Explicit equations of the Cartwright-Steger surface

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Abstract. We construct explicit equations of Cartwright-Steger and related surfaces.

Keywords. Surfaces of general type; explicit equations; ball quotients

2010 Mathematics Subject Classification. 14J29; 14Q10

[Francés]

Équations explicites de la surface de Cartwright-Steger

Résumé. Nous construisons des équations explicites pour la surface de Cartwright-Steger et pour d’autres surfaces similaires.
1. Introduction

The Cartwright-Steger surface is a smooth projective algebraic surface of Euler number 3. It is a complex two ball quotient with first Betti number 2. It was discovered by Cartwright and Steger in their work [CS10] in the process of completing the scheme of classification of fake projective planes proposed in [PY07]. Basic properties of the Cartwright-Steger surface can be found in [CS10] and [CKY17].

Our goal in this paper is to give explicit defining equations for a Cartwright-Steger surface \( Z \). This is motivated in part by the recent work of [BK18], where explicit equations were given for two fake projective planes.

There are several corollaries of theoretical interest to our main result. One corollary is that the Cartwright-Steger surface can be defined over \( \mathbb{R} \) and even over \( \mathbb{Q} \). Hence the complex conjugate of the surface is biholomorphic to itself. This corrects a mistake in [Y17] which we explain in Remark 5.1.

To put our work in a more global perspective, it is well known that 3 is the smallest Euler number achievable by a smooth surface of general type and is achieved only if the surface is a smooth complex two ball quotient \( B^2/\Gamma \) for some torsion free lattice \( \Gamma \) in \( PU(2,1) \), the automorphism group of the complex two ball \( B^2 \). The lattice has to be arithmetic following the work of [Y14], [Y17] or [EG18], where the recent paper [EG18] gives a general result about integrality of lattices and is applicable to our surface. The results of [PY07] and [CS10] then imply that such a surface is either a fake projective plane or a Cartwright-Steger surface. Together with the result in this paper, there are exactly 101 such surfaces. Cartwright-Steger surface is the only one with positive first Betti number.

Another consequence of this paper is that we show explicitly that the bicanonical divisor \( 2K_Z \) is very ample and the Cartwright-Steger surface \( Z \) is defined by a quadratic relation and cubic relations among global sections of \( 2K_Z \). This improves the result of [Y18] for \( Z \) and fills a gap there, see Remark 6.1.

In addition to explicit equations for \( Z \), we have also obtained explicit equations and geometric information for a few related interesting surfaces described below.

Denote by \( \Pi \) the lattice associated to \( Z \) so that \( Z = B^2/\Pi \). Let \( \bar{\Gamma} \) be the maximal arithmetic lattice in the commensurable class of \( \Pi \) as defined in [CKY17, § 1.1]. It was observed by Cartwright and Steger that \( \Pi \) can be written as the intersection

\[
\Pi = \Pi_2 \cap \Pi_3
\]

where
Equations of the CS surface

- $\Pi_2$ is an index 3 normal subgroup of $\tilde{\Gamma}$;
- $\Pi_3$ contains the kernel of $\rho_3 : \tilde{\Gamma} \to PGU(3, 3)$ and is the preimage of a subgroup $G_{21}$ of $PGU(3, 3)$; see [CKY17, § 1.1]. We consider the following surfaces.
  - the Cartwright-Steger surface $Z = B^2/\Pi$;
  - the $21 : 1$ cover of $Z$ given by $Z_2 = B^2/\ker(\rho_3) \cap \Pi_2$;
  - the quotient of $Z_2$ by $C_3$ given by $B^2/\ker(\rho_3)$ and its minimal resolution $Z_3 = B^2/\hat{\ker}(\rho_3)$;
  - the quotient $Z/C_3 = B^2/\Pi_3$ and its partial resolution $Z_1 = \hat{Z}/C_3$.

These surfaces fit in the commutative diagram

$$
\begin{array}{ccc}
Z_2 & \longrightarrow & Z_2/C_3 & \leftarrow & Z_3 \\
\downarrow & & \downarrow & & \downarrow \\
Z & \longrightarrow & Z/C_3 & \leftarrow & Z_1
\end{array}
$$

where the vertical maps are quotients by a group of order 21, the maps $\longrightarrow$ are quotients by a cyclic group $C_3$, and the maps $\leftarrow$ are birational morphisms.

The structure of the paper is as follows. In Section 2 we consider the surface $Z_3$ which we describe explicitly by 35 quadratic equations in $\mathbb{C}P^1$. In Section 3 we use the results of Section 2 to construct explicit equations of the surface $Z_1$. In Section 4 we describe a procedure that we used to guess equations of the surface $Z_2$ and specifically construct a number of points on it with high accuracy. While we do not prove that they are correct, we expect them to be. In Section 5 we describe the method we used to calculate the putative equations of $Z$. Finally, in Section 6 we explain the collection of computer-based checks that ensure that the equations we found indeed describe the Cartwright-Steger surface. The ancillary files for computations are available on arXiv:1804.00737.

Acknowledgements. L.B. thanks Igor Dolgachev, JongHae Keum and Carlos Rito for stimulating questions and useful comments. S.-K. Y. is grateful to Donald Cartwright for helpful discussions, and to Vincent Koziarz and Gopal Prasad for their interest. We used software packages GAP [GAP], Mathematica [Math16], Magma [Mag97] and Macaulay2 [Mac].

2. Constructing the surface $Z_3 = B^2/\hat{\ker}(\rho_3)$

In this section we describe the equations of the surface $Z_3$ in (1.1), which is the key starting point of our method.

