrightarrow p'^* L_D.$$

These maps induce surjective maps of sheaves

$$q'^* W \twoheadrightarrow p'^* L'_D \quad \text{and} \quad q'^* \widetilde{W} \twoheadrightarrow p'^* L_D.$$

Define vector bundles  $\Gamma_1, \Gamma_2$ , both of rank  $k+1$ , by the exact sequences

$$0 \longrightarrow \Gamma_1 \longrightarrow q'^* W \longrightarrow p'^* L'_D \longrightarrow 0 \quad \text{and} \quad 0 \longrightarrow \Gamma_2 \longrightarrow q'^* \widetilde{W} \longrightarrow p'^* L_D \longrightarrow 0.$$

We have a commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 & \longrightarrow & S_2 & \longrightarrow & \pi^* \mathcal{M}_L & \longrightarrow & \Gamma_2 \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & S_1 & \longrightarrow & \pi^* \mathcal{M}_{L'} & \longrightarrow & \Gamma_1 \longrightarrow 0, \end{array}$$

where the vertical maps are induced from the natural inclusion  $L \hookrightarrow L'$  (induced from the effective divisor  $\Delta$ ) on  $X$  and where  $\mathcal{M}_L := p^*M_L$ ,  $\mathcal{M}_{L'} := p^*M_{L'}$ .

We get a natural commutative diagram

$$\begin{array}{ccc} H^1(B, \pi^*(\bigwedge^{k+1} \mathcal{M}_L(p^*L'))) & \xrightarrow{f} & H^1(B, \bigwedge^{k+1} \Gamma_2(p^*L')) \\ \downarrow & & \downarrow g \\ H^1(B, \pi^*(\bigwedge^{k+1} \mathcal{M}_{L'}(p^*L'))) & \xrightarrow{h} & H^1(B, \bigwedge^{k+1} \Gamma_1(p^*L')). \end{array}$$

**Lemma 2.5.** *The natural map  $l: \widetilde{W} \rightarrow W$ , induced by the map  $L \hookrightarrow L'$ , given by multiplication by a nonzero section  $s_\Delta \in H^0(\mathcal{O}_X(\Delta))$ , is injective.*

*Proof.* Recall  $W := \text{Coker}(q_*(p^*L' \otimes I_{\mathcal{Z}}) \rightarrow q_*p^*L')$  and  $\widetilde{W} := \text{Coker}(q_*(p^*L \otimes I_{\mathcal{Z}}) \rightarrow q_*p^*L)$ . We have a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \widetilde{W} & \longrightarrow & q_*((p^*L)_{|\mathcal{Z}}) & \longrightarrow & R^1q_*(p^*L \otimes I_{\mathcal{Z}}) \longrightarrow 0 \\ & & \downarrow l & & \downarrow q_*(s_{p^*\Delta|_{\mathcal{Z}}}) & & \downarrow \\ 0 & \longrightarrow & W & \longrightarrow & q_*((p^*L')_{|\mathcal{Z}}) & \longrightarrow & R^1q_*(p^*L' \otimes I_{\mathcal{Z}}) \longrightarrow 0. \end{array}$$

To prove  $l$  is injective, it suffices to show  $q_*(s_{p^*\Delta|_{\mathcal{Z}}})$  is injective. Since  $q_*$  is left-exact, for this it suffices to show multiplication by  $s_{p^*\Delta|_{\mathcal{Z}}}$  yields an injective map  $(p^*L)_{|\mathcal{Z}} \rightarrow (p^*L')_{|\mathcal{Z}}$ , and for this it suffices to note  $\mathcal{Z}$  is irreducible and is not contained in  $\Delta \times \mathbf{P}$ .  $\square$

We now prove the first of three statements needed for the proof.

**Lemma 2.6.** *The natural morphism  $g: H^1(B, \pi^*(\bigwedge^{k+1} \Gamma_2(p^*L'))) \rightarrow H^1(B, \bigwedge^{k+1} \Gamma_1(p^*L'))$  is an isomorphism. Further,  $\det \Gamma_i \simeq q'^*\mathcal{O}_{\mathbf{P}}(k+1)(-D)$  for  $i = 1, 2$ .*