Let $\rho_3 : \tilde{\Gamma} \to PGU(3, 3)$ be the reduction homomorphism modulo three, described in [CKY17]. The action of $\Gamma_3 = \ker(\rho_3)$ on $B^2$ has fixed points, and the quotient has 63 singular points of type $(\frac{1}{2}, \frac{1}{2})$. The minimal resolution of singularities is a surface $Z_3$ with $K_{Z_3}^2 = 42, h^{1,0}(Z_3) = 0$ and $h^{2,0}(Z_3) = 13$. Importantly, $Z_3$ admits an effective action of the finite simple group $G = PGU(3, 3)$ of order 6048. The character table of $G$ which is very important for our discussion can be readily obtained with GAP software package [GAP] and is presented in Table 1.\(^1\)

There is a unique up to conjugation noncommutative subgroup $G_{21} \subset G$ which normalizes a 7-Sylow subgroup of $G$. As we pointed out in (1.1), the quotient of $Z_3$ by this subgroup $G_{21}$ is the blowup $Z_1$ of $Z/C_3$ in three singular points $O_1, O_2$ and $O_3$ of type $(\frac{1}{2}, \frac{1}{2})$. Observe that $Z_1$ has Gorenstein singularities and its canonical class has a global section. Specifically, in the notation of [CKY17] there is a (canonical) curve $E_3$ on $Z$ which gives a curve $D_3 := E_3/C_3$ on $Z_3/C_3$. From [CKY17], $E_3$ passes once through $O_1$ and $O_3$, four

\(^1\)The table presents values of characters of irreducible representations of $G$ at all conjugacy classes of $G$. Conjugacy classes are labeled by their order and a letter to distinguish different conjugacy classes of elements of the same order. Zero values are replaced by '-' for ease of reading.
times through $O_2$ and does not pass through any of the six other fixed points. Denote by $\bar{D}_3$ the proper transform of $D_3$ and $G_i$ for $i = 1, 2, 3$ the $(–3)$ exceptional curve at $O_i$ of $Z_1 \to Z/C_3$. It follows easily that $K_{Z_1} = \pi^*K_S - \frac{1}{3} \sum_{i=1}^3 G_i$ and $K_{Z_1} = \bar{D}_3 + G_2$. Hence a global section of $K_{Z_1}$ exists and is consisting of the proper preimage of $D_3$ plus one $(–3)$ exceptional curve $G_2$. This global section pulls back on $Z_3$ to give an element $h \in H^0(Z_3, K_{Z_1})$ which is $G_{21}$-invariant, but not $G$-invariant. We will denote the corresponding canonical divisor on $Z_3$ by $H$.

We will now be able to identify the action of $G$ on $H^0(Z_3, K_{Z_1})$. By a slight abuse of terminology, we will denote by $\chi_k$ both the $k$-th irreducible representation of $G$ and its character.

**Proposition 2.1.** Up to a choice of square root of $–1$, the action of $G$ on $H^0(Z_3, K_{Z_1})$ is given by the direct sum of irreducible representations $\chi_2 + \chi_4$. The equations on $\text{Sym}^2(\chi_2 + \chi_4)$ are given as follows. We have $\text{Sym}^2(\chi_2) = \chi_7$ and $\text{Sym}^2(\chi_4) = \chi_6 + \chi_7$. We also have $\chi_2 \chi_4 = \chi_6 + \chi_{12}$. There is a 35-dimensional space of quadratic relations which is built from the 14-dimensional irreducible subspace $\chi_6$ of $\chi_2 \chi_4$ and the 21-dimensional subspace that lies diagonally in the direct sum of two copies of $\chi_7$.

**Proof.** We know that the action of the order seven elements of $G$ on $Z_3$ is fixed-point free. By the Holomorphic Lefschetz Formula (since $H^2(Z_3, K_{Z_1})$ is acted on trivially) we see that the trace of these elements on $H^0(Z_3, K_{Z_1})$ is $–1$. Together with $\dim H^0(Z_3, K_{Z_1}) = 13$ we see that the possible characters are

$$\chi_{H^0(Z_3, K_{Z_1})} \in \{\chi_1 + 2\chi_2, \chi_2 + \chi_3, \chi_2 + \chi_4, \chi_2 + \chi_5\}.$$  

**Case 1.** $\chi_{H^0(Z_3, K_{Z_1})} = \chi_1 + 2\chi_2$. This is impossible, because then the $G_{21}$-invariant canonical divisor $H$ would be $G$-invariant.

**Case 2.** $\chi_{H^0(Z_3, K_{Z_1})} = \chi_2 + \chi_3$. We have used GAP to readily calculate

$$\text{Sym}^2(\chi_2 + \chi_3) = \text{Sym}^2(\chi_2) + (\chi_2 \chi_3) + \text{Sym}^2(\chi_3) = \chi_7 + (\chi_8 + \chi_9) + (\chi_1 + \chi_{10}).$$

We know that the kernel of the map

$$\text{Sym}^2(H^0(Z_3, K_{Z_1})) \to H^0(Z_3, 2K_{Z_1})$$

is given by

$$\chi_2, \chi_3, \chi_4, \chi_5, \chi_6, \chi_7, \chi_8, \chi_9, \chi_{10}. \chi_{11}.$$
between spaces of dimension 91 and 56 respectively is of dimension at least 35. However, \( \chi_7 \) component of \( \text{Sym}^2(H^0(Z_3, K_{Z_3})) \) cannot be in the kernel. Indeed, in that case, the quadratic equations on the six-dimensional subspace of \( H^0(Z_3, K_{Z_3}) \) would imply that they are zero. Similarly, \( \chi_{10} \) component cannot go to zero, since 27-dimensional space of quadratic equations on 7 variables implies that they are zero. This means that both \( \chi_8 \) and \( \chi_9 \) components must vanish, which again leads to a contradiction, since this would mean that the product of two nonzero sections of \( H^0(Z_3, K_{Z_3}) \) (one from \( \chi_2 \) and another from \( \chi_3 \)) would be zero.

**Case 3.** \( \chi^{H^0(Z_3, K_{Z_3})} = \chi_2 + \chi_4 \) or \( \chi^{H^0(Z_3, K_{Z_3})} = \chi_2 + \chi_5 \). We may assume the former case by either taking complex conjugate of alternatively applying an outer automorphism of \( G \). We have

\[
\text{Sym}^2(\chi_2 + \chi_4) = \text{Sym}^2(\chi_2) + (\chi_2 \chi_4) + \text{Sym}^2(\chi_4) = \chi_7 + (\chi_6 + \chi_{12}) + (\chi_5 + \chi_7)
\]

and consider the kernel of the map

\[
\text{Sym}^2(H^0(Z_3, K_{Z_3})) \to H^0(Z_3, 2K_{Z_3}).
\]

As in Case 2, we see that either the kernel of the map \( \text{Sym}^2(H^0(Z_3, K_{Z_3})) \to H^0(Z_3, 2K_{Z_3}) \) has no \( \chi_7 \) parts or at most one part. By looking at the \( \chi_2 \chi_4 = \chi_6 + \chi_{12} \) component we see that \( \chi_{12} \) cannot go to zero. Indeed, Magma [Mag97] calculations show that the scheme cut out by this 28-dimensional space of relations has the same Hilbert polynomial as the union of \( x = 0 \) and \( y = 0 \). This means that these relations have no nontrivial solutions. Together with the fact that dimension of the kernel is at least 35 we see that the kernel must contain one copy of \( \chi_7 \) and \( \chi_6 \). If this copy of \( \chi_7 \) is not diagonal, then Magma calculations show that the corresponding sections are zero; this means that \( \chi_7 \) must lie diagonally. Finally, we see that if, in addition to the diagonal copy of \( \chi_7 \) we have \( \chi_5 \) in the kernel, then the scheme cut out by these equations is empty (we did these calculations modulo \((10 - i)\), which is sufficient).

We denote the variables which correspond to the basis of \( \chi_2 \) and \( \chi_4 \) by \( x_1, \ldots, x_6 \) and \( y_1, \ldots, y_6 \) respectively. The action of the two standard generators of the group \( G \) on these variables is given by Table 2. We used the data from the Atlas of Finite Group Representations [A].

<table>
<thead>
<tr>
<th>Table 2. Action of ( G ) on ((x_1, \ldots, x_6, y_1, \ldots, y_7))</th>
</tr>
</thead>
<tbody>
<tr>
<td>((x_1, \ldots, y_7) \mapsto (x_2, x_1, x_4, x_3, -x_5, (-2 + i)x_1 - (2 - i)x_2 + ix_3 + ix_4 - ix_6, y_2, y_1, y_4, y_3, (1 + i)y_1 - (1 + i)y_2 - y_3 + y_4 + y_5, y_6, iy_3 - iy_4 + y_7))</td>
</tr>
<tr>
<td>((x_1, \ldots, y_7) \mapsto (x_3, -ix_1 - x_3, x_5, x_6, -ix_1 - ix_2 - x_3, x_1 + (1 - i)x_2 - ix_3 - x_4 + x_5 + x_6, y_3, y_1, y_5, y_6, y_7, -iy_2 - iy_3 - y_4 - y_6 - iy_7, -y_1 + y_2 + y_3 - y_5 + y_7))</td>
</tr>
</tbody>
</table>

In what follows we will use a specific choice of \( G_{21} \subset G \) whose generators act on \( x_i \) and \( y_j \) by the formulas of Table 3.

**Remark 2.2.** For the choice of \( G_{21} \) in Table 3, the \( G_{21} \)-invariant section of \( K_{Z_3} \) which gives \( H \) is readily computed to equal

\[
7y_1 + y_2 + y_3 - (2 + 3i)y_4 - (2 + 3i)y_5 + y_6 + y_7
\]

up to scaling.

**Remark 2.3.** While it is impractical to write down all equations in the kernel of

\[
\text{Sym}^2(H^0(Z_3, K_{Z_3})) \to H^0(Z_3, 2K_{Z_3}),
\]

we write two of them in Table 4 that generate the diagonal \( \chi_7 \) and \( \chi_6 \) respectively. The rest can be obtained by applying the group action. We note that one can scale the variables \( x \) and \( y \) separately in the diagonal equation, but we used a particular choice that ensured relatively simple formulas.
Remark 2.5. These results are the consequence of computations we performed with Magma [Mag97]. The Hilbert surface components are smooth and do not intersect any other components.