*Proof.* The natural map  $\widetilde{W} \rightarrow W$  is injective by Lemma 2.5. We now prove  $\det \widetilde{W} \simeq \det W$ . Since  $q_*p^*L$  and  $q_*p^*L'$  are trivial vector bundles, it is equivalent to prove  $\det q_*(p^*L \otimes I_{\mathcal{Z}}) \simeq \det q_*(p^*L' \otimes I_{\mathcal{Z}})$ , and then  $\det \widetilde{W} \simeq \det W \simeq \det q_*(p^*L \otimes I_{\mathcal{Z}})^{-1}$ . We have the exact sequence  $0 \rightarrow \mathcal{O}_X \boxtimes \mathcal{O}_{\mathbf{P}}(-2) \xrightarrow{\text{id}} E \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \rightarrow p^*L' \otimes I_{\mathcal{Z}} \rightarrow 0$ , and applying  $q_*$ , we get an exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbf{P}}(-2) \rightarrow H^0(E) \otimes \mathcal{O}_{\mathbf{P}}(-1) \rightarrow q_*(p^*L' \otimes I_{\mathcal{Z}}) \rightarrow 0.$$

Since  $h^0(E) = k+3$ , this gives  $\det q_*(p^*L' \otimes I_{\mathcal{Z}}) \simeq \mathcal{O}_{\mathbf{P}}(-k-1)$ . Next, from the exact sequence

$$0 \rightarrow \mathcal{O}_X(-\Delta) \boxtimes \mathcal{O}_{\mathbf{P}}(-2) \xrightarrow{\text{id}} E(-\Delta) \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \rightarrow p^*L'(-\Delta) \otimes I_{\mathcal{Z}} \rightarrow 0$$

and  $h^0(E(-\Delta)) = k+1$ , see [Kem21, Lemma 10], we get

$$\det q_*(p^*L \otimes I_{\mathcal{Z}}) \simeq \det H^0(E(-\Delta)) \otimes \mathcal{O}_{\mathbf{P}}(-1) \simeq \mathcal{O}_{\mathbf{P}}(-k-1),$$

as required. From the defining sequences for  $\Gamma_i$ ,  $i = 1, 2$ , we see  $\det \Gamma_i \simeq \det q''^*W(-D) \simeq q''^*\mathcal{O}_{\mathbf{P}}(k+1)(-D)$ . So,  $\bigwedge^{k+1} \Gamma_2 \simeq q'^*\det \widetilde{W}(-D) \simeq q'^*\det W(-D) \simeq \bigwedge^{k+1} \Gamma_1$ . The natural injective map

$$\bigwedge^{k+1} \Gamma_2 \hookrightarrow \bigwedge^{k+1} q'^*\widetilde{W} \hookrightarrow \bigwedge^{k+1} W,$$

which lands in  $\bigwedge^{k+1} \Gamma_1$ , is an injective map  $\bigwedge^{k+1} \Gamma_2 \hookrightarrow \bigwedge^{k+1} \Gamma_1$  between isomorphic line bundles on a projective space and hence must be an isomorphism, as required.  $\square$

We now prove the second of the three needed statements.

**Lemma 2.7.** *The map  $h: H^1(B, \pi^*(\bigwedge^{k+1} \mathcal{M}_{L'}(p^*L'))) \rightarrow H^1(B, \bigwedge^{k+1} \Gamma_1(p^*L'))$  is an isomorphism.*

*Proof.* We follow [Kem21, Section 2]. From Lemma 2.6,  $\bigwedge^{k+1} \Gamma_1(p'^*L') \simeq q'^*\mathcal{O}_{\mathbf{P}}(k+1) \otimes p'^*L' \otimes I_D$ . As in [Kem21, Corollary 2],<sup>(2)</sup> we compute

$$H^1\left(B, \bigwedge^{k+1} \Gamma_1(p'^*L')\right) \simeq H^1(X \times \mathbf{P}, (L' \boxtimes \mathcal{O}_{\mathbf{P}}(k+1)) \otimes I_Z)$$

using the sequence

$$0 \longrightarrow \mathcal{O}_X \boxtimes \mathcal{O}_{\mathbf{P}}(-2) \xrightarrow{\text{id}} E \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \longrightarrow p'^*L' \otimes I_Z \longrightarrow 0.$$

So  $H^1(B, \bigwedge^{k+1} \Gamma_1(p'^*L')) \simeq \text{Sym}^{k-1} H^0(X, E)^\vee$ . Now

$$h^1\left(\pi^*\left(\bigwedge^{k+1} \mathcal{M}_{L'}(p'^*L')\right)\right) = h^1\left(X \times \mathbf{P}, \bigwedge^{k+1} \mathcal{M}_{L'}(p'^*L')\right) = h^1\left(\bigwedge^{k+1} \mathcal{M}_{L'}(L')\right) = \dim K_{k,2}(X, L').$$