The Hilbert polynomial computation implies that

\[
(1 - i)x_1 - x_3 - i x_4 + x_5 - x_6, i x_1 + (1 + i) x_2 + x_3, 2i x_1 - (1 - i) x_2 + i x_3 + x_4 + (1 + i) x_5 - (1 - i) x_6, y_1, y_3, y_6, (1 - i) y_2 + (1 + i) y_3 - y_5 + y_6 + y_7, -i y_2 - i y_3 - y_4 - y_5 - i y_7, -y_1 + y_2 + y_3 - (1 - i) y_5 - i y_6 + y_7
\]

Table 3. Action of \(G_{21}\) on \((x_1, \ldots, x_6, y_1, \ldots, y_7)\)

\[
\begin{array}{l}
(x_1, \ldots, y_7) \\
\mapsto (-i x_1 - x_3, x_3, x_6, x_5, i x_1 + i x_2 + x_3, 2i x_1 - 2i x_2 + x_3, i x_4 + (1 + i) x_5 - (1 - i) x_6, y_1, y_3, y_6, (1 - i) y_2 + (1 + i) y_3 - y_5 + y_6 + y_7, -i y_2 - i y_3 - y_4 - y_5 - i y_7, -y_1 + y_2 + y_3 - (1 - i) y_5 - i y_6 + y_7)
\end{array}
\]

Table 4. Equations of \(Z_3 = B^2/\text{Ker}(\rho_3)\)

\[
\begin{align*}
x_1^2 + 2(4 y_2^2 + (2i) y_1 y_2 - (2 - 2i) y_2^2 - 3 y_3^2 + (3 - 3i) y_3 y_4 + (1 - 2i) y_3^2 - (2 - 2i) y_3 y_5 + (3 + 3i) y_3 y_6 - (2 - 2i) y_3 y_7 + (1 + 3i) y_3 y_6 - 12 y_4 y_6 - (1 + 3i) y_4 y_6 + (1 + 3i) y_6^2 & \\
+ (1 + 5i) y_3 y_7 + 4 y_4 y_7 - (3 - 3i) y_3 y_7 + (2 - 12i) y_6 y_7 + (1 + 3i) y_7^2 - (1 - i) y_7 + (1 - i) y_8 + y_9 + (1 - i) y_2 ((1 + 3i) y_3 + 3 y_4 + (3 - 3i) y_5 - (6 + 7i) y_6 & \\
+ (1 + 3i) y_9) & = 0
\end{align*}
\]

We will eventually prove that these 35 quadratic relations cut out a surface in \(\mathbb{C}P^{12}\) which is isomorphic to \(Z_3\). However, it is a fairly delicate argument, partly because some of the computer calculations that could have simplified it are too time consuming to perform with available hardware and software.

We start by getting a rough idea of what these equations cut out.

**Proposition 2.4.** Let \(I\) be the ideal of \(\mathbb{C}[x_1, \ldots, y_7]\) generated by the dimension 35 space of relations from \(\chi_7\) and \(\chi_6\), which are the \(G\)-translates of Table 4. Then the dimension of the degree \(k\) component of \(\mathbb{C}[x_1, \ldots, y_7]/I\) is equal to \(21k(k - 1) + 14\) for all \(k \geq 2\). The scheme \(S = \text{Proj}\mathbb{C}[x_1, \ldots, y_7]/I\) is a disjoint union of smooth surfaces of total degree 42 and perhaps some curves and points.

**Proof.** These results are the consequence of computations we performed with Magma [Mag97]. The Hilbert polynomial calculation was quickly performed with coefficients in \(\mathbb{Q}[i]\). We can also show by Magma that these relations and the \(10 \times 10\) minors of the Jacobian matrix of their partial derivatives have no common solutions. For this we picked some minors only, otherwise there are too many of them. We worked modulo prime number \((10 - i)\) in \(\mathbb{Z}[i]\), which is sufficient from semicontinuity.

The Hilbert polynomial computation implies that \(S\) has no irreducible components of dimension more than 2, and the sum of the degrees of surface components is 42. The calculation of minors implies that surface components are smooth and do not intersect any other components.

**Remark 2.5.** The scheme \(S\) has an additional involution given by

\[
(x_1, \ldots, x_6, y_1, \ldots, y_7) \mapsto (-x_1, \ldots, -x_6, y_1, \ldots, y_7)
\]
which commutes with the action of $G$.

**Remark 2.6.** A Mathematica [Math16] calculation shows that scheme $S \subset \mathbb{CP}^{12}$ contains a certain set of 126 lines. These form two orbits with respect to the action of $G$ and one orbit with respect to the action of $G$ together with the involution of Remark 2.5. One of these lines is given by

$$ (x_1, \ldots, x_7) = (u, u, iv + (1 - i)u, (-1 - 2i)v + 2iu, (2 - i)v - 2u, \\
-2 + 2i)v + 3u, (2 + 2i)v - (1 + 3i)u, (-1 - i)v + \frac{1}{2}(1 + 3i)u, \\
\frac{1}{2}(-3 - i)v + \frac{1}{2}(3 + 3i)u, \frac{1}{2}(1 - i)v - u, 2v - (2 + i)u, \\
\frac{1}{2}(-3 + i)v + \frac{1}{2}(3 + i)u, \frac{1}{2}(-1 - i)v + \frac{1}{2}(1 + i)u) $$

for $(u : v) \in \mathbb{CP}^1$. The rest can be calculated by applying the symmetries. We also observe that these lines intersect each other and form a connected set.

**Proposition 2.7.** The scheme $S$ has a unique dimension two component $S_0$ of degree 42.

**Proof.** Magma calculation shows that the Hilbert polynomial of the subscheme of $\mathbb{P}^{12}$ cut out by $I$ and the equation of Remark 2.2 is $42(k - 1)$. On the other hand, we can check that 42 out of 126 lines lie in $S \cap H$. This means that these lines are the intersections of $H$ with two-dimensional components of $S$. Because these are a part of a connected set of lines, this means that they come from the same component $S_0$ which must then have degree 42. □

**Proposition 2.8.** The 35 quadratic equations from the kernel of

$$ \text{Sym}^2(H^0(Z_3, K_{Z_3})) \to H^0(Z_3, 2K_{Z_3}) $$

define an embedding of $Z_3$ into $\mathbb{CP}^{12}$.

**Proof.** The base locus of $H^0(Z_3, K_{Z_3})$ is contained in the intersection of all $G$-translates of the $G$-invariant canonical curve $H$. Since we know the description of $H$ as a union of 21 preimages of $E_3/C_3$ and 21 exceptional curves, we see that this base locus is empty. Thus, $H^0(Z_3, K_{Z_3})$ gives a map $Z_3 \to \mathbb{P}^{12}$. Since $K_{Z_3}^2 = 42 > 0$, we see that the image of $Z_3$ must be a surface. We know that it is a subscheme of the scheme $S \subset \mathbb{P}^{12}$ which is cut out by 35 explicit equations we found in Proposition 2.1. By Proposition 2.7 we see that this image must be a smooth surface $S_0$.

We claim that $K_{Z_3}$ is ample. Indeed, base point freeness and $K_{Z_3}^2 > 0$ imply that $Z_3$ is a minimal surface of general type. Its map to the canonical model may only contract some trees of $\mathbb{CP}^1$ which intersect $H$ and its translates trivially. Such curves would embed into $Z_2/C_3$, with image disjoint from the ramification points of $B^2 \to Z_2/C_3$. Since $\mathbb{CP}^1$ is simply connected, this embedding lifts to an embedding of $\mathbb{CP}^1$ into $B^2$, which is impossible.

Since the degree of $S_0$ is the same as $K_{Z_3}^2$, we see that the map $Z_3 \to S_0$ is birational. Together with the ampleness, we see that it is an isomorphism. We now see that the homogeneous coordinate ring of $S_0$ and the pluricanonical ring of $Z_3$ coincide for high enough degrees. Since the former is a quotient of the homogeneous coordinate ring of $S$ which has the same Hilbert polynomial $21(k^2 - k) + 14$ as the pluricanonical ring, we see that $S_0 = S$, i.e. the 35 quadratic equations cut out $S = S_0 \cong Z_3$ scheme-theoretically. □

**Remark 2.9.** While we believe that the embedding $Z_3 \to \mathbb{CP}^{12}$ is projectively normal and the 35 equations cut it out ideal-theoretically (rather than only up to saturation) we were unable to verify it, since the required computer calculations are very time-intensive.

**Remark 2.10.** It is important to understand, heuristically, what factors contributed to our ability to identify $Z_3$ based on the group representation data, with the goal of extending these ideas to construction of related surfaces, notably Galois covers of fake projective planes. Specifically, the fact that $[\Gamma : \Gamma]$ is large means that the group $G$ was relatively big compared to the square of the canonical class, so group theoretic constraints
were more severe. The fact that $B^2/Ker(\rho_3)$ had non-canonical quotient singularities led to a lower value of $K^2_Z$, than what would be predicted by $[\Gamma : Ker(\rho_3)]$ alone, which lowered the degree of $Z_3$ and made lower degree equations more likely. On the other hand, $h^{0.1} > 0$ could in principle be beneficial, as a larger value of $h^{0.2}$ could lead to more equations of lower degree.

3. On the quotient of CS surface by the cyclic group of order three.

In this section we describe the quotient $Z_1$ of $Z_3 = B^2/Ker(\rho_3)$ by a group of order 21, which is also the blowup of the quotient of CS surface $Z$ by its automorphism group $C_3$ at three singular points of type $(\frac{1}{3}, \frac{1}{3})$. The procedure was to compute $G_21$-invariant polynomials in the homogeneous coordinates of $\mathbb{P}^{12}$ and find the ring of invariants of $\mathbb{C}[x_0, \ldots, y_7]/I$. While there is only one such invariant in degree 1, up to scaling, given by the equation of Remark 2.2, there is a dimension 4 space of invariants of degree 2 and a dimension 8 space of invariants of degree 3, and choices must be made carefully to get simpler equations.

We are not particularly interested in this surface, but its equations\(^2\) are (at the moment) nicer than those of $Z$ and we list them in Table 5. It is embedded into the weighted projective space $W\mathbb{P}(1, 2, 2, 2, 3, 3, 3, 3)$ with homogeneous coordinates $(W_0 : \ldots : W_7)$. The variables $W_0, \ldots, W_5$ are odd with respect to the involution of Remark 2.5, and the other four variables are even. It took a fair bit of work to find the appropriate basis of variables and of equations to have them of palatable length. Remarkably, these equations have rational coefficients, and it was a non-trivial exercise to find the ring generators\(^3\) for which this can be achieved. We have verified by Magma that these equations cut out a ring whose degree $d$ part has dimension $(d^2 - d + 2)$ for large $d$, which is consistent with the expected dimension. Indeed, we have on $Z_3$

$$\dim H^0(Z_3, dK_{Z_3}) = 21(d - 1) + 14,$$

and Holomorphic Lefschetz Formula allows us to find the dimensions of the subspaces of $G_21$-invariants.

4. Constructing 21-fold cover of CS surface

To construct the Cartwright-Steger surface, we needed to understand the surface $Z_2 = B^2/(Ker(\rho_3) \cap \Pi_2)$. This surface has invariants

$$h^{0.1}(Z_2) = 7, \quad h^{0.2}(Z_2) = 27, \quad K^2_{Z_2} = 189.$$

Its automorphism group contains $G \times C_3$ where the quotient by the cyclic group of order three gives $B^2/Ker(\rho_3)$. This means, in particular, that we may assume that the $C_3$-invariant part of $H^0(Z_2, K_{Z_2})$ is the pullback\(^4\) of $H^0(Z_3, K_{Z_3})$, whose character we will call $(\chi_2 + \chi_4) \otimes 1$.