Apply verbatim the method of [Kem21, Section 1] to prove Green's conjecture for the Gorenstein surface  $\hat{X}$ :

$$K_{k-1,2}(\hat{X}, \hat{L}) \simeq H^1\left(\hat{X}, \bigwedge^k \mathcal{M}_{\hat{L}}(\hat{L})\right) \simeq H^1\left(X, \bigwedge^k \mathcal{M}_{L'}(L')\right) \simeq K_{k-1,2}(X, L') = 0.$$

This vanishing implies  $\dim K_{k,2}(X, L') = \dim \text{Sym}^{k-1} H^0(X, E)^\vee$ ; see [Far17, Section 4.1]. So we have  $h^1(\pi^*(\bigwedge^{k+1} \mathcal{M}_{L'}(p'^*L'))) = h^1(\bigwedge^{k+1} \Gamma_1(p'^*L'))$ . To prove that  $h$  is an isomorphism, it suffices to prove it is surjective. From the sequence  $0 \rightarrow S_1 \rightarrow \pi^* \mathcal{M}_{L'} \rightarrow \Gamma_1 \rightarrow 0$  of vector bundles on  $B$ , we obtain the exact sequence

$$\cdots \longrightarrow S_1 \otimes \bigwedge^k \pi^* \mathcal{M}_{L'}(p'^*L') \longrightarrow \bigwedge^{k+1} \pi^* \mathcal{M}_{L'}(p'^*L') \longrightarrow \bigwedge^{k+1} \Gamma_1(p'^*L') \longrightarrow 0.$$

It suffices to show  $H^{1+i}(\text{Sym}^i(S_1) \otimes \bigwedge^{k+1-i} \pi^* \mathcal{M}_{L'}(p'^*L')) = 0$  for  $1 \leq i \leq k+1$ . As in [Kem21, Theorem 5], we have the exact sequence

$$0 \longrightarrow S_1 \longrightarrow q'^*q'_*(p'^*L' \otimes I_D) \longrightarrow p'^*L' \otimes I_D \longrightarrow 0$$

and define

$$\mathcal{G} := q'_*(p'^*L' \otimes I_D) \simeq q_*(p^*L' \otimes I_Z) \simeq i^*\hat{q}_*(\hat{p}^*\hat{L} \otimes I_{\hat{Z}}) \simeq i^*\hat{\mathcal{G}}$$

for  $\hat{\mathcal{G}} := \hat{q}_*(\hat{p}^*\hat{L} \otimes I_{\hat{Z}})$ . By Grauert's theorem and the exact sequence  $0 \rightarrow \mathcal{O}_{\hat{X}} \xrightarrow{s} \hat{E} \rightarrow \hat{L} \otimes I_{Z(s)} \rightarrow 0$  for any  $s \neq 0 \in H^0(\hat{E})$ , we see  $\hat{\mathcal{G}}$  and  $\mathcal{G}$  are locally free of rank  $k+2$ . Now the defining sequence for  $S_1$  gives the exact sequence

$$0 \longrightarrow \text{Sym}^i S_1 \longrightarrow \text{Sym}^i q'^*\mathcal{G} \longrightarrow \text{Sym}^{i-1} q'^*\mathcal{G} \otimes p'^*L' \otimes I_D \longrightarrow 0$$

of bundles. It suffices that

$$H^{1+i}\left(\text{Sym}^i q^*\mathcal{G} \otimes \bigwedge^{k+1-i} \mathcal{M}_{L'}(p'^*L')\right) = H^i\left(\text{Sym}^{i-1} q^*\mathcal{G} \otimes \bigwedge^{k+1-i} \pi^* \mathcal{M}_{L'}(p'^*L'^2 \otimes I_Z)\right) = 0$$

for  $1 \leq i \leq k+1$ , or

$$H^{1+i}\left(\text{Sym}^i \hat{q}^*\hat{\mathcal{G}} \otimes \bigwedge^{k+1-i} \mathcal{M}_{\hat{L}}(\hat{p}^*\hat{L})\right) = H^i\left(\text{Sym}^{i-1} \hat{q}^*\hat{\mathcal{G}} \otimes \bigwedge^{k+1-i} \pi^* \mathcal{M}_{\hat{L}}(\hat{p}^*\hat{L}^2) \otimes I_{\hat{Z}}\right) = 0$$

for  $1 \leq i \leq k+1$ . For this, as in [Kem21, Section 3], we can use verbatim the proof of [Kem21, Theorem 5].  $\square$

We can now prove the main theorem of this paper.