The generator of $C_3$ has 63 fixed points of type $(\frac{1}{3}, \frac{1}{3})$. This allows us to calculate the dimensions of the $C_3$-character subspaces of $H^i(Z_2, nK_{Z_2})$ by the Holomorphic Lefschetz Formula on holomorphic vector bundles, or Woods Hole Fixed Point Theorem, cf. [AB68]. Namely, the alternating sum of traces of the action of the generator of $C_3$ on $H^i(Z_2, O_{Z_2})$ is given by $\frac{63}{(1-w)^7}$ where $w = e^{2\pi i}$. It equals $\frac{63}{(1-w)^7}$ for the square of the generator, so the alternating sums of the dimensions of the character components are

$$\frac{1}{3} \left( 21 + \frac{63}{(1-w)^7} + \frac{63}{(1-w)^7} \right) = 14, \quad \frac{1}{3} \left( 21 + \frac{63}{(1-w)^7} + \frac{63}{(1-w)^7} \right) = 14, \quad \frac{1}{3} \left( 21 + \frac{63}{(1-w)^7} + \frac{63}{(1-w)^7} \right) = 14,$$

\(^2\)These are putative equations, since we found them by constructing multiple points on $Z_3$ with high precision and looked for relations on values of the invariant polynomials at these points. However, it should be straightforward to derive these equations from those of $Z_3$.

\(^3\)Actually, $W_i$ only generate the ring at high enough degrees; we are missing a degree four generator.

\(^4\)While there is no map $Z_2 \rightarrow Z_3$, a rational map suffices here.
the characters 1, \( w \) and \( w^2 \) respectively. We know the dimensions of the character 1 component, because they equal those of \( Z_3 \). This allows us to prove that

\[
\chi H^1(Z_2, K_{C_2}) = V_7 \otimes w^2, \quad \chi H^2(Z_2, K_{C_2}) = (\chi_2 + \chi_4) \otimes 1 + V_{14} \otimes w
\]

where \( V_7 \) and \( V_{14} \) are some representations of \( G \) of dimension 7 and 14 respectively, and \( w \) and \( w^2 \) denote the characters of \( C_3 \). We also recall that there is a subgroup \( G_{21} \subset G \times C_3 \) of order 21 that acts freely on \( Z_2 \) such that the Cartwright-Steger surface \( Z \) is given by

\[
Z = Z_2 / G_{21}.
\]

One can see that the only option is for \( G_{21} \) to map trivially to \( C_3 \). Moreover, the structure of \( G \) ensures that the order 3 elements of \( G_{21} \) lie in the conjugacy class 3b of Table 1. The fact that these elements act with no fixed points allows us to determine that \( V_{14} = \chi_6 \) and \( V_7 \) is one of \( \chi_1 + \chi_2, \chi_3, \chi_4, \chi_5 \).\(^5\)

**Remark 4.1.** As will be more apparent in a moment, it is difficult to exclude all possibilities in this situation. Therefore, our approach is to find the most plausible scenario, with the eventual goal of successfully verifying the final answer to have the correct numerical invariants.

Now that we know that

\[
\chi H^p(Z_2, K_{Z_2}) = (\chi_2 + \chi_4) \otimes 1 + \chi_6 \otimes w,
\]

we will denote the corresponding 27 variables by

\[
(x_1 : \ldots : x_6 : y_1 : \ldots : y_7 : z_1 : \ldots : z_{14})
\]

in a way compatible with Section 2. The action of the generators of the group \( G \) on the 2-variables is given in Table 6. The action of the generators of \( G_{21} \) is given in Table 7. We are using the same generators as in Tables 2 and 3.

---

\(^5\)We believe that \( A^2 H^0(Z_2, \Omega_{Z_2}) \rightarrow H^0(Z_2, K_{Z_2}) \) is surjective, which leads to \( V_7 = \chi_3 \), but we will not use it in the paper.
We have studied the quadratic relations on \((x_1, \ldots, z_{14})\). The relations among \((x_1, \ldots, y_7)\) are well understood from Section 2. As before, the Holomorphic Lefschetz Formula and Kodaira Vanishing Theorem show that the dimension of \(w\) and \(w^2\) eigenspaces of \(H^0(Z_2, 2K_{Z_3})\) are 77 each. For example, we have

\[
\frac{1}{3}(210 + w^2 \frac{63w}{(1 - w)^2} + w \frac{63w^2}{(1 - w^2)^2}) = 77.
\]

We have

\[
\text{Sym}^2(x_6) = x_1 + x_6 + x_7 + x_8 + x_9 + x_{10}
\]

and we make a guess that the space of relations has the expected dimension 105 - 77 = 28. This leads to \(x_1 + x_{10}\) as relations. Magma calculation shows that the corresponding equations on \((z_1 : \ldots : z_{14})\) cut out a dimension 5 subscheme in \(\mathbb{C}P^{13}\).

The next key idea comes from looking at the relations on \((\chi_2 + \chi_4) \otimes 1 \otimes (\chi_6 \otimes w)\) which are linear combinations of \(x_1z_j\) and \(y_i z_j\). These are harder to guess but we only needed a partial guess. We have

\[
\chi_2 \chi_6 = \chi_2 + \chi_4 + \chi_5 + \chi_{13} + \chi_{14}, \quad \chi_4 \chi_6 = \chi_2 + \chi_{11} + \chi_{13} + \chi_{14}
\]

and we need to find a space of relations of dimension at least \((6 + 7)14 - 77 = 105\). It appeared reasonable to expect some kind of diagonal relation of the character type \(\chi_2\). However, in contrast to Section 2 we can no longer pick this diagonal element randomly! We calculated to high precision several points of \(Z_3\) (i.e. values of \(x_i\) and \(y_j\)) and then used Mathematica to find the diagonal relation of type \(\chi_2\) which was a linear combination of \(x_i z_j\) and \(y_i z_j\) such that the quadratic relations on \(z_4\) have a solution. There were two such diagonal relations, which differ by a sign. We get to pick one of them, which breaks the additional automorphism of Remark 2.5.

Note that given \((x_1, \ldots, y_7)\), the above procedure determines \((z_1, \ldots, z_{14})\) uniquely up to scaling. To get the desired (birational) triple cover we also impose the additional condition

\[
\text{Cubic}_y = \text{Cubic}_x
\]
where \( \text{Cubic}_y \) and \( \text{Cubic}_z \) are the \( G \)-invariant cubic equations on \( y_i \) and \( z_i \) respectively. They are unique up to scaling and we are free to make a choice. The two are proportional because they represent non-zero invariant sections of \( 3K \) on \( Z_3 \); that space can be seen to be one-dimensional by a character calculation.

As the result, we were able to construct, with very high precision, multiple points in \( \mathbb{C}P^{26} \) which come from \( Z_2 \). We don’t claim that \( Z_2 \) is embedded into \( \mathbb{C}P^{26} \) by the global sections of the canonical divisor, although we consider it likely.

**Remark 4.2.** We can then use these points to determine the quadratic relations on \( x_i, y_i, z_k \). We have found the space of these relations to be of expected dimension 105. We expect that some degree three equations would also be needed to generate the homogeneous ideal of \( Z_2 \). However, since our focus lies in constructing the CS surface, we are not particularly interested in \( Z_2 \) itself.

### 5. Constructing CS surface

To understand how the CS surface \( Z \) is constructed from \( Z_2 \), recall that it is given as \( Z_2/G_{21} \). The group \( G_{21} \) was found explicitly. Then we found \( G_{21} \)-invariant quadratic polynomials in the 27 variables \( x_i, y_j, z_k \). These form a dimension ten subspace which splits according to the number of \( z_k \) that space can be seen to be one-dimensional by a character calculation.

As the result, we were able to construct, with very high precision, multiple points in \( \mathbb{C}P^{26} \) which come from \( Z_2 \). We don’t claim that \( Z_2 \) is embedded into \( \mathbb{C}P^{26} \) by the global sections of the canonical divisor, although we consider it likely.

**Remark 5.1.** We explain how the choices were made. The action of \( C_3 \) on \( Z \) has 9 fixed points. We picked the basis elements \( U_7, U_8, U_9 \) so that the \( \left( \frac{1}{3}, \frac{1}{3} \right) \) fixed points are \( (0: \ldots : 0 : 0 : 1), (0: \ldots : 0 : 1 : 0) \), and \( (0: \ldots : 1: 0: 0) \), which determines them uniquely up to scaling. The \( U_4, U_5, U_6 \) are determined by looking at the tangent spaces at these points. The sections \( U_0, U_1, U_2, U_3 \) are \( W_0^2, W_1, W_2, W_3 \) from Section 3, up to scaling. These form the invariant subspace of the \( C_3 \) action. The other two eigenspaces of the action are \( \text{Span}(U_4, U_5, U_6) \) and \( \text{Span}(U_7, U_8, U_9) \).

We unexpectedly found a quadratic relation on \( U_i \). This means that the map

\[
\text{Sym}^2(H^0(Z, 2K_Z)) \rightarrow H^0(Z, 4K_Z)
\]

of spaces of dimension 55 each has a non-trivial kernel. There is an 84-dimensional space of cubic relations given by the kernel of

\[
\text{Sym}^3(H^0(Z, 2K_Z)) \rightarrow H^0(Z, 6K_Z),
\]

as one would expect from the dimension count.

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**Remark 5.3.** We explain how the choices were made. The action of \( C_3 \) on \( Z \) has 9 fixed points. We picked the basis elements \( U_7, U_8, U_9 \) so that the \( \left( \frac{1}{3}, \frac{1}{3} \right) \) fixed points are \( (0: \ldots : 0 : 0 : 1), (0: \ldots : 0 : 1 : 0) \), and \( (0: \ldots : 1: 0: 0) \), which determines them uniquely up to scaling. The \( U_4, U_5, U_6 \) are determined by looking at the tangent spaces at these points. The sections \( U_0, U_1, U_2, U_3 \) are \( W_0^2, W_1, W_2, W_3 \) from Section 3, up to scaling. These form the invariant subspace of the \( C_3 \) action. The other two eigenspaces of the action are \( \text{Span}(U_4, U_5, U_6) \) and \( \text{Span}(U_7, U_8, U_9) \).
Remark 5.4. We have not been able to reduce the equations of CS surface $Z$ to a form suitable for a printed version, since the coefficients are often about ten decimal digits long (which is better than the initial attempts that led to 40 digits long Gaussian integers). We make the equations available at [B].

6. Verification

In this section we comment on the collection of facts that we verified by computer calculations that imply that the surface we constructed is indeed the Cartwright-Steger surface. We have to be fairly creative, since many desirable computations take too long to finish.

We begin by observing that the scheme cut out by the 84 cubic equations is connected and smooth of dimension two. Connectedness follows from a Magma calculation that the quotient ring is an integral domain, which was done with rational coefficients. To prove smoothness, we calculated minors of Jacobian matrices of appropriately chosen subsets of the equation set (a finite field calculation suffices by semicontinuity). Specifically, we looked at the fixed points of $C_3$ action on $Z$ and at each of them found 7 relations whose gradients are linearly independent at that point. Then we considered the size 7 minors of the Jacobian matrices of these sets of equations. Magma calculation shows that they have no common zeros on $Z$.