**Theorem 2.8.** *Let  $X$  denote a K3 surface of Picard rank 2, generated by a big and nef line bundle  $L'$  with  $(L')^2 = 4k+2$ , together with the class of a smooth rational curve  $\Delta$  with  $(L' \cdot \Delta) = 0$  for  $k \geq 4$ , as above. Define the line bundle  $L := L'(-\Delta)$ . Then  $K_{k-1,2}(X, L) = 0$ .*

<sup>(2)</sup>Note that  $k$  has become  $k+1$  as a smooth curve in  $|L'|$  has genus  $2k+2$



*Proof.* It remains to show  $f: H^1(B, \pi^*(\bigwedge^{k+1} \mathcal{M}_L(p^*L'))) \rightarrow H^1(B, \bigwedge^{k+1} \Gamma_2(p'^*L'))$  is surjective. From the exact sequence  $0 \rightarrow S_2 \rightarrow \pi^* \mathcal{M}_L \rightarrow \Gamma_2 \rightarrow 0$ , we obtain the exact sequence

$$\cdots \rightarrow S_2 \otimes \bigwedge^k \pi^* \mathcal{M}_L(p'^*L') \rightarrow \bigwedge^{k+1} \pi^* \mathcal{M}_L(p'^*L') \rightarrow \bigwedge^{k+1} \Gamma_2(p'^*L') \rightarrow 0.$$

It suffices to show  $H^{1+i}(\text{Sym}^i(S_2) \otimes \bigwedge^{k+1-i} \pi^* \mathcal{M}_L(p'^*L')) = 0$  for  $1 \leq i \leq k+1$ .

Now, we have the exact sequence  $0 \rightarrow S_2 \rightarrow q'^* q'_*(p'^*L \otimes I_D) \rightarrow p'^*L \otimes I_D \rightarrow 0$ . Define  $\mathcal{H} := q'_*(p'^*L \otimes I_D) \simeq q_*(p^*L \otimes I_Z)$ . From the exact sequence  $0 \rightarrow \mathcal{O}_X(-\Delta) \boxtimes \mathcal{O}_P(-2) \xrightarrow{\text{id}} E(-\Delta) \boxtimes \mathcal{O}_P(-1) \rightarrow p^*L \otimes I_Z \rightarrow 0$ , by applying  $q_*$ , we get  $\mathcal{H} \simeq H^0(X, E(-\Delta)) \otimes \mathcal{O}_P(-1)$ . We have the exact sequence  $0 \rightarrow \text{Sym}^i S_2 \rightarrow \text{Sym}^i q'^* \mathcal{H} \rightarrow \text{Sym}^{i-1} q'^* \mathcal{H} \otimes p'^*L' \otimes I_D \rightarrow 0$ . It suffices to have

$$H^{1+i} \left( \text{Sym}^i q'^* \mathcal{H} \otimes \bigwedge^{k+1-i} \pi^* \mathcal{M}_L(p^*L') \right) = H^i \left( \text{Sym}^{i-1} q'^* \mathcal{H} \otimes \bigwedge^{k+1-i} \pi^* \mathcal{M}_L(p^*L'^2 \otimes I_Z) \right) = 0$$

for  $1 \leq i \leq k+1$ . So, it suffices to have

$$H^{1+i} \left( X \times \mathbf{P}, \bigwedge^{k+1-i} M_L(L') \boxtimes \mathcal{O}_P(-i) \right) = H^i \left( X \times \mathbf{P}, \left( \bigwedge^{k+1-i} M_L(L'^2) \boxtimes \mathcal{O}_P(-i+1) \right) \otimes I_Z \right) = 0$$

for  $1 \leq i \leq k+1$ , where  $\mathbf{P} \simeq \mathbf{P}^{k+2}$ . The first vanishing is immediate by the Künneth formula. For the second, by the above exact sequence, it is enough to have

$$H^i \left( X \times \mathbf{P}^{k+2}, \bigwedge^{k+1-i} M_L(L' \otimes E) \boxtimes \mathcal{O}_P(-i) \right) = H^{i+1} \left( X \times \mathbf{P}^{k+2}, \bigwedge^{k+1-i} M_L(L') \boxtimes \mathcal{O}_P(-i-1) \right) = 0$$

for  $1 \leq i \leq k+1$ , which is again immediate by the Künneth formula.  $\square$

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