Thus we have a smooth surface $Z$\textsuperscript{6} which is embedded into $\mathbb{P}^9$ by global sections of a divisor $D$. By Hilbert polynomial calculation and Riemann-Roch theorem, we see that

\begin{equation}
D^2 = 36, \; K_Z D = 18, \; \chi(O_Z) = 1.
\end{equation}

The equation $U_0 = 0$ gives a divisor $2C$ where $C$ is the curve\textsuperscript{7} whose ideal in $\mathbb{C}\mathbb{P}^9$ is generated by $U_0$ and eighteen quadratic polynomials in Table 8 below.

<table>
<thead>
<tr>
<th>Equation</th>
<th>Equation</th>
</tr>
</thead>
<tbody>
<tr>
<td>$U_0 - 8U_1^2 - 2U_1U_2 + 2U_2^2 - 2U_1U_3 + 3U_4U_9 + 6U_6U_9, 16U_1^2 + 4U_2^2 - 4U_3^2 + 3U_6U_7,$</td>
<td>$20U_1^2 + 12U_1U_2 + 8U_1U_3 + 2U_2^2 + U_3U_9, -4U_1^2 - 8U_1U_2 + 6U_2^2 - 4U_1U_3 + U_3U_8,$</td>
</tr>
<tr>
<td>$4U_1^2 + 2U_1U_2 - 2U_2^2 - 2U_1U_3 + 2U_2^2 + 3U_4U_9 - 2U_1^2 + U_3U_3,$</td>
<td>$-6U_1U_4 - 6U_3U_4 - U_3U_5 + 12U_1U_6 - 12U_1U_6 + 6U_3U_9,$</td>
</tr>
<tr>
<td>$-36U_1U_4 - 12U_3U_4 - 4U_1U_5 - 2U_2U_3 - 4U_3U_5 + 24U_1U_6 + 3U_7U_9,$</td>
<td>$36U_1U_4 + 2U_2U_5 + 4U_3U_5 - 24U_1U_6 - 24U_2U_6 + 3U_5U_9,$</td>
</tr>
<tr>
<td>$6U_1U_4 + 6U_3U_4 + U_3U_5 + 12U_3U_6, 6U_1U_4 + 3U_4U_4 + U_3U_5 + 12U_1U_6,$</td>
<td>$18U_2^2 - 2U_1U_8 + 3U_2U_9 - 6U_1U_9 + 4U_2U_9 + 3U_3U_9, U_3U_6 + 2U_1U_9 + 2U_1U_9 + 2U_2U_9,$</td>
</tr>
<tr>
<td>$U_2^2 + 12U_1U_7 + 3U_2U_7 + 6U_3U_7 - 16U_1U_8 + 48U_1U_9 - 4U_2U_9,$</td>
<td>$9U_4U_6 + 4U_1U_9 + 6U_1U_9 - 5U_2U_9 - 3U_3U_9,$</td>
</tr>
<tr>
<td>$3U_4U_5 - 3U_1U_7 - 3U_2U_7 - 8U_1U_8 - 36U_1U_9 + 4U_2U_9,$</td>
<td>$18U_2^2 + 3U_3U_7 - 16U_1U_8 + 8U_2U_9 + 12U_3U_9, 2U_1U_9 + U_3U_8 + 2U_1U_9 - U_2U_9$</td>
</tr>
</tbody>
</table>

These equations were found using Mathematica. Once found, we can verify inclusion of ideals by Hilbert polynomial computations, which are readily performed in Magma with rational coefficients. We verified that $C$ is an integral (but perhaps singular) curve by verifying that the quotient by its ideal is a domain.

We now consider the short exact sequence of sheaves

\begin{equation}
0 \to O_Z(-C)(1) \to O_Z(1) \to (j_C)_*O_C(1) \to 0.
\end{equation}

We verified by Macaulay2 that $\dim H^1(Z, (j_C)_*O_C(1)) = 1$ while working with rational coefficients. We have also verified that $H^1(Z, O_Z(1)) = 0$ by a Macaulay2 calculation modulo 101 (calculations over rationals are more complicated and appear to be outside of the software and hardware capabilities),

\textsuperscript{6}We use the same name as for the CS surface, but we are still to prove that $Z$ is one.

\textsuperscript{7}We expected that $C$ is the zero locus $E_3$ of the section of the canonical divisor on $Z$. Our calculations below verify it.
which suffices by semicontinuity. The long exact sequence in cohomology coming from (6.2) then implies that $h^2(Z, \mathcal{O}(-C)(1)) > 0$. Since $2C = D$, this means $h^2(Z, \mathcal{O}(C)) > 0$, so $H^0(Z, \mathcal{O}(K_Z - C))$ is non-zero. From $2C = D$ and (6.1), we see that $CD = K_Z D = 18$. Since $D$ is (very) ample, we see that $K_Z - C$ is a degree zero effective divisor. Therefore, we must have $K_Z - C = 0$. Then we get $K_Z^2 = 9$, $h^0(K_Z) \geq 1$, which means that $Z$ is a Cartwright-Steger surface. We also see that $D = 2C = 2K_Z$, so the embedding is given by $2K_Z$.

**Remark 6.1.** It is stated that $2K_Z$ is very ample in [Y18], but the details in the elimination of a potential curve $B$ satisfying Proposition 1-(i) in [Y18] are overlooked, as kindly informed by JongHae Keum to the second author. The current paper gives a stronger version of the statement.

**References**